## Edgar Filing: HUDSON CASSANDRA - Form 4

Form 4         Form 4         Tebruary 14, 2018       OMB APPROVAL         Check this box if no longer select 10.       Check this box if no longer select 10.       Colspan="4">Colspan="4"Colspan="4">Colspan="4"Colspan="4"Colspan="4">Colspan="4"Colspan="4"Colspan="4">Colspan="4"Colspan="4"Colspan="4">Colspan="4"Colspan="		ASSANDRA										
FORM 4 UNITED STATES SECURITIES AND EXCHANCES ON DESCHANCES ON DESCHANCES IN BEREFICIAL OWNERSION Section 16. Form 4 or Section 16. Form 4 or Section 17(a) of the Public Utility Holding Company Act of 1934, and address of Reporting Person. 10(b)       OMB APPROVAL OWNERSION Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 10(b)       S. Relationship of Reporting Person. 10(b)       S. Relationship (Reporting Person. 10(b)       S. Relationship	Form 4	2018										
INITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 2054       OMB Number: 3225-0287         Check this how if no longer subject to Section 16. Form 4 or Form 4 or Form 4 or registed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b).       OMB Exclamation 2005 (a) (b) of the Investment Company Act of 1935 or Section 1(b).       State of Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 2012 (a) (b) of the Investment Company Act of 1935 or Section 1(b).       State of Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Symbol       State of Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Symbol       State of Section 17(a) of the Public Utility Holding Company Act of 1935 or Section (Corbonic End Corbonic End Security (Month/Day/Year)       State of End Corbonic End Corbonic End Corbonic End Corbon Corbonic Person(s) on End Corbonic End Corbonic End Corbonic End Corbonic End Security (Month/Day/Year)       State A Securities Exclamation Corbonic Corbonic Corbonic Corbonic Corbonic Corbonic Corbonic Corbonic End Corbonic End Corbonic End Corbonic End Security (Month/Day/Year)       State A Security End Corbonic Corbonic Corbonic End Corbonic End Corbonic End Corbonic End Corbonic End Corbonic End Corbonic End Corbonic End Corb										OMB A	PROVAL	
It is the longer subject to Section 16.Explices:Explices: Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESExplices: Section 16.Section 16.SECURITIESExplices: Section 17(a) of the Public Utility Holding Company Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section N(h) of the Investment Company Act of 1940Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Symbol Carbonite Inc [CARB]Section 17(a) of the Public Utility Holding Company Act of 1940(Print or Type Responses)1. Name and Address of Reporting Person 1 Symbol Carbonite Inc [CARB]5. Relationship of Reporting Person(s) to Issuer(Last)(First)(Middle) 3. Date of Fartiest Transaction (Month/Day/Year)5. Relationship of Reporting Person(s) to Issuer(C/O CARBONITE, INC., TWO AVENUE DE LAFAYETTE3. Date of Fartiest Transaction (Q21/2/2018Check all applicable) Chief Accounting Officer(City)(Sure)(Zep)Table I - Non-Derivative Securities Acquired (Month/Day/Year)6. Individual or Joint/Coup Filing(Check Applicable Linc) Applicable Linc) A. Form filed by More than One Reporting Person5. Amount of Disposed of (D) Code (Instr. 3)5. Amount of Code (Instr. 3)6. Securities (Month/Day/Year)6. Securities Applicable Linc) A. Securities Acquired Code (Instr. 3)6. Securities Portion6. Ownership 7. Nature of Person(City)(Sure)(Zep)Table I - Non-Derivative Securi		UNITED	STATES					NGE C	OMMISSION	OMB		
subject to STATEMENT OF CHANGES IN BEACHTCLA OWNERSHIP OF Estimated average burden hours per response. Estimated average burden hours per response. 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, objective of 1940 (1935) or Section 7(a) of the Public Utility Holding Company Act of 1940 (1935) or Section 7(a) of the Public Utility Holding Company Act of 1940 (16). (Print or Type Responses) 1. Name and Address of Reporting Person 2 (2. Issuer Name and Ticker or Trading Symbol Carbonite Inc [CARB] (Law) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 02/12/2018 (Carbonite Inc [CARB] (Check all applicable) (Carbonite Inc [CARB] (Check all applicable) (Differe (give lite10% Owner MC/O CARBONITE, INC, TWO 02/12/2018 (Month/Day/Year) 02/12/2018 (Stead) (Month/Day/Year) (Month/Day/Year) (State) (Zip Manual Manu		707								Expires:		
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1. Name and Address of Reporting Person HUDSON CASSANDRA       2. Issuer Name and Ticker or Trading Symbol Carbonite Inc [CARB]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         C/O CARBONITE, INC., TWO AVENUE DE LAFAYETTE       02/12/2018       Joint of Educet Transaction (Month/Day/Year)       Director Joint/Group Filing(Check Applicable Line)         (Street)       4. If Amendment, Date Original Filed(Month/Day/Year)       Filed(Month/Day/Year)       6. Individual or Joint/Group Filing(Check Applicable Line)         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired framsaction (Month/Day/Year)       5. Amount of Security (Instr. 3)       6. Ownership 7. Nature of Indirect Beneficial Young (Instr. 4)         1. Title of Security       2. Transaction Date AL ADE Preson (Month/Day/Year)       3. 4. Securities Acquired (Instr. 3, 4 ard 5)       5. Amount of Security (Instr. 4)       6. Ownership 7. Nature of Form: Direct Indirect Beneficial Young (Instr. 4)         Common Stock       02/12/2018       S       (1)       D       5. and (1)       9. Social (1)       9. Social (1)       9. Social (1)         Common Stock       02/13/2018       S       (1)       D       Social (1)       D       Social (2)       0. Social (3)       D       D         Common Stock	Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
HUDSON CASSANDRASymbolIssuer(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)C/O CARBONITE, INC., TWO AVENUE DE LAFAYETTE(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Month/Day/Year)Director $\Sigma_{elow}$ )(Director $\Sigma_{elow}$ )(Director) $\Sigma_{elow}$ )<	(Print or Type I	Responses)										
(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)C/O CARBONTTE, INC., TWO AVENUE DE LAFAYETTE $(Month/Day/Year)$ $02/12/2018$ $(Month/Day/Year)$ $02/12/2018$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$ $(Month/Day/Year)$	HUDSON CASSANDRA Symbol						Tradi	ng	· · · · · · · · · · · · · · · · · · ·			
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$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	(Last)	(First) (N	liddle)						Director 10% Owner			
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	C/O CARBONITE, INC., TWO 02/12/2				-				below) below)			
BOSTON, MA 02111 $X_{expon}^{x}$ form filed by One Reporting Person Form filed by More than One Reporting Person         (City)       (State)       (Zip)       Table I - NOP - Person       Security       Security       Owner than One Reporting Person         1. Title of Security (Instr. 3) $2.$ Transaction Date (Month/Day/Year) $2.$ Deemed Execution Date, if any (Month/Day/Year) $3.$ $4.$ Securities $A < uired(Instr. 3)       5. Amount ofSecurities(Instr. 3)       6. Ownership(Instr. 4)       7. Nature ofForm: Direct(Instr. 4)       7. Nature ofSecurities(Instr. 4)       6. Ownership(Instr. 4)       7. Nature ofSecurities(Instr. 4)       6. Ownership(Instr. 4)       7. Nature ofSecurities(Instr. 4)       6. Ownership(Instr. 4)       7. Nature ofSecurities(Instr. 4)         CommonStock       02/12/2018 8. 1.013(1.013 D. 9. $	(Street) 4. If Ame			endment, Date Original				6. Individual or Joint/Group Filing(Check				
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3. A. Securities Acquired Transactior(A) or Disposed of (D) (Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)6. Ownership Form: Direct7. Nature of Indirect Beneficial Ownership (Instr. 4)Common Stock02/12/20182. Jacebra3. any (Month/Day/Year)4. Securities Acquired Transactior(A) or Disposed of (D) (Instr. 3, 4 and 5)5. Amount of Securities Beneficially Owned (Instr. 3 and 4)6. Ownership Form: Direct Indirect (I) (Instr. 4)Common Stock02/12/2018S $\frac{1,013}{(1)}$ D $\frac{5}{21.15}$ $31,074$ (2) $20.61$ DCommon Stock02/13/2018S $611$ (1) (4)D $\frac{$20.9}{(5)}$ $28,109$ (3) $28,109$ (3)DCommon Stock02/13/2018S $\frac{1,404}{(4)}$ D $\frac{$20.9}{(5)}$ $28,109$ (3) $26,705$ (3)D					nth/Day/Year)				_X_ Form filed by One Reporting Person Form filed by More than One Reporting			
Security (Instr. 3)(Month/Day/Year)Execution Date, if any (Month/Day/Year)Transactior(A) or Disposed of (D) 	(City)	(State)	(Zip)	Tabl	e I - Non-E	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
Common Stock $02/12/2018$ Code SV $1,013$ (1)Amount D(D) $21.15$ Price $31,074$ (Instr. 3 and 4)Common Stock $02/13/2018$ S $611$ (1)D $\$$ $20.61$ $30,463$ (3)DCommon Stock $02/13/2018$ S $2,354$ (4)D $\$ 20.9$ (5) $28,109$ (3)DCommon Stock $02/13/2018$ S $1,404$ (2)D $\$ 26,705$ (3)D	Security		Day/Year) Execution Date, if any			on(A) or Di (Instr. 3,	ispose 4 and (A)	d of (D)	Securities Beneficially Owned Following Reported Transaction(s)	Form: DirectIndirect(D) orBeneficialIndirect (I)Ownership		
Stock $02/12/2018$ S       (1)       D $21.15$ $31,0/4$ (2)       D         Common Stock $02/13/2018$ S $611$ (1)       D $\$$ $30,463$ (3)       D         Common Stock $02/13/2018$ S $21.15$ $31,0/4$ (2)       D         Common Stock $02/13/2018$ S $21.15$ $30,463$ (3)       D         Common Stock $02/13/2018$ S $2,354$ D $\frac{\$ 20.9}{(5)}$ $28,109$ (3)       D         Common O2/14/2018       S $1,404$ D $\$$ $26,705$ (3)       D	~				Code V				(Instr. 3 and 4)			
Stock $02/13/2018$ S $011 \underline{(0)}$ D $20.61$ $30,403 \underline{(0)}$ D         Common Stock $02/13/2018$ S $\frac{2,354}{(4)}$ D $\frac{\$ 20.9}{(5)}$ $28,109 \underline{(3)}$ D         Common 02/14/2018       S $\frac{1,404}{0}$ D $\$$ $26705 \underline{(3)}$ D		02/12/2018			S		D		31,074 (2)	D		
Stock $02/13/2018$ S $(4)$ D $(5)$ $28,109$ $(5)$ D       Common $02/14/2018$ S $1,404$ D     \$ $26,705$ $(3)$ D		02/13/2018			S	611 <u>(1)</u>	D	\$ 20.61	30,463 <u>(3)</u>	D		
(1/1/4/101) <b>N</b> $(1/1/4/101)$ <b>N</b> $(1/1/4)$		02/13/2018			S		D		28,109 <u>(3)</u>	D		
		02/14/2018			S		D		26,705 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships		
	Director	10% Owner	Officer	Other
HUDSON CASSANDRA C/O CARBONITE, INC. TWO AVENUE DE LAFAYETTE BOSTON, MA 02111			Chief Accounting Officer	
Signatures				
/s/ Danielle Sheer, by power of		02/14/2018		

s Damene Sneer, by power of attorney

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares automatically sold solely to satisfy tax withholding obligations of reporting person on vesting of restricted stock units.
- (2) Includes 28,720 shares of common stock subject to restricted stock units.
- (3) Includes 26,705 shares of common stock subject to restricted stock units.
- (4) Represents shares automatically exercised pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 9, 2017.

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$20.60 to \$21.05, inclusive. The Reporting Person undertakes to provide Carbonite, Inc., any security holder of Carbonite, Inc., or the staff of the

(5) Securities & Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.