#### Edgar Filing: GRIFFITH WILLIAM - Form 3

## **GRIFFITH WILLIAM**

Form 3 July 30, 2009

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

\_X\_ Form filed by More than One

Reporting Person

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Interactive Brokers Group, Inc. [IBKR] ÂTCVVILP (Month/Day/Year) 07/28/2009 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O TECHNOLOGY (Check all applicable) **CROSSOVER** VENTURES. 528 RAMONA 10% Owner Director **STREET** Officer \_X\_ Other (give title below) (specify below) (Street) 6. Individual or Joint/Group May be part of a 13(d) group Filing(Check Applicable Line) Form filed by One Reporting Person

## PALO ALTO, CAÂ 94301

(State)

(City)

#### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D)

or Indirect (Instr. 5)

Common Stock Ι TCV VI, L.P. (1) (2) 1,190,377

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

# Edgar Filing: GRIFFITH WILLIAM - Form 3

		(Instr. 4)		Price of	Derivative
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address				Relationships	
	Director	10% Owner	Officer	Other	
TCV VI L P C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	Â	Â	May be part of a 13(d) group	
Technology Crossover Management VI, L.L.C. C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	Â	Â	May be part of a 13(d) group	
HOAG JAY C C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	ÂX	Â	May be part of a 13(d) group	
KIMBALL RICK C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	ÂX	Â	May be part of a 13(d) group	
DREW JOHN C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	ÂX	Â	May be part of a 13(d) group	
REYNOLDS JON Q JR C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	ÂX	Â	May be part of a 13(d) group	
GRIFFITH WILLIAM C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	ÂX	Â	May be part of a 13(d) group	
Trudeau Robert C/O TECHNOLOGY CROSSOVER VENTURES 528 RAMONA STREET PALO ALTO, CA 94301	Â	ÂX	Â	May be part of a 13(d) group	

Reporting Owners 2

# **Signatures**

Carla S. Newell Authorized signatory for TCV VI, L.P.		
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for Technology Crossover Management VI, L.L.C.		
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for Jay C. Hoag	07/30/2009	
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for Richard H. Kimball	07/30/2009	
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for John L. Drew	07/30/2009	
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for Jon Q. Reynolds, Jr.	07/30/2009	
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for William J.G. Griffith IV		
**Signature of Reporting Person	Date	
Carla S. Newell Authorized signatory for Robert W. Trudeau		
**Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form 3 is filed by more than one Reporting Person and is a joint filing with the Form 3 filed by TCV VII, L.P., TCV VII (A), L.P., Technology Crossover Management VII, L.P., and Christopher P. Marshell on July
- (1) Technology Crossover Management VII, Ltd., Technology Crossover Management VII, L.P. and Christopher P. Marshall on July \_\_\_\_\_\_, 2009.
  - These securities are directly held by TCV VI, L.P. Jay C. Hoag, Richard H. Kimball, John L. Drew, Jon Q. Reynolds, Jr., William J.G. Griffith IV and Robert W. Trudeau (collectively, the "TCM VI Members") are Class A Members of Technology Crossover Management
- (2) VI, L.L.C. ("TCM VI"), which is the sole general partner of TCV VI, L.P. The TCM VI Members and TCM VI may be deemed to beneficially own the securities held by TCV VI, L.P., but the TCM VI Members and TCM VI disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3