

Bagley Stephen James
Form 4
July 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Bagley Stephen James

(Last) (First) (Middle)

SONO-TEK CORPORATION, 2012
ROUTE 9W

(Street)

MILTON, NY 12547

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
SONO TEK CORP [SOTK]

3. Date of Earliest Transaction
(Month/Day/Year)

06/28/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)
CFO

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Sono-Tek Corp Common Stock	06/28/2018		M	10,000 (1) A	\$ 22,326 0.91	D	
Sono-Tek Common Stock	06/28/2018		F	5,056 (2) D	\$ 17,270 1.8	D	
Sono-Tek Common Stock	07/02/2018		M	10,000 (1) A	\$ 27,270 0.91	D	
	07/02/2018		F	D	\$ 22,481 1.9	D	

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Sono-Tek
Corp
Common
Stock

4,789
(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Sono-Tek Corp Options	\$ 0.91	06/28/2018		M		10,000 <u>(1)</u>		03/15/2018	07/20/2026	Sono-Tek Corp Common Stock	10,000
Sono-Tek Corp Options	\$ 0.91	07/02/2018		M		10,000 <u>(1)</u>		03/15/2018	07/20/2026	Sono-Tek Corp Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Bagley Stephen James SONO-TEK CORPORATION 2012 ROUTE 9W MILTON, NY 12547	CFO

Signatures

Stephen J.
Bagley

07/02/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reporting person exercised 10000 options.

(2) Reporting person surrendered 5056 shares of Sono-Tek Corp Stock as payment for the exercise of vested options.

(3) Reporting person surrendered 4789 shares of Sono-Tek Common stock as payment for the exercise of vested options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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