

MC COLLUM WILLIAM R JR  
Form 3  
November 03, 2006

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *			2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â MC COLLUM WILLIAM R JR			(Month/Day/Year)	Duke Energy CORP [DUK]	
(Last)	(First)	(Middle)		4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
526 S. CHURCH STREET				(Check all applicable)	
(Street)				<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
CHARLOTTE,Â NCÂ 28202				<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
(City)	(State)	(Zip)		(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
				Grp Exec, Chief Reg Gen Officer	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	48,185	D	Â
Common Stock	3,649	I	By Retirement Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
LTIP Phantom Stock Grant Apr 2006	Â (1)	Â (2)	Common Stock	4,050	\$ (3)	D	Â
LTIP Phantom Stock Grant Feb 2004	Â (1)	Â (2)	Common Stock	3,108	\$ (3)	D	Â
LTIP Phantom Stock Grant Feb 2005	Â (1)	Â (2)	Common Stock	3,296	\$ (3)	D	Â
LTIP Phantom Stock Grant July 2006	Â (1)	Â (2)	Common Stock	670	\$ (3)	D	Â
Phantom Stock ESP I	Â (4)	Â (2)	Common Stock	28,479	\$ (3)	D	Â
Phantom Stock ESP II	Â (4)	Â (2)	Common Stock	781	\$ (3)	D	Â
Stock Option (Right to Buy)	02/17/2002	02/17/2008	Common Stock	14,600	\$ 27.63	D	Â
Stock Option (Right to Buy)	02/17/2003	02/17/2009	Common Stock	16,000	\$ 29.66	D	Â
Stock Option (Right to Buy)	12/20/2003	12/20/2009	Common Stock	20,800	\$ 24.88	D	Â
Stock Option (Right to Buy)	12/20/2004	12/20/2010	Common Stock	11,400	\$ 42.81	D	Â
Stock Option (Right to Buy)	12/19/2005	12/19/2011	Common Stock	17,500	\$ 37.68	D	Â
Stock Option (Right to Buy)	01/17/2002	01/17/2012	Common Stock	4,900	\$ 38.33	D	Â
Stock Option (Right to Buy)	Â (5)	02/25/2013	Common Stock	12,675	\$ 13.77	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MC COLLUM WILLIAM R JR 526 S. CHURCH STREET CHARLOTTE, NC 28202	Â	Â	Â Grp Exec, Chief Reg Gen Officer	Â

## Signatures

By: David S. Maltz,  
Attorney-in-fact for

11/03/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.
- (2) Expiration date not applicable.
- (3) Converts to Common Stock on a 1-for-1 basis.
- (4) Payable upon termination of employment or occurrence of other events as specified in the Plan.
- (5) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.