

CORNERSTONE PROGRESSIVE RETURN FUND  
Form N-PX  
August 10, 2015

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-22066

Cornerstone Progressive Return Fund

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(Exact name of registrant as specified in charter)

48 Wall Street New York, NY                      10005  
(Address of principal executive offices)    (Zip code)

Frank J. Maresca

AST Fund Solutions, LLC, 48 Wall Street, 22<sup>nd</sup> Floor New York, NY 10005

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(Name and address of agent for service)

Registrant's telephone number, including area code: (866) 668-6558

Date of fiscal year end: December 31

Date of reporting period: July 1, 2014 - June 30, 2015

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ITEM 1. PROXY VOTING RECORD.

Company Name	Ticker	CUSIP	Meeting Date	Proposal Number	Proposal Description	Proponent	Mgmt Recomme
Abbott Laboratories	ABT	002824100	4/24/2015	1.1	Elect Robert J. Alpern	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.2	Elect Roxanne S. Austin	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.3	Elect Sally E. Blount	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.4	Elect W. James Farrell	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.5	Elect Edward M. Liddy	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.6	Elect Nancy McKinstry	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.7	Elect Phebe N. Novakovic	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.8	Elect William A. Osborn	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.9	Elect Samuel C. Scott III	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.10	Elect Glenn F. Tilton	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	1.11	Elect Miles D. White	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	2	Ratification of Auditor	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	3	Advisory Vote on Executive Compensation	Mgmt	For
Abbott Laboratories	ABT	002824100	4/24/2015	4	Shareholder Proposal Regarding Genetically Modified Ingredients	ShrHoldr	Against
Abbott Laboratories	ABT	002824100	4/24/2015	5	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
AbbVie Inc.	ABBV	00287Y109	5/8/2015	1.1	Elect Roxanne S. Austin	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/8/2015	1.2	Elect Richard A. Gonzalez	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/8/2015	1.3	Elect Glenn F. Tilton	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/8/2015	2	Ratification of Auditor	Mgmt	For
AbbVie Inc.	ABBV	00287Y109	5/8/2015	3	Advisory Vote on Executive Compensation	Mgmt	For
Aberdeen Australia Equity Fund Inc.	IAF	003011103	3/26/2015	1.1	Elect William J. Potter	Mgmt	For
Aberdeen Australia Equity Fund Inc.	IAF	003011103	3/26/2015	1.2	Elect John T. Sheehy	Mgmt	For

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Aberdeen Chile Fund Inc	CH	00301W105	3/26/2015	1.1	Elect Enrique R. Arzac	Mgmt	For
Aberdeen Greater China Fund Inc	GCH	003031101	6/18/2015	1.1	Elect John A. Hawkins	Mgmt	For
Aberdeen Greater China Fund Inc	GCH	003031101	6/18/2015	1.2	Elect Tak Lung Tsim	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301T102	3/26/2015	1.1	Elect Steven N. Rappaport	Mgmt	For
Aberdeen Israel Fund Inc	ISL	00301T102	3/26/2015	1.2	Elect Alexander Zagoreos	Mgmt	For
Aberdeen Japan Equity Fund Inc	JEQ	00306J109	6/18/2015	1.1	Elect Radhika Ajmera	Mgmt	For
Aberdeen Japan Equity Fund Inc	JEQ	00306J109	6/18/2015	1.2	Elect Martin J. Gruber	Mgmt	For
ABERDEEN SINGAPORE FUND, INC.	SGF	003244100	6/18/2015	1.1	Elect Moritz Sell	Mgmt	For
Actavis plc	ACT	G0083B108	3/10/2015	1	Merger/Acquisition	Mgmt	For
Actavis plc	ACT	G0083B108	3/10/2015	2	Right to Adjourn Meeting	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	1	Elect Paul M. Bisaro	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	2	Elect Nesli Basgoz	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	3	Elect James H. Bloem	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	4	Elect Christopher W. Bodine	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	5	Elect Christopher J. Coughlin	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	6	Elect Michael R. Gallagher	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	7	Elect Catherine M. Klema	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	8	Elect Peter J. McDonnell	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	9	Elect Patrick J. O'Sullivan	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	10	Elect Brenton L. Saunders	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	11	Elect Ronald R. Taylor	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	12	Elect Fred G. Weiss	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	14	Ratification of Auditor	Mgmt	For

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Actavis plc	ACT	G0083B108	6/5/2015	15	Name Change to Allergan plc	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	18	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against
Actavis plc	ACT	G0083B108	6/5/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	16	Approval of the Amended 2013 Incentive Award Plan	Mgmt	For
Actavis plc	ACT	G0083B108	6/5/2015	17	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.1	Elect Enrique R. Arzac	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.2	Elect Phyllis O. Bonanno	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.3	Elect Kenneth J. Dale	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.4	Elect Frederic A. Escherich	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.5	Elect Roger W. Gale	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.6	Elect Kathleen T. McGahran	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.7	Elect Craig R. Smith	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	1.8	Elect Mark E. Stoeckle	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	2	Ratification of Auditor	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	3	Amend Fundamental Investment Policy to Increase Limit on Commodities Investments	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	4	Rendering of Investment Advisory Services to Others	Mgmt	For
Adams Diversified Equity Fund, Inc.	ADX	006212104	4/30/2015	5	Shareholder Proposal to Authorize a Self-Tender Offer for All Outstanding	ShrHoldr	Against

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Shares

Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	9/30/2014	1	Elect Michael A. Smart	Mgmt	For
Advent-Claymore Enhanced Growth & Income Fund	LCM	00765E104	9/30/2014	2	Elect Daniel L. Black	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	1	Elect Fernando Aguirre	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	2	Elect Mark T. Bertolini	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	3	Elect Frank M. Clark, Jr.	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	4	Elect Betsy Z. Cohen	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	6	Elect Roger N. Farah	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	7	Elect Barbara H. Franklin	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	8	Elect Jeffrey E. Garten	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	9	Elect Ellen M. Hancock	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	10	Elect Richard J. Harrington	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	11	Elect Edward J. Ludwig	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	12	Elect Joseph P. Newhouse	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	13	Elect Olympia J. Snowe	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	14	Ratification of Auditor	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	15	Advisory Vote on Executive Compensation	Mgmt	For
Aetna Inc.	AET	00817Y108	5/15/2015	16	Shareholder Proposal Regarding Report on Indirect Political Spending	ShrHoldr	Against
Aetna Inc.	AET	00817Y108	5/15/2015	17	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against
Aetna Inc.	AET	00817Y108	5/15/2015	5	Elect Molly J. Coye	Mgmt	For
Allergan, Inc.	AGN	018490102	3/10/2015	1	Merger/Acquisition	Mgmt	For
Allergan, Inc.	AGN	018490102	3/10/2015	2	Right to Adjourn Meeting	Mgmt	For
Allergan, Inc.	AGN	018490102	3/10/2015	3	Advisory Vote on Golden Parachutes	Mgmt	For
	AWF	01879R106	3/30/2015	1.1		Mgmt	For

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AllianceBernstein Global High Income Fund Inc						Elect Marshall C. Turner, Jr.		
AllianceBernstein Global High Income Fund Inc	AWF	01879R106	3/30/2015	1.2		Elect Garry L. Moody	Mgmt	For
AllianceBernstein Global High Income Fund Inc	AWF	01879R106	3/30/2015	1.3		Elect Earl D. Weiner	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	6/2/2015	1.1		Elect James A. Jacobson	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	6/2/2015	1.2		Elect F. Ford Drummond	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	6/2/2015	1.3		Elect Davey S. Scoon	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	6/2/2015	1.4		Elect James S. MacLeod	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	6/2/2015	1.5		Elect Julian Sluyters	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	6/2/2015	1.6		Elect Susan M. King	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.1		Elect Hans W. Kertess	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.2		Elect William B. Ogden IV	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.3		Elect F. Ford Drummond	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.4		Elect Davey S. Scoon	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.5		Elect James S. MacLeod	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.6		Elect Julian Sluyters	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	01883A107	6/2/2015	1.7		Elect Susan M. King	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	7/31/2014	1.1		Elect Alan Rappaport	Mgmt	For
AllianzGI Convertible & Income Fund II	NCZ	018829101	7/31/2014	1.2		Elect Hans W. Kertess	Mgmt	For
	NCZ	018829101	7/31/2014	1.3			Mgmt	For

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AllianzGI Convertible & Income Fund II						Elect William B. Ogden IV		
Allstate Corporation	ALL	020002101	5/19/2015	1		Elect Robert D. Beyer	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	2		Elect Kermit R. Crawford	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	3		Elect Michael L. Eskew	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	4		Elect Herbert L. Henkel	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	5		Elect Siddharth N. Mehta	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	6		Elect Andrea Redmond	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	7		Elect John W. Rowe	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	8		Elect Judith A. Sprieser	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	9		Elect Mary Alice Taylor	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	10		Elect Thomas J. Wilson	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	11		Advisory Vote on Executive Compensation	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	12		Ratification of Auditor	Mgmt	For
Allstate Corporation	ALL	020002101	5/19/2015	13		Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/20/2015	1.1		Elect Samuel A. Lieber	Mgmt	For
Alpine Global Dynamic Dividend Fund	AGD	02082E205	5/20/2015	2		Transaction of Other Business	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/20/2015	1.1		Elect Samuel A. Lieber	Mgmt	For
Alpine Global Premier Properties Fund	AWP	02083A103	5/20/2015	2		Transaction of Other Business	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/20/2015	1.1		Elect Samuel A. Lieber	Mgmt	For
Alpine Total Dynamic Dividend Fund	AOD	021060207	5/20/2015	2		Transaction of Other Business	Mgmt	For
American Express Company	AXP	025816109	5/11/2015	2		Elect Ursula M. Burns	Mgmt	For
American Express Company	AXP	025816109	5/11/2015	3		Elect Kenneth I. Chenault	Mgmt	For
American Express Company	AXP	025816109	5/11/2015	4		Elect Peter Chernin	Mgmt	For
	AXP	025816109	5/11/2015	5		Elect Anne Lauvergeon	Mgmt	For

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American Express Company								
American Express Company	AXP	025816109	5/11/2015	6	Elect Micheal O. Leavitt	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	7	Elect Theodore J. Leonsis	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	8	Elect Richard C. Levin	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	9	Elect Samuel J. Palmisano	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	10	Elect Daniel L. Vasella	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	11	Elect Robert D. Walter	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	12	Elect Ronald A. Williams	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	13	Ratification of Auditor	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	14	Advisory Vote on Executive Compensation	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	15	Shareholder Proposal Regarding Employment Diversity Report	ShrHoldr	Against	
American Express Company	AXP	025816109	5/11/2015	16	Shareholder Proposal Regarding Privacy and Data Security	ShrHoldr	Against	
American Express Company	AXP	025816109	5/11/2015	18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	
American Express Company	AXP	025816109	5/11/2015	1	Elect Charlene Barshefsky	Mgmt	For	
American Express Company	AXP	025816109	5/11/2015	17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against	
American Express Company	AXP	025816109	5/11/2015	19	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	
American International Group, Inc.	AIG	026874784	5/13/2015	1	Elect W. Don Cornwell	Mgmt	For	
	AIG	026874784	5/13/2015	2	Elect Peter R. Fisher	Mgmt	For	



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American International Group, Inc.								
American International Group, Inc.	AIG	026874784	5/13/2015	3	Elect John Fitzpatrick	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	4	Elect Peter D. Hancock	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	5	Elect William G. Jurgensen	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	6	Elect Christopher S. Lynch	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	8	Elect Henry S. Miller	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	9	Elect Robert S. Miller	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	10	Elect Suzanne Nora Johnson	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	11	Elect Ronald A. Rittenmeyer	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	12	Elect Douglas M. Steenland	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	13	Elect Theresa M. Stone	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	14	Advisory Vote on Executive Compensation	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	15	Ratification of Auditor	Mgmt	For	
American International Group, Inc.	AIG	026874784	5/13/2015	7	Elect George L. Miles, Jr.	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	1	Elect David Baltimore	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	2	Elect Frank J. Biondi, Jr.	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	3	Elect Robert A. Bradway	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	4	Elect Francois de Carbonnel	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	5	Elect Vance D. Coffman	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	6	Elect Robert A. Eckert	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	7	Elect Greg C. Garland	Mgmt	For	
AMGEN Inc.	AMGN	031162100	5/14/2015	8	Elect Rebecca M. Henderson	Mgmt	For	

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AMGEN Inc.	AMGN	031162100	5/14/2015	9	Elect Frank C. Herringer	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	10	Elect Tyler Jacks	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	11	Elect Judith C. Pelham	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	12	Elect Ronald D. Sugar	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	13	Elect R. Sanders Williams	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	14	Ratification of Auditor	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	15	Advisory Vote on Executive Compensation	Mgmt	For
AMGEN Inc.	AMGN	031162100	5/14/2015	16	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
Anthem Inc	WLP	94973V107	11/5/2014	1	Company Name Change	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/13/2015	6	Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	N/A
Anthem, Inc.	ANTM	036752103	5/13/2015	1	Elect Julie A. Hill	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/13/2015	2	Elect Ramiro G. Peru	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/13/2015	3	Elect John H. Short	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/13/2015	4	Ratification of Auditor	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/13/2015	5	Advisory Vote on Executive Compensation	Mgmt	For
Anthem, Inc.	ANTM	036752103	5/13/2015	7	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Aon plc	AON	G0408V102	6/17/2015	1	Elect Lester B. Knight	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	2	Elect Gregory C. Case	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	3	Elect Fulvio Conti	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	4	Elect Cheryl A. Francis	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	5	Elect James W. Leng	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	6	Elect J. Michael Losh	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	7	Elect Robert S. Morrison	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	8	Elect Richard B. Myers	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	9	Elect Richard C. Notebaert	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	10	Elect Gloria Santona	Mgmt	For

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Aon plc	AON	G0408V102	6/17/2015	11	Elect Carolyn Y. Woo	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	12	Accounts and Reports	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	13	Ratification of Auditor	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	14	Ratification of Statutory Auditor	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	15	Authority to Set Auditor's Fees	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	16	Advisory Vote on Executive Compensation	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	17	Remuneration Report (Advisory)	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	18	Approval of Share Repurchase Contracts and Repurchase Counterparties	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	19	Authority to Issue Shares w/ Preemptive Rights	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	20	Authority to Issue Shares w/o Preemptive Rights	Mgmt	For
Aon plc	AON	G0408V102	6/17/2015	21	Authorization of Political Donations and Expenditures	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	1	Elect Timothy D. Cook	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	2	Elect Albert A. Gore, Jr.	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	3	Elect Robert A. Iger	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	4	Elect Andrea Jung	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	5	Elect Arthur D. Levinson	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	6	Elect Ronald D. Sugar	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	7	Elect Sue Wagner	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	8	Ratification of Auditor	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	9	Advisory Vote on Executive Compensation	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	10	Amendment to the Employee Stock Purchase Plan	Mgmt	For
Apple Inc.	AAPL	037833100	3/10/2015	11	Shareholder Proposal Regarding Renewable Energy and Climate	ShrHoldr	Against

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Change Policy Risk

Company	Symbol	Proposal ID	Effective Date	Count	Proposal Description	Category	Response
Apple Inc.	AAPL	037833100	3/10/2015	12	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	1	Elect Alan L. Boeckmann	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	2	Elect Mollie Hale Carter	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	3	Elect Terrell K. Crews	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	4	Elect Pierre Dufour	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	5	Elect Donald E. Felsing	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	6	Elect Juan Richardo Luciano	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	7	Elect Antonio dos Santos Maciel Neto	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	8	Elect Patrick J. Moore	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	9	Elect Thomas F. O'Neill	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	10	Elect Francisco Sanchez	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	11	Elect Daniel Shih	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	12	Elect Kelvin R. Westbrook	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	13	Elect Patricia A. Woertz	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	14	Ratification of Auditor	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	15	Advisory Vote on Executive Compensation	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	16	Reapproval of the 2009 Incentive Compensation Plan	Mgmt	For
Archer-Daniels-Midland Company	ADM	039483102	5/7/2015	17	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against

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Bank of New York Mellon Corporation	BK	064058100	4/14/2015	1	Elect Nicholas M. Donofrio	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	2	Elect Joseph J. Echevarria	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	3	Elect Edward P. Garden	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	4	Elect Jeffrey A. Goldstein	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	5	Elect Gerald L. Hassell	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	6	Elect John M. Hinshaw	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	7	Elect Edmund F. Kelly	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	8	Elect Richard J. Kogan	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	9	Elect John A. Luke, Jr.	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	10	Elect Mark A. Nordenberg	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	11	Elect Catherine A. Rein	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	12	Elect William C. Richardson	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	13	Elect Samuel C. Scott III	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	14	Elect Wesley W. von Schack	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	15	Advisory Vote on Executive Compensation	Mgmt	For
Bank of New York Mellon Corporation	BK	064058100	4/14/2015	16	Ratification of Auditor	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	1	Elect Uma Chowdhry	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	2	Elect James R. Gavin III	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	3	Elect Peter S. Hellman	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	4	Elect Kees J. Storm	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	5	Ratification of Auditor	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	7	2015 Incentive Plan	Mgmt	For

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Baxter International Inc.	BAX	071813109	5/5/2015	8	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Baxter International Inc.	BAX	071813109	5/5/2015	6	Advisory Vote on Executive Compensation	Mgmt	For
Baxter International Inc.	BAX	071813109	5/5/2015	9	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.4	Elect Frank J. Fabozzi	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.5	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.6	Elect James T. Flynn	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.7	Elect Henry Gabbay	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.8	Elect Jerrold B. Harris	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.9	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.10	Elect W. Carl Kester	Mgmt	For
BlackRock Corporation High Yield Fund III Inc	HYT	09255P107	7/30/2014	1.11	Elect Karen P. Robards	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.3	Elect Richard E. Cavanagh	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.4	Elect Frank J. Fabozzi	Mgmt	For
	DSU	09255R103	7/30/2014	1.5		Mgmt	For

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BlackRock Debt Strategies Fund, Inc.						Elect Kathleen F. Feldstein		
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.6		Elect James T. Flynn	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.7		Elect Henry Gabbay	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.8		Elect Jerrold B. Harris	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.9		Elect R. Glenn Hubbard	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.10		Elect W. Carl Kester	Mgmt	For
BlackRock Debt Strategies Fund, Inc.	DSU	09255R103	7/30/2014	1.11		Elect Karen P. Robards	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2014	1.1		Elect Paul L. Audet	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2014	1.2		Elect Michael J. Castellano	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2014	1.3		Elect R. Glenn Hubbard	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	7/30/2014	1.4		Elect W. Carl Kester	Mgmt	For
Blackrock Ecosolutions Investment Trust	BQR	092546100	11/10/2014	1		Reorganization into the BlackRock Resources & Commodities Trust	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2014	1.1		Elect Paul L. Audet	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2014	1.2		Elect Michael J. Castellano	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2014	1.3		Elect R. Glenn Hubbard	Mgmt	For
Blackrock Energy & Resources Trust	BGR	09250U101	7/30/2014	1.4		Elect W. Carl Kester	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.1		Elect Paul L. Audet	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.2		Elect Michael J. Castellano	Mgmt	For
	FRA	09255X100	7/30/2014	1.3			Mgmt	For

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Blackrock Floating Rate Income Strategies Fund Inc						Elect Richard E. Cavanagh		
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.4		Elect Frank J. Fabozzi	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.5		Elect Kathleen F. Feldstein	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.6		Elect James T. Flynn	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.7		Elect Henry Gabbay	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.8		Elect Jerrold B. Harris	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.9		Elect R. Glenn Hubbard	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.10		Elect W. Carl Kester	Mgmt	For
Blackrock Floating Rate Income Strategies Fund Inc	FRA	09255X100	7/30/2014	1.11		Elect Karen P. Robards	Mgmt	For
BlackRock Floating Rate Income Trust	BGT	091941104	7/30/2014	1.1		Elect Paul L. Audet	Mgmt	For
BlackRock Floating Rate Income Trust	BGT	091941104	7/30/2014	1.2		Elect Michael J. Castellano	Mgmt	For
BlackRock Floating Rate Income Trust	BGT	091941104	7/30/2014	1.3		Elect R. Glenn Hubbard	Mgmt	For
BlackRock Floating Rate Income Trust	BGT	091941104	7/30/2014	1.4		Elect W. Carl Kester	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2014	1.1		Elect Paul L. Audet	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2014	1.2		Elect Michael J. Castellano	Mgmt	For



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BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2014	1.3	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Global Opportunities Equity Trust	BOE	092501105	7/30/2014	1.4	Elect W. Carl Kester	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2014	1.3	Elect R. Glenn Hubbard	Mgmt	For
BlackRock International Growth and Income Trust	BGY	092524107	7/30/2014	1.4	Elect W. Carl Kester	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/30/2014	1.3	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	7/30/2014	1.4	Elect W. Carl Kester	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	11/10/2014	1	Reorganization of the BlackRock Real Asset Equity Trust	Mgmt	For
BlackRock Municipal 2020 Term Trust	BCX	09257A108	11/10/2014	2	Reorganization of the BlackRock Real Ecosolutions Investment Trust	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.3	Elect Richard E. Cavanagh	Mgmt	For

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BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.4	Elect Kathleen F. Feldstein	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.5	Elect James T. Flynn	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.6	Elect Henry Gabbay	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.7	Elect Jerrold B. Harris	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.8	Elect R. Glenn Hubbard	Mgmt	For
BlackRock MuniHoldings Quality Fund II Inc	MUE	09254C107	7/30/2014	1.9	Elect Karen P. Robards	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2014	1.3	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	7/30/2014	1.4	Elect W. Carl Kester	Mgmt	For
BlackRock Real Asset Equity Trust	BCF	09254B109	11/10/2014	1	Reorganization into the BlackRock Resources & Commodities Trust	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2014	1.1	Elect Paul L. Audet	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2014	1.2	Elect Michael J. Castellano	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2014	1.3	Elect R. Glenn Hubbard	Mgmt	For
BlackRock Utility and Infrastructure Trust	BUI	09248D104	7/30/2014	1.4	Elect W. Carl Kester	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	1	Elect Abdlatif Yousef Al-Hamad	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	2	Elect Mathis Cabiallavetta	Mgmt	For

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BlackRock, Inc.	BLK	09247X101	5/28/2015	3	Elect Pamela Daley	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	4	Elect William S. Demchak	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	5	Elect Jessica P. Einhorn	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	6	Elect Laurence D. Fink	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	7	Elect Fabrizio Freda	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	8	Elect Murry S. Gerber	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	9	Elect James Grosfeld	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	10	Elect Robert S. Kapito	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	11	Elect David H. Komansky	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	12	Elect Sir Deryck C. Maughan	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	13	Elect Cheryl D. Mills	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	14	Elect Thomas H. O'Brien	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	15	Elect Ivan G. Seidenberg	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	16	Elect Marco Antonio Slim Domit	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	17	Elect John S. Varley	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	18	Elect Susan L. Wagner	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	19	Amendment to the 1999 Stock Award and Incentive Plan	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	20	Advisory Vote on Executive Compensation	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	21	Ratification of Auditor	Mgmt	For
BlackRock, Inc.	BLK	09247X101	5/28/2015	22	Shareholder Proposal Regarding Genocide-Free Investing	ShrHoldr	Against
BlackRock, Inc.	BLK	09247X101	5/28/2015	23	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Blackstone/gso Long-short Credit Income Fund	BSL	09256U105	4/22/2015	1.1	Elect Thomas W. Jasper	Mgmt	For
Blackstone/gso Long-short Credit	BSL	09256U105	4/22/2015	1.2	Elect Gary S. Schpero	Mgmt	For

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Income Fund

Boeing Company	BA	097023105	4/27/2015	1	Elect David L. Calhoun	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	2	Elect Arthur D. Collins, Jr.	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	3	Elect Kenneth M. Duberstein	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	4	Elect Edmund P. Giambastiani, Jr.	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	5	Elect Lawrence W. Kellner	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	6	Elect Edward M. Liddy	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	7	Elect W. James McNerney, Jr.	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	8	Elect Susan C. Schwab	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	9	Elect Ronald A. Williams	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	10	Elect Mike S. Zafirovski	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	11	Advisory Vote on Executive Compensation	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	12	Ratification of Auditor	Mgmt	For
Boeing Company	BA	097023105	4/27/2015	13	Shareholder Proposal Regarding Recoupment of Unearned Bonuses	ShrHoldr	Against
Boeing Company	BA	097023105	4/27/2015	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Boeing Company	BA	097023105	4/27/2015	14	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Boeing Company	BA	097023105	4/27/2015	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Boston Scientific Corporation	BSX	101137107	5/5/2015	1	Elect Nelda J. Connors	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	2	Elect Charles J. Dockendorff	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	3	Elect Kristina M. Johnson	Mgmt	For
Boston Scientific	BSX	101137107	5/5/2015	4	Elect Edward J. Ludwig	Mgmt	For

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Corporation

Boston Scientific Corporation	BSX	101137107	5/5/2015	5	Elect Stephen P. MacMillan	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	6	Elect Michael F. Mahoney	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	9	Elect Pete M. Nicholas	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	10	Elect David J. Roux	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	11	Elect John E. Sununu	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	13	Ratification of Auditor	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	14	Shareholder Proposal Regarding Animal Welfare	ShrHoldr	Against
Boston Scientific Corporation	BSX	101137107	5/5/2015	7	Elect Ernest Mario	Mgmt	For
Boston Scientific Corporation	BSX	101137107	5/5/2015	8	Elect N.J. Nicholas, Jr.	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	1	Elect David J. Anderson	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	2	Elect Colleen F. Arnold	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	3	Elect George S. Barrett	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	4	Elect Carrie S. Cox	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	5	Elect Calvin Darden	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	6	Elect Bruce L. Downey	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	7	Elect Patricia A. Hemingway Hall	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	8	Elect Clayton M. Jones	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	9	Elect Gregory B. Kenny	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	10	Elect David P. King	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	11	Elect Richard C. Notebaert	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	12	Ratification of Auditor	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	13		Mgmt	For

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					Advisory Vote on Executive Compensation		
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	14	Approval of the Material Terms of the Management Incentive Plan	Mgmt	For
Cardinal Health, Inc.	CAH	14149Y108	11/5/2014	15	Shareholder Proposal Regarding Political Contributions and Expenditures	ShrHoldr	Against
Caterpillar Inc.	CAT	149123101	6/10/2015	1	Elect David L. Calhoun	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	2	Elect Daniel M. Dickinson	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	3	Elect Juan Gallardo	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	4	Elect Jesse J. Greene, Jr.	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	5	Elect Jon M. Huntsman, Jr.	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	6	Elect Dennis A. Muilenburg	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	7	Elect Douglas R. Oberhelman	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	8	Elect William A. Osborn	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	9	Elect Debra L. Reed	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	10	Elect Edward B. Rust, Jr.	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	11	Elect Susan C. Schwab	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	12	Elect Miles D. White	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	13	Ratification of Auditor	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	17	Shareholder Proposal Regarding Review of Human Rights Policies	ShrHoldr	Against
Caterpillar Inc.	CAT	149123101	6/10/2015	18	Shareholder Proposal Regarding Review of Human Rights Policies (2)	ShrHoldr	Against
Caterpillar Inc.	CAT	149123101	6/10/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Caterpillar Inc.	CAT	149123101	6/10/2015	15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against

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Caterpillar Inc.	CAT	149123101	6/10/2015	16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
CBRE Clarion Global Real Estate Income Fund	IGR	12504G100	11/10/2014	1.2	Elect Frederick S. Hammer	Mgmt	For
CBRE Clarion Global Real Estate Income Fund	IGR	12504G100	11/10/2014	1.1	Elect T. Ritson Ferguson	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	1	Elect Alexander B. Cummings, Jr.	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	2	Elect Linnet F. Deily	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	3	Elect Robert E. Denham	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	4	Elect Alice P. Gast	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	5	Elect Enrique Hernandez, Jr.	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	6	Elect Jon M. Huntsman, Jr.	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	7	Elect Charles W. Moorman, IV	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	8	Elect John G. Stumpf	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	9	Elect Ronald D. Sugar	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	10	Elect Inge G. Thulin	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	11	Elect Carl Ware	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	12	Elect John S. Watson	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	13	Ratification of Auditor	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Chevron Corporation	CVX	166764100	5/27/2015	15	Shareholder Proposal Regarding Charitable Contributions	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/27/2015	17	Shareholder Proposal Regarding Prohibiting Political Spending	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/27/2015	18	Shareholder Proposal Regarding Dividend Policy	ShrHoldr	Against
Chevron Corporation	CVX	166764100	5/27/2015	19	Shareholder Proposal	ShrHoldr	Against

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						Regarding Reporting and Reducing Greenhouse Gas Emissions		
Chevron Corporation	CVX	166764100	5/27/2015	20	Shareholder Proposal Regarding Hydraulic Fracturing	ShrHoldr	Against	
Chevron Corporation	CVX	166764100	5/27/2015	23	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHoldr	Against	
Chevron Corporation	CVX	166764100	5/27/2015	24	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against	
Chevron Corporation	CVX	166764100	5/27/2015	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	
Chevron Corporation	CVX	166764100	5/27/2015	21	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against	
Chevron Corporation	CVX	166764100	5/27/2015	22	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against	
CIGNA Corporation	CI	125509109	4/22/2015	1	Elect John M. Partridge	Mgmt	For	
CIGNA Corporation	CI	125509109	4/22/2015	2	Elect James E. Rogers	Mgmt	For	
CIGNA Corporation	CI	125509109	4/22/2015	3	Elect Eric C. Wiseman	Mgmt	For	
CIGNA Corporation	CI	125509109	4/22/2015	4	Advisory Vote on Executive Compensation	Mgmt	For	
CIGNA Corporation	CI	125509109	4/22/2015	5	Ratification of Auditor	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	1	Elect Carol A. Bartz	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	2	Elect M. Michele Burns	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	3	Elect Michael D. Capellas	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	4	Elect John T. Chambers	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	5	Elect Brian L. Halla	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	7	Elect Kristina M. Johnson	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	8	Elect Roderick C. McGeary	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	9	Elect Arun Sarin	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	10	Elect Steven M. West	Mgmt	For	
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	11	Amendment to the Employee Stock Purchase	Mgmt	For	



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					Plan		
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	12	Advisory Vote on Executive Compensation	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	13	Ratification of Auditor	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	14	Shareholder Proposal Regarding Formation of a Public Policy Committee of the Board	ShrHoldr	Against
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	15	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	6	Elect John L. Hennessy	Mgmt	For
Cisco Systems, Inc.	CSCO	17275R102	11/20/2014	16	Shareholder Proposal Regarding Political Contributions and Expenditures Report	ShrHoldr	Against
ClearBridge Energy MLP Total Return Fund Inc	CTR	18469Q108	3/20/2015	1.1	Elect Robert D. Agdern	Mgmt	For
ClearBridge Energy MLP Total Return Fund Inc	CTR	18469Q108	3/20/2015	1.2	Elect Eileen A. Kamerick	Mgmt	For
ClearBridge Energy MLP Total Return Fund Inc	CTR	18469Q108	3/20/2015	1.3	Elect Riordan Roett	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/15/2014	1.1	Elect Adam D. Crescenzi	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/15/2014	1.2	Elect Jerry G. Rutledge	Mgmt	For
Clough Global Allocation Fund	GLV	18913Y103	7/15/2014	1.3	Elect Vincent W. Versaci	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/23/2015	1.1	Elect George Grossman	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/23/2015	1.2	Elect Robert H. Steers	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/23/2015	1.3	Elect C. Edward Ward, Jr.	Mgmt	For
Cohen & Steers Infrastructure Fund Inc	UTF	19248A109	4/23/2015	2	For Cohen & Steers Infrastructure Fund, Revise Fundamental Investment Restriction Policy Regarding	Mgmt	For

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						Concentrating Investments in an Industry		
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/23/2015	1.1	Elect George Grossman	Mgmt	For	
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/23/2015	1.2	Elect Robert H. Steers	Mgmt	For	
Cohen & Steers Quality Income Realty Fund, Inc.	RQI	19247L106	4/23/2015	1.3	Elect C. Edward Ward, Jr.	Mgmt	For	
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/23/2015	1.1	Elect George Grossman	Mgmt	For	
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/23/2015	1.2	Elect Robert H. Steers	Mgmt	For	
Cohen & Steers REIT & Preferred Income Fund, Inc.	RNP	19247X100	4/23/2015	1.3	Elect C. Edward Ward, Jr.	Mgmt	For	
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/23/2015	1.1	Elect George Grossman	Mgmt	For	
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/23/2015	1.2	Elect Robert H. Steers	Mgmt	For	
Cohen & Steers Total Return Realty Fund Inc	RFI	19247R103	4/23/2015	1.3	Elect C. Edward Ward, Jr.	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.1	Elect Kenneth J. Bacon	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.2	Elect Sheldon M. Bonovitz	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.3	Elect Edward D. Breen	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.5	Elect J. Michael Cook	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.7	Elect Jeffrey A. Honickman	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.8	Elect Eduardo G. Mestre	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.9	Elect Brian L. Roberts	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.10	Elect Ralph J. Roberts	Mgmt	For	
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.11	Elect Johnathan A. Rodgers	Mgmt	For	

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Comcast Corporation	CMCSA	20030N101	5/21/2015	2	Ratification of Auditor	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/21/2015	3	Amendment to the 2006 Cash Bonus Plan	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/21/2015	4	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Comcast Corporation	CMCSA	20030N101	10/8/2014	1	Merger	Mgmt	For
Comcast Corporation	CMCSA	20030N101	10/8/2014	2	Right to Adjourn Meeting	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.4	Elect Joseph J. Collins	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.6	Elect Gerald L. Hassell	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/21/2015	1.12	Elect Judith Rodin	Mgmt	For
Comcast Corporation	CMCSA	20030N101	5/21/2015	5	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Comcast Corporation	CMCSA	20030N101	5/21/2015	6	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against
ConocoPhillips	COP	20825C104	5/12/2015	1	Elect Richard L. Armitage	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	2	Elect Richard H. Auchinleck	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	3	Elect Charles E. Bunch	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	4	Elect James E. Copeland, Jr.	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	5	Elect John V. Faraci	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	6	Elect Jody L Freeman	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	7	Elect Gay Huey Evans	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	8	Elect Ryan M. Lance	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	9	Elect Arjun N. Murti	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	10	Elect Robert A. Niblock	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	11	Elect Harald J. Norvik	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	12	Ratification of Auditor	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
ConocoPhillips	COP	20825C104	5/12/2015	14	Shareholder Proposal Regarding Lobbying	ShrHoldr	Against

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					Report		
ConocoPhillips	COP	20825C104	5/12/2015	15	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
ConocoPhillips	COP	20825C104	5/12/2015	16	Shareholder Proposal Regarding Reserves Metrics in Executive Compensation	ShrHoldr	Against
ConocoPhillips	COP	20825C104	5/12/2015	17	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Corning Incorporated	GLW	219350105	4/30/2015	1	Elect Donald W. Blair	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	2	Elect Stephanie A. Burns	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	3	Elect John A. Canning Jr.	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	4	Elect Richard T. Clark	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	7	Elect Deborah A. Henretta	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	8	Elect Daniel P. Huttenlocher	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	9	Elect Kurt M. Landgraf	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	10	Elect Kevin J. Martin	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	11	Elect Deborah D. Rieman	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	12	Elect Hansel E. Tookes II	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	13	Elect Wendell P. Weeks	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	14	Elect Mark S. Wrighton	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	15	Ratification of Auditor	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	16	Advisory Vote on Executive Compensation	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	17	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against
Corning Incorporated	GLW	219350105	4/30/2015	5	Elect Robert F. Cummings, Jr.	Mgmt	For
Corning Incorporated	GLW	219350105	4/30/2015	6	Elect James B. Flaws	Mgmt	For
Credit Suisse Asset Management Income Fund, Inc.	CIK	224916106	4/21/2015	1.1	Elect Lawrence J. Fox	Mgmt	For

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Credit Suisse Asset Management Income Fund, Inc.	CIK	224916106	4/21/2015	1.2	Elect John G. Popp	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/17/2015	1.1	Elect W. Thacher Brown	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/17/2015	1.2	Elect Ellen D. Harvey	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/17/2015	1.3	Elect Thomas E. Spock	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	6/17/2015	1.4	Elect Suzanne P. Welsh	Mgmt	For
Cutwater Select Income Fund	CSI	232229104	12/10/2014	1	Approval of a New Investment Advisory Agreement	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	1	Elect Richard M. Bracken	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	2	Elect C. David Brown II	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	3	Elect Alecia A. DeCoudreaux	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	4	Elect Nancy-Ann M. Deparle	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	5	Elect David W. Dorman	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	6	Elect Anne M. Finucane	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	7	Elect Larry J. Merlo	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	8	Elect Jean-Pierre Millon	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	9	Elect Richard J. Swift	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	10	Elect William C. Weldon	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	11	Elect Tony L. White	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	12	Ratification of Auditor	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	13	Advisory Vote on Executive Compensation	Mgmt	For

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CVS Health Corporation	CVS	126650100	5/7/2015	14	Approval of the Performance Criteria in the 2010 Incentive Compensation Plan	Mgmt	For
CVS Health Corporation	CVS	126650100	5/7/2015	15	Shareholder Proposal Regarding Incorporation of Values in Political Spending	ShrHoldr	Against
Deere & Company	DE	244199105	2/25/2015	1	Elect Samuel R. Allen	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	2	Elect Crandall C. Bowles	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	3	Elect Vance D. Coffman	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	4	Elect Charles O. Holliday, Jr.	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	5	Elect Dipak C. Jain	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	6	Elect Michael O. Johanns	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	7	Elect Clayton M. Jones	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	8	Elect Joachim Milberg	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	9	Elect Richard B. Myers	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	10	Elect Gregory R. Page	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	11	Elect Thomas H. Patrick	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	12	Elect Sherry M. Smith	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	13	Right to Call a Special Meeting	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	14	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	15	Amendment to the Omnibus Equity and Incentive Plan	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	16	Re-Approval of the Short-Term Incentive Bonus Plan	Mgmt	For
Deere & Company	DE	244199105	2/25/2015	17	Ratification of Auditor	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.1	Elect Thomas L. Bennett	Mgmt	For
Delaware Enhanced Global Dividend &	DEX	246060107	8/20/2014	1.2	Elect Joseph W. Chow	Mgmt	For

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Income Fund

Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.3	Elect Patrick P. Coyne	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.4	Elect John A. Fry	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.5	Elect Lucinda S. Landreth	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.6	Elect Frances A. Sevilla-Sacasa	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.7	Elect Thomas K. Whitford	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.8	Elect Janet L. Yeomans	Mgmt	For
Delaware Enhanced Global Dividend & Income Fund	DEX	246060107	8/20/2014	1.9	Elect J. Richard Zecher	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	1	Elect Richard H. Anderson	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	2	Elect Edward H. Bastian	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	3	Elect Francis S. Blake	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	4	Elect Roy J. Bostock	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	5	Elect John S. Brinzo	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	6	Elect Daniel A. Carp	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	7	Elect David G. DeWalt	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	8	Elect Thomas E. Donilon	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	9	Elect William H. Easter III	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	10	Elect Mickey P. Foret	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	11	Elect Shirley C. Franklin	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	12	Elect David R. Goode	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	13	Elect George N. Mattson	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	14	Elect Douglas R Ralph	Mgmt	For

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Delta Air Lines, Inc.	DAL	247361702	6/25/2015	15	Elect Sergio Agapito Lires Rial	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	16	Elect Kenneth B. Woodrow	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	17	Advisory Vote on Executive Compensation	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	18	Ratification of Auditor	Mgmt	For
Delta Air Lines, Inc.	DAL	247361702	6/25/2015	19	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against
Deutsche High Income Opportunities Fund Inc	DHG	23339M204	9/3/2014	1.1	Elect John W. Ballantine	Mgmt	For
Deutsche High Income Opportunities Fund Inc	DHG	23339M204	9/3/2014	1.2	Elect Dawn-Marie Driscoll	Mgmt	For
Deutsche High Income Opportunities Fund Inc	DHG	23339M204	9/3/2014	1.3	Elect Kenneth C. Froewiss	Mgmt	For
Deutsche High Income Opportunities Fund Inc	DHG	23339M204	9/3/2014	1.4	Elect Rebecca W. Rimel	Mgmt	For
Deutsche High Income Trust	KHI	23337C208	9/3/2014	1.1	Elect John W. Ballantine	Mgmt	For
Deutsche High Income Trust	KHI	23337C208	9/3/2014	1.2	Elect Dawn-Marie Driscoll	Mgmt	For
Deutsche High Income Trust	KHI	23337C208	9/3/2014	1.3	Elect Kenneth C. Froewiss	Mgmt	For
Deutsche High Income Trust	KHI	23337C208	9/3/2014	1.4	Elect Rebecca W. Rimel	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	23338L108	9/3/2014	1.1	Elect John W. Ballantine	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	23338L108	9/3/2014	1.2	Elect Dawn-Marie Driscoll	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	23338L108	9/3/2014	1.3	Elect Kenneth C. Froewiss	Mgmt	For
Deutsche Multi-Market Income Trust	KMM	23338L108	9/3/2014	1.4	Elect Rebecca W. Rimel	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.1	Elect Barbara M. Baumann	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.2	Elect John E. Bethancourt	Mgmt	For
	DVN	25179M103	6/3/2015	1.5		Mgmt	For



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Devon Energy Corporation						Elect Robert A. Mosbacher, Jr.		
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.6		Elect J. Larry Nichols	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.7		Elect Duane Radtke	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.8		Elect Mary P. Ricciardello	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.9		Elect John Richels	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	2		Advisory Vote on Executive Compensation	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	3		Ratification of Auditor	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	4		2015 Long-Term Incentive Plan	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	6		Shareholder Proposal Regarding Report on Lobbying Activities Related to Energy Policy and Climate Change	ShrHoldr	Against
Devon Energy Corporation	DVN	25179M103	6/3/2015	8		Shareholder Proposal Regarding Carbon Asset Risk	ShrHoldr	Against
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.3		Elect Robert H. Henry	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	1.4		Elect Michael M. Kanovsky	Mgmt	For
Devon Energy Corporation	DVN	25179M103	6/3/2015	5		Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Devon Energy Corporation	DVN	25179M103	6/3/2015	7		Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
DIRECTV	DTV	25490A309	9/25/2014	1		Merger/Acquisition	Mgmt	For
DIRECTV	DTV	25490A309	9/25/2014	2		Advisory Vote on Golden Parachutes	Mgmt	For
DIRECTV	DTV	25490A309	9/25/2014	3		Right to Adjourn Meeting	Mgmt	For
Discover Financial Services	DFS	254709108	4/29/2015	1		Elect Jeffrey S. Aronin	Mgmt	For
	DFS	254709108	4/29/2015	2		Elect Mary K. Bush	Mgmt	For

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Discover Financial Services								
Discover Financial Services	DFS	254709108	4/29/2015	3	Elect Gregory C. Case	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	4	Elect Candace H. Duncan	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	5	Elect Cynthia A. Glassman	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	6	Elect Richard H. Lenny	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	7	Elect Thomas G. Maheras	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	8	Elect Michael H. Moskow	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	9	Elect David W. Nelms	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	10	Elect Mark A. Thierer	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	11	Elect Lawrence A. Weinbach	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	12	Advisory Vote on Executive Compensation	Mgmt	For	
Discover Financial Services	DFS	254709108	4/29/2015	13	Ratification of Auditor	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	1	Elect Ajay Banga	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	2	Elect Jacqueline K. Barton	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	3	Elect James A. Bell	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	4	Elect Richard K. Davis	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	5	Elect Jeff M. Fettig	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	6	Elect Andrew N. Liveris	Mgmt	For	
Dow Chemical Company	DOW	260543103	5/14/2015	7	Elect Mark Loughridge	Mgmt	For	
	DOW	260543103	5/14/2015	8		Mgmt	For	

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Dow Chemical Company						Elect Raymond J. Milchovich		
Dow Chemical Company	DOW	260543103	5/14/2015	9		Elect Robert S. Miller	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	10		Elect Paul Polman	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	11		Elect Dennis H. Reilley	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	12		Elect James M. Ringler	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	13		Elect Ruth G. Shaw	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	14		Ratification of Auditor	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	15		Advisory Vote on Executive Compensation	Mgmt	For
Dow Chemical Company	DOW	260543103	5/14/2015	16		Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/18/2015	1.1		Elect Donald C. Burke	Mgmt	For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/18/2015	1.2		Elect Stewart E. Conner	Mgmt	For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/18/2015	1.3		Elect Eileen A. Moran	Mgmt	For
Duff & Phelps Global Utility Income Fund Inc	DPG	26433C105	6/18/2015	1.4		Elect David J. Vitale	Mgmt	For
Eaton Vance Senior Floating-Rate Fund	EFR	27828Q105	8/21/2014	1.1		Elect Thomas E. Faust Jr.	Mgmt	For
Eaton Vance Senior Floating-Rate Fund	EFR	27828Q105	8/21/2014	1.2		Elect William H. Park	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/26/2015	1.1		Elect George J. Gorman	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/26/2015	1.2		Elect William H. Park	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/26/2015	1.3		Elect Ronald A. Pearlman	Mgmt	For
Eaton Vance Senior Income Trust	EFT	278279104	3/26/2015	1.4		Elect Harriett Tee Taggart	Mgmt	For

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Eaton Vance Senior Income Trust	EVF	27826S103	10/23/2014	1.1	Elect Thomas E. Faust Jr.	Mgmt	For
Eaton Vance Senior Income Trust	EVF	27826S103	10/23/2014	1.2	Elect Cynthia E. Frost	Mgmt	For
Eaton Vance Senior Income Trust	EVF	27826S103	10/23/2014	1.3	Elect Valerie A. Mosley	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	1	Elect Douglas M. Baker, Jr.	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	2	Elect Barbara J. Beck	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	3	Elect Leslie S. Biller	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	4	Elect Carl M. Casale	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	5	Elect Stephen I. Chazen	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	6	Elect Jeffrey M. Ettinger	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	7	Elect Jerry A. Grundhofer	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	8	Elect Arthur J. Higgins	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	9	Elect Joel W. Johnson	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	10	Elect Michael Larson	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	11	Elect Jerry W. Levin	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	12	Elect Robert L. Lumpkins	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	13	Elect Tracy B. McKibben	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	14	Elect Victoria J. Reich	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	15	Elect Suzanne M. Vautrinot	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	16	Elect John J. Zillmer	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	17	Ratification of Auditor	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	18	Advisory Vote on Executive Compensation	Mgmt	For
Ecolab Inc.	ECL	278865100	5/7/2015	19	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
EOG Resources, Inc.	EOG	26875P101	4/30/2015	1	Elect Janet F. Clark	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	2	Elect Charles R. Crisp	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	3	Elect James C. Day	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	4	Elect H. Leighton Steward	Mgmt	For

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EOG Resources, Inc.	EOG	26875P101	4/30/2015	5	Elect Donald F. Textor	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	6	Elect William R. Thomas	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	7	Elect Frank G. Wisner	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	8	Ratification of Auditor	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	9	Advisory Vote on Executive Compensation	Mgmt	For
EOG Resources, Inc.	EOG	26875P101	4/30/2015	11	Shareholder Proposal Regarding Methane Emissions Reporting and Reduction Target	ShrHoldr	Against
EOG Resources, Inc.	EOG	26875P101	4/30/2015	10	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Exelon Corporation	EXC	30161N101	4/28/2015	1	Elect Anthony K. Anderson	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	2	Elect Ann C. Berzin	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	4	Elect Christopher M. Crane	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	6	Elect Nicholas DeBenedictis	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	7	Elect Paul L. Joskow	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	9	Elect Richard W. Mies	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	11	Elect John W. Rogers, Jr.	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	12	Elect Mayo A. Shattuck III	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	13	Elect Stephen D. Steinour	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	14	Ratification of Auditor	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	16	Reapproval of Performance Goals Under 2011 Long-Term Incentive Plan	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	3	Elect John A. Canning Jr.	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	5	Elect Yves C. de Balmann	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	8	Elect Robert J. Lawless	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	10	Elect William C. Richardson	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	15		Mgmt	For

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					Advisory Vote on Executive Compensation		
Exelon Corporation	EXC	30161N101	4/28/2015	17	Management Proposal Regarding Proxy Access	Mgmt	For
Exelon Corporation	EXC	30161N101	4/28/2015	18	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
First Trust - Four Corners Senior Floating Rate Income Fund	FCT	33733U108	9/15/2014	1.1	Elect Thomas R. Kadlec	Mgmt	For
First Trust - Four Corners Senior Floating Rate Income Fund	FCT	33733U108	9/15/2014	1.2	Elect Richard E. Erickson	Mgmt	For
First Trust Energy Income and Growth Fund	FEO	33731K102	4/20/2015	1.1	Elect Richard E. Erickson	Mgmt	For
First Trust Energy Income and Growth Fund	FEO	33731K102	4/20/2015	1.2	Elect Thomas R. Kadlec	Mgmt	For
First Trust Energy Income and Growth Fund	FEN	33738G104	4/20/2015	1.1	Elect Richard E. Erickson	Mgmt	For
First Trust Energy Income and Growth Fund	FEN	33738G104	4/20/2015	1.2	Elect Thomas R. Kadlec	Mgmt	For
First Trust Energy Income and Growth Fund	FIF	33738C103	4/20/2015	1.1	Elect Richard E. Erickson	Mgmt	For
First Trust Energy Income and Growth Fund	FIF	33738C103	4/20/2015	1.2	Elect Thomas R. Kadlec	Mgmt	For
First Trust High Income Long Short Fund	FSD	33738E109	4/20/2015	1.1	Elect Richard E. Erickson	Mgmt	For
First Trust High Income Long Short Fund	FSD	33738E109	4/20/2015	1.2	Elect Thomas R. Kadlec	Mgmt	For
First Trust Intermediate Duration Preferred & Income Fund	FPF	33739B104	4/20/2015	1.1	Elect Richard E. Erickson	Mgmt	For
First Trust Intermediate Duration Preferred & Income Fund	FPF	33739B104	4/20/2015	1.2	Elect Thomas R. Kadlec	Mgmt	For
First Trust Mortgage	FMY	33734E103	4/20/2015	1.1	Elect Richard E. Erickson	Mgmt	For

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Income Fund

First Trust Mortgage Income Fund	FMY	33734E103	4/20/2015	1.2	Elect Thomas R. Kadlec	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	1	Elect Stephen G. Butler	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	2	Elect Kimberly A. Casiano	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	3	Elect Anthony F. Earley, Jr.	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	4	Elect Mark Fields	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	6	Elect William Clay Ford, Jr.	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	7	Elect James P. Hackett	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	8	Elect James H. Hance, Jr.	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	9	Elect William W. Helman IV	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	10	Elect Jon M. Huntsman, Jr.	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	11	Elect William E. Kennard	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	12	Elect John C. Lechleiter	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	13	Elect Ellen R. Marram	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	15	Elect John L. Thornton	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	16	Ratification of Auditor	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	17	Advisory Vote on Executive Compensation	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	5	Elect Edsel B. Ford II	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	14	Elect Gerald L. Shaheen	Mgmt	For
Ford Motor Company	F	345370860	5/14/2015	18	Shareholder Proposal Regarding Recapitalization	ShrHoldr	Against
Ford Motor Company	F	345370860	5/14/2015	19	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against
Franklin Resources, Inc.	BEN	354613101	3/11/2015	1	Elect Peter K. Barker	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	2	Elect Mariann Byerwalter	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	3	Elect Charles E. Johnson	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	4	Elect Gregory E. Johnson	Mgmt	For

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Franklin Resources, Inc.	BEN	354613101	3/11/2015	5	Elect Rupert H. Johnson, Jr.	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	6	Elect Mark C. Pigott	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	7	Elect Chutta Ratnathicam	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	8	Elect Laura Stein	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	9	Elect Seth H. Waugh	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	10	Elect Geoffrey Y. Yang	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	11	Ratification of Auditor	Mgmt	For
Franklin Resources, Inc.	BEN	354613101	3/11/2015	12	Re-Approval of the Material Terms of the 2002 Universal Stock Incentive Plan	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.1	Elect Harris J. Ashton	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.2	Elect Mary C. Choksi	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.3	Elect Sam Ginn	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.4	Elect Edith E. Holiday	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.5	Elect Gregory E. Johnson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.6	Elect Rupert H. Johnson, Jr.	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.7	Elect J. Michael Luttig	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.8	Elect Frank A. Olson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.9	Elect Larry D. Thompson	Mgmt	For
Franklin Universal Trust	FT	355145103	3/20/2015	1.10	Elect John B. Wilson	Mgmt	For
Gabelli Equity Trust Inc.	GAB	362397101	5/11/2015	1.1	Elect Anthony R. Pustorino	Mgmt	For
Gabelli Global Utility & Income Trust.	GLU	36242L105	5/11/2015	1.1	Elect Salvatore M. Salibello	Mgmt	For
Gabelli Healthcare & WellnessRx Trust	GRX	36246K103	5/11/2015	1.1	Elect Robert C. Kolodny	Mgmt	For



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Gabelli Healthcare & WellnessRx Trust	GRX	36246K103	5/11/2015	1.2	Elect Salvatore J. Zizza	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465E101	5/11/2015	1.1	Elect Anthony J. Colavita	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465E101	5/11/2015	1.2	Elect Frank J. Fahrenkopf, Jr.	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465E101	5/11/2015	1.3	Elect William F. Heitmann	Mgmt	For
GAMCO Natural Resources, Gold & Income Trust	GNT	36465E101	5/11/2015	1.4	Elect Salvatore J. Zizza	Mgmt	For
GDL Fund	GDL	361570104	5/11/2015	1.1	Elect Mario J. Gabelli	Mgmt	For
GDL Fund	GDL	361570104	5/11/2015	1.2	Elect Mario d'Urso	Mgmt	For
GDL Fund	GDL	361570104	5/11/2015	1.3	Elect Michael J. Melarkey	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.2	Elect Lewis B. Cullman	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.3	Elect Spencer Davidson	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.4	Elect John D. Gordan, III	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.5	Elect Betsy F. Gotbaum	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.6	Elect Sidney R. Knafel	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.7	Elect Daniel M. Neidich	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	1.8	Elect Jeffrey W. Priest	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	2	Ratification of Auditor	Mgmt	For
General American Investors Company, Inc.	GAM	368802104	4/15/2015	3	Shareholder Proposal to Self-Tender or Liquidate	ShrHoldr	Against
	GAM	368802104	4/15/2015	1.1	Elect Rodney B. Berens	Mgmt	For

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General American  
Investors Company, Inc.

General Dynamics Corporation	GD	369550108	5/6/2015	1	Elect Mary T. Barra	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	2	Elect Nicholas D. Chabraja	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	3	Elect James S. Crown	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	4	Elect Rudy F. deLeon	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	5	Elect William P. Fricks	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	6	Elect John M. Keane	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	7	Elect Lester L. Lyles	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	8	Elect James N. Mattis	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	9	Elect Phebe N. Novakovic	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	10	Elect William A. Osborn	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	11	Elect Laura J. Schumacher	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	12	Ratification of Auditor	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
General Dynamics Corporation	GD	369550108	5/6/2015	14	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
General Electric Company	GE	369604103	4/22/2015	1	Elect W. Geoffrey Beattie	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	2	Elect John J. Brennan	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	3	Elect James I. Cash, Jr.	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	4	Elect Francisco D'Souza	Mgmt	For

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General Electric Company	GE	369604103	4/22/2015	5	Elect Marijn E. Dekkers	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	6	Elect Susan Hockfield	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	7	Elect Jeffrey R. Immelt	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	8	Elect Andrea Jung	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	9	Elect Robert W. Lane	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	10	Elect Rochelle B. Lazarus	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	11	Elect James J. Mulva	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	12	Elect James E. Rohr	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	13	Elect Mary L. Schapiro	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	14	Elect Robert J. Swieringa	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	15	Elect James S. Tisch	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	16	Elect Douglas A. Warner III	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	17	Advisory Vote on Executive Compensation	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	18	Ratification of Auditor	Mgmt	For
General Electric Company	GE	369604103	4/22/2015	19	Shareholder Proposal Regarding Cumulative Voting	ShrHoldr	Against
General Electric Company	GE	369604103	4/22/2015	20	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
General Electric Company	GE	369604103	4/22/2015	21	Shareholder Proposal Regarding Nomination of Retiree Directors	ShrHoldr	Against
General Electric Company	GE	369604103	4/22/2015	22	Shareholder Proposal Regarding Holy Land Principles	ShrHoldr	Against

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General Electric Company	GE	369604103	4/22/2015	23	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	1	Elect John F. Cogan	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	2	Elect Etienne F. Davignon	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	3	Elect Carla A. Hills	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	4	Elect Kevin E. Lofton	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	5	Elect John W. Madigan	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	6	Elect John C. Martin	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	7	Elect Nicholas G. Moore	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	8	Elect Richard J. Whitley	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	9	Elect Gayle E. Wilson	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	10	Elect Per Wold-Olsen	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	11	Ratification of Auditor	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	12	Amendment to the Employee Stock Purchase Plans	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	16	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	17	Shareholder Proposal Regarding Drug Pricing Report	ShrHoldr	Against
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Gilead Sciences, Inc.	GILD	375558103	5/6/2015	15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Global High Income Fund Inc	GHI	37933G108	2/19/2015	1.1	Elect Richard Q. Armstrong	Mgmt	For
Global High Income Fund Inc	GHI	37933G108	2/19/2015	1.2	Elect Alan S. Bernikow	Mgmt	For
Global High Income	GHI	37933G108	2/19/2015	1.3	Elect David Malpass	Mgmt	For

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Fund Inc

Guggenheim Enhanced Equity Strategy Fund	GGE	40167K100	4/29/2015	1	Elect Dr. Jerry B. Farley	Mgmt	For
Guggenheim Enhanced Equity Strategy Fund	GGE	40167K100	4/29/2015	2	Elect Roman Friedrich III	Mgmt	For
Guggenheim Enhanced Equity Strategy Fund	GGE	40167K100	4/29/2015	3	Elect Ronald A. Nyberg	Mgmt	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167M106	4/29/2015	1	Elect Dr. Jerry B. Farley	Mgmt	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167M106	4/29/2015	2	Elect Roman Friedrich III	Mgmt	For
Guggenheim Equal Weight Enhanced Equity Income Fund	GOF	40167M106	4/29/2015	3	Elect Ronald A. Nyberg	Mgmt	For
Guggenheim Funds Investment Advisors LLC	MZF	56165R103	12/10/2014	1	Approval of a New Investment Advisory Agreement	Mgmt	For
Halliburton Company	HAL	406216101	3/27/2015	1	Merger/Acquisition	Mgmt	For
Halliburton Company	HAL	406216101	3/27/2015	2	Right to Adjourn Meeting	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	1	Elect Abdulaziz F. Al Khayyal	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	2	Elect Alan M. Bennett	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	3	Elect James R. Boyd	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	5	Elect Nance K. Dicciani	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	6	Elect Murry S. Gerber	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	7	Elect Jose C. Grubisich	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	8	Elect David J. Lesar	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	9	Elect Robert A. Malone	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	10	Elect J. Landis Martin	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	11	Elect Jeffrey A. Miller	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	12	Elect Debra L. Reed	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	13	Ratification of Auditor	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	15		Mgmt	For

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						Amendment to the Stock and Incentive Plan		
Halliburton Company	HAL	406216101	5/20/2015	16		Amendment to the Employee Stock Purchase Plan	Mgmt	For
Halliburton Company	HAL	406216101	5/20/2015	4		Elect Milton Carroll	Mgmt	For
Herzfeld Caribbean Basin Fund Inc	CUBA	42804T106	11/10/2014	2		Amendment to Investment Policy	Mgmt	For
Herzfeld Caribbean Basin Fund Inc	CUBA	42804T106	11/10/2014	1.1		Elect Thomas J. Herzfeld	Mgmt	For
Herzfeld Caribbean Basin Fund Inc	CUBA	42804T106	11/10/2014	3		Amendment to Investment Advisory Agreement	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	1		Elect Marc L. Andreessen	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	2		Elect Shumeet Banerji	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	3		Elect Robert R. Bennett	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	4		Elect Rajiv L. Gupta	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	5		Elect Klaus Kleinfeld	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	6		Elect Raymond J. Lane	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	7		Elect Ann M. Livermore	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	8		Elect Raymond E. Ozzie	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	9		Elect Gary M. Reiner	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	10		Elect Patricia F. Russo	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	11		Elect James A. Skinner	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	12		Elect Margaret C. Whitman	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	13		Ratification of Auditor	Mgmt	For

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Hewlett-Packard Company	HPQ	428236103	3/18/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Hewlett-Packard Company	HPQ	428236103	3/18/2015	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Honeywell International Inc.	HON	438516106	4/27/2015	1	Elect William S. Ayer	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	2	Elect Gordon M. Bethune	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	3	Elect Kevin Burke	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	4	Elect Jaime Chico Pardo	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	5	Elect David M. Cote	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	6	Elect D. Scott Davis	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	7	Elect Linnet F. Deily	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	8	Elect Judd Gregg	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	9	Elect Clive Hollick	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	10	Elect Grace D. Lieblein	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	11	Elect George Paz	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	12	Elect Bradley T. Sheares	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	13	Elect Robin L. Washington	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	14	Ratification of Auditor	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	15	Advisory Vote on Executive Compensation	Mgmt	For
Honeywell International Inc.	HON	438516106	4/27/2015	16	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Honeywell International Inc.	HON	438516106	4/27/2015	17	Shareholder Proposal Regarding Right to Act	ShrHoldr	Against

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					by Written Consent		
Honeywell International Inc.	HON	438516106	4/27/2015	18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Intel Corporation	INTC	458140100	5/21/2015	1	Elect Charlene Barshefsky	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	2	Elect Aneel Bhusri	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	3	Elect Andy D. Bryant	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	4	Elect Susan L. Decker	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	6	Elect Reed E. Hundt	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	7	Elect Brian M. Krzanich	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	8	Elect James D. Plummer	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	9	Elect David S. Pottruck	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	10	Elect Frank D. Yeary	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	11	Elect David B. Yoffie	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	12	Ratification of Auditor	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	14	Amendment to the 2006 Equity Incentive Plan	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	15	Amendment to the 2006 Stock Purchase Plan	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	16	Stockholder Proposal Regarding Holy Land Principles	ShrHoldr	Against
Intel Corporation	INTC	458140100	5/21/2015	18	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
Intel Corporation	INTC	458140100	5/21/2015	5	Elect John J. Donahoe	Mgmt	For
Intel Corporation	INTC	458140100	5/21/2015	17	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Invesco High Income Trust II	VLT	46131H107	8/29/2014	1	Elect Albert R. Dowden	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	2	Elect Prema Mathai-Davis	Mgmt	For
	VLT	46131H107	8/29/2014	3		Mgmt	For



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Invesco High Income Trust II						Elect Raymond Stickel, Jr.		
Invesco High Income Trust II	VLT	46131H107	8/29/2014	4		Elect David C. Arch	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	5		Elect Larry Soll	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	6		Elect Philip A. Taylor	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	7		Elect Suzanne Woolsey	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	8		Elect James T. Bunch	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	9		Elect Bruce L. Crockett	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	10		Elect Rodney F. Dammeyer	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	11		Elect Jack M. Fields, Jr.	Mgmt	For
Invesco High Income Trust II	VLT	46131H107	8/29/2014	12		Elect Martin L. Flanagan	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	1		Elect Albert R. Dowden	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	2		Elect Prema Mathai-Davis	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	3		Elect Hugo F. Sonnenschein	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	4		Elect Raymond Stickel, Jr.	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	5		Elect David C. Arch	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	6		Elect Frank S. Bayley	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	7		Elect Larry Soll	Mgmt	For
Invesco High Income Trust II	VLT	46131F101	8/29/2014	8		Elect Philip A. Taylor	Mgmt	For
	VLT	46131F101	8/29/2014	9		Elect Suzanne Woolsey	Mgmt	For

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Invesco High Income Trust II								
Invesco High Income Trust II	VLT	46131F101	8/29/2014	10	Elect James T. Bunch	Mgmt	For	
Invesco High Income Trust II	VLT	46131F101	8/29/2014	11	Elect Bruce L. Crockett	Mgmt	For	
Invesco High Income Trust II	VLT	46131F101	8/29/2014	12	Elect Rodney F. Dammeyer	Mgmt	For	
Invesco High Income Trust II	VLT	46131F101	8/29/2014	13	Elect Jack M. Fields, Jr.	Mgmt	For	
Invesco High Income Trust II	VLT	46131F101	8/29/2014	14	Elect Martin L. Flanagan	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	1	Elect Albert R. Dowden	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	2	Elect Prema Mathai-Davis	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	3	Elect Raymond Stickel, Jr.	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	4	Elect David C. Arch	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	5	Elect Larry Soll	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	6	Elect Philip A. Taylor	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	7	Elect Suzanne Woolsey	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	8	Elect James T. Bunch	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	9	Elect Bruce L. Crockett	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	10	Elect Rodney F. Dammeyer	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	11	Elect Jack M. Fields, Jr.	Mgmt	For	
Invesco Municipal Opportunity Trust	VMO	46132C107	8/29/2014	12	Elect Martin L. Flanagan	Mgmt	For	
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	1	Elect Albert R. Dowden	Mgmt	For	
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	2		Mgmt	For	

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						Elect Prema Mathai-Davis		
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	3		Elect Raymond Stickel, Jr.	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	4		Elect David C. Arch	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	5		Elect Larry Soll	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	6		Elect Philip A. Taylor	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	7		Elect Suzanne Woolsey	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	8		Elect James T. Bunch	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	9		Elect Bruce L. Crockett	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	10		Elect Rodney F. Dammeyer	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	11		Elect Jack M. Fields, Jr.	Mgmt	For
Invesco Municipal Trust	VKQ	46131J103	8/29/2014	12		Elect Martin L. Flanagan	Mgmt	For
Invesco Quality Municipal Income Trust	GVT	61745P874	7/1/2014	1.1		Elect Frank L. Bowman	Mgmt	For
Invesco Quality Municipal Income Trust	GVT	61745P874	7/1/2014	1.2		Elect Michael Bozic	Mgmt	For
Invesco Quality Municipal Income Trust	GVT	61745P874	7/1/2014	1.3		Elect James F. Higgins	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	1		Elect Albert R. Dowden	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	2		Elect Prema Mathai-Davis	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	3		Elect Raymond Stickel, Jr.	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	4		Elect David C. Arch	Mgmt	For

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Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	5	Elect Larry Soll	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	6	Elect Philip A. Taylor	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	7	Elect Suzanne Woolsey	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	8	Elect James T. Bunch	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	9	Elect Bruce L. Crockett	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	10	Elect Rodney F. Dammeyer	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	11	Elect Jack M. Fields, Jr.	Mgmt	For
Invesco Trust for Investment Grade Municipals	VGM	46131M106	8/29/2014	12	Elect Martin L. Flanagan	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	1	Elect Albert R. Dowden	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	2	Elect Prema Mathai-Davis	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	3	Elect Raymond Stickel, Jr.	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	4	Elect David C. Arch	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	5	Elect Larry Soll	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	6	Elect Philip A. Taylor	Mgmt	For

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Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	7	Elect Suzanne Woolsey	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	8	Elect James T. Bunch	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	9	Elect Bruce L. Crockett	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	10	Elect Rodney F. Dammeyer	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	11	Elect Jack M. Fields, Jr.	Mgmt	For
Invesco Trust For Investment Grade New York Municipals	VPV	46132E103	8/29/2014	12	Elect Martin L. Flanagan	Mgmt	For
John Hancock Financial Opportunities Fund	BTO	409735206	1/26/2015	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Financial Opportunities Fund	BTO	409735206	1/26/2015	1.2	Elect Peter S. Burgess	Mgmt	For
John Hancock Financial Opportunities Fund	BTO	409735206	1/26/2015	1.3	Elect Theron S. Hoffman	Mgmt	For
John Hancock Financial Opportunities Fund	BTO	409735206	1/26/2015	1.4	Elect Warren A. Thomson	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	1/26/2015	1.1	Elect Charles L. Bardelis	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	1/26/2015	1.2	Elect Peter S. Burgess	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	1/26/2015	1.3	Elect Theron S. Hoffman	Mgmt	For
John Hancock Premium Dividend Fund	PDT	41013T105	1/26/2015	1.4	Elect Warren A. Thomson	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	1.1	Elect Natalie A. Black	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	1.2	Elect Raymond L. Conner	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	1.3	Elect Richard Goodman	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	1.4	Elect William H. Lacy	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	1.5	Elect Alex A. Molinaroli	Mgmt	For

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Johnson Controls, Inc.	JCI	478366107	1/28/2015	1.6	Elect Mark P. Vergnano	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	2	Ratification of Auditor	Mgmt	For
Johnson Controls, Inc.	JCI	478366107	1/28/2015	3	Advisory Vote on Executive Compensation	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	1	Elect Linda B. Bammann	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	2	Elect James A. Bell	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	3	Elect Crandall C. Bowles	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	4	Elect Stephen B. Burke	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	5	Elect James S. Crown	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	6	Elect James Dimon	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	7	Elect Timothy P. Flynn	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	8	Elect Laban P. Jackson, Jr.	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	9	Elect Michael A. Neal	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	10	Elect Lee R. Raymond	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	11	Elect William C. Weldon	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	13	Ratification of Auditor	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	14	Amendment to the Long-Term Incentive Plan	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	16	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	18	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	19	Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service	ShrHoldr	Against
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	15	Shareholder Proposal Regarding Independent	ShrHoldr	Against

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					Board Chairman		
JPMorgan Chase & Co.	JPM	46625H100	5/19/2015	20	Shareholder Proposal Regarding Recoupment Report	ShrHoldr	Against
JPMorgan China Region Fund Inc	JFC	48126T104	5/14/2015	1.1	Elect The Earl of Cromer	Mgmt	For
JPMorgan China Region Fund Inc	JFC	48126T104	5/14/2015	1.2	Elect Simon J. Crinage	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.1	Elect Richard D. Kinder	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.2	Elect Steven J. Kean	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.3	Elect Ted A. Gardner	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.4	Elect Anthony W. Hall, Jr.	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.5	Elect Gary L. Hultquist	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.6	Elect Ronald L. Kuehn, Jr.	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.7	Elect Deborah A. Macdonald	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.9	Elect Michael C. Morgan	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.10	Elect Arthur C. Reichstetter	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.11	Elect Fayez S. Sarofim	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.12	Elect C. Park Shaper	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.13	Elect William A. Smith	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.14	Elect Joel V. Staff	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.15	Elect Robert F. Vagt	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.16	Elect Perry M. Waughtal	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	2	Approval of the 2015 Stock Incentive Plan	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	3	Approval of the 2015 Annual Incentive Plan	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	4	Advisory Vote on Executive Compensation	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	5	Ratification of Auditor	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	6	Amendments to Certificate of Incorporation to Comply	Mgmt	For

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					with Jones Act		
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	7	Shareholder Proposal Regarding Report on Response to Climate Change Policy	ShrHoldr	Against
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	8	Shareholder Proposal Regarding Methane Emissions Reporting and Reduction Targets	ShrHoldr	Against
Kinder Morgan, Inc.	KMI	49456B101	11/20/2014	1	Increase of Authorized Common Stock	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	11/20/2014	2	Merger/Acquisition	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	11/20/2014	3	Right to Adjourn Meeting	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	1.8	Elect Michael Miller	Mgmt	For
Kinder Morgan, Inc.	KMI	49456B101	5/7/2015	9	Shareholder Proposal Regarding Sustainability Report	ShrHoldr	Against
Kroger Co.	KR	501044101	6/25/2015	1	Elect Nora. A. Aufreiter	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	2	Elect Robert D. Beyer	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	3	Elect Susan J. Kropf	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	4	Elect David B. Lewis	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	5	Elect W. Rodney McMullen	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	6	Elect Jorge P. Montoya	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	7	Elect Clyde R. Moore	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	8	Elect Susan M. Phillips	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	9	Elect James A. Runde	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	10	Elect Ronald L. Sargent	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	11	Elect Bobby S. Shackouls	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	13	Ratification of Auditor	Mgmt	For
Kroger Co.	KR	501044101	6/25/2015	14	Shareholder Proposal Regarding Human Rights Report	ShrHoldr	Against
Kroger Co.	KR	501044101	6/25/2015	15	Shareholder Proposal Regarding Reporting on	ShrHoldr	Against



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Kroger Co.	KR	501044101	6/25/2015	16	the Use of Non-Recyclable Packaging  Shareholder Proposal Regarding Use of Antibiotics	ShrHoldr	Against
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/24/2015	1.1	Elect Franci J. Blassberg	Mgmt	For
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/24/2015	1.2	Elect Trevor W. Morrison	Mgmt	For
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/24/2015	1.3	Elect Robert M. Solmson	Mgmt	For
Lazard Global Total Return and Income Fund Inc	LGI	52106W103	4/24/2015	1.4	Elect Charles L. Carroll	Mgmt	For
Lazard World Dividend & Income Fund Inc	LOR	521076109	4/24/2015	1.2	Elect Franci J. Blassberg	Mgmt	For
Lazard World Dividend & Income Fund Inc	LOR	521076109	4/24/2015	1.4	Elect Trevor W. Morrison	Mgmt	For
Lazard World Dividend & Income Fund Inc	LOR	521076109	4/24/2015	1.1	Elect Ashish Bhutani	Mgmt	For
Lazard World Dividend & Income Fund Inc	LOR	521076109	4/24/2015	1.3	Elect Richard Reiss, Jr.	Mgmt	For
Legg Mason BW Global Income Opportunities Fund Inc	BWG	52469B100	2/27/2015	1.1	Elect Robert D. Agdern	Mgmt	For
Legg Mason BW Global Income Opportunities Fund Inc	BWG	52469B100	2/27/2015	1.2	Elect Eileen A. Kamerick	Mgmt	For
Legg Mason BW Global Income Opportunities Fund Inc	BWG	52469B100	2/27/2015	1.3	Elect Riordan Roett	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/20/2015	1.1	Elect Robert D. Agdern	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/20/2015	1.2	Elect Carol L. Colman	Mgmt	For
LMP Capital and Income Fund Inc	SCD	50208A102	3/20/2015	1.3	Elect Daniel P. Cronin	Mgmt	For

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LMP Capital and Income Fund Inc	SCD	50208A102	3/20/2015	1.4	Elect Paolo M. Cucchi	Mgmt	For
LMP Corporate Loan Fund Inc	TLI	50208B100	1/30/2015	1.1	Elect Rober Agdern	Mgmt	For
LMP Corporate Loan Fund Inc	TLI	50208B100	1/30/2015	1.2	Elect William R. Hutchinson	Mgmt	For
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	1.1	Elect Gerald Hellerman	Mgmt	N/A
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	1.2	Elect Andrew Dakos	Mgmt	N/A
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	1.3	Elect Richard Cohen	Mgmt	N/A
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	2	Shareholder Proposal to Authorize a Self-Tender for the Fund's Common Shares	ShrHoldr	N/A
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	1.1	Elect Robert Agdern	Mgmt	For
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	1.2	Elect William R. Hutchinson	Mgmt	For
LMP Real Estate Income Fund Inc.	RIT	50208C108	6/16/2015	1.3	Elect Eileen A. Kamerick	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	1	Elect Daniel F. Akerson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	2	Elect Nolan D. Archibald	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	3	Elect Rosalind G. Brewer	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	4	Elect David B. Burritt	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	5	Elect James O. Ellis, Jr.	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	6	Elect Thomas J. Falk	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	7	Elect Marillyn A. Hewson	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	8	Elect Gwendolyn S. King	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	9	Elect James M. Loy	Mgmt	For

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Lockheed Martin Corporation	LMT	539830109	4/23/2015	10	Elect Joseph W. Ralston	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	11	Elect Anne L. Stevens	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	12	Ratification of Auditor	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Lockheed Martin Corporation	LMT	539830109	4/23/2015	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Lockheed Martin Corporation	LMT	539830109	4/23/2015	14	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	1	Elect Robin Buchanan	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	2	Elect Stephen F. Cooper	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	3	Elect Isabella D. Goren	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	4	Elect Robert G. Gwin	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	5	Elect Kevin W. Brown	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	6	Elect Jeffrey A. Kaplan	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	7	Adoption of Dutch Statutory Annual Accounts for 2014	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	8	DISCHARGE FROM LIABILITY OF MEMBERS OF THE MANAGEMENT BOARD	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	9	DISCHARGE FROM LIABILITY OF MEMBERS OF THE SUPERVISORY BOARD	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	10	Appointment of Auditor	Mgmt	For

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LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	11	Appointment of PriceWaterhouseCoopers Accountants N.V. as the Auditor for the Dutch Annual Accounts	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	12	Allocation of Dividends	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	13	Advisory Vote Approving Executive Compensation	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	14	Authority to Issue Shares	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	15	Suppression of Preemptive Rights	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	16	Amendment to the 2012 Global Employee Stock Purchase Plan	Mgmt	For
LyondellBasell Industries N.V.	LYB	N53745100	5/6/2015	17	Authority of Management Board to Repurchase Shares	Mgmt	For
Macquarie Global Infrastructure Total Return Fund Inc	MGU	55608D101	6/17/2015	1	Elect Gordon A. Baird	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	1	Elect Stephen F. Bollenbach	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	2	Elect John A. Bryant	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	3	Elect Deirdre P. Connelly	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	4	Elect Meyer Feldberg	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	5	Elect Leslie D. Hale	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	6	Elect Sara L. Levinson	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	7	Elect Terry J. Lundgren	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	8	Elect Joseph Neubauer	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	9	Elect Joyce M. Roche	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	10	Elect Paul C. Varga	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	11	Elect Craig E. Weatherup	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	12	Elect Marna C. Whittington	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	13	Elect Annie A. Young-Scrivner	Mgmt	For

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Macy's Inc.	M	55616P104	5/15/2015	14	Ratification of Auditor	Mgmt	For
Macy's Inc.	M	55616P104	5/15/2015	15	Advisory Vote on Executive Compensation	Mgmt	For
MainStay DefinedTerm Municipal Opportunities Fund	MMD	56064K100	9/23/2014	1.1	Elect Alan R. Latshaw	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	1	Elect Gregory H. Boyce	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	2	Elect Pierre R. Brondeau	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	4	Elect Marcela E. Donadio	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	5	Elect Philip Lader	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	6	Elect Michael E.J. Phelps	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	7	Elect Dennis H. Reilley	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	8	Elect Lee M. Tillman	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	9	Ratification of Auditor	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	10	Advisory Vote on Executive Compensation	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	12	Shareholder Proposal Regarding Report on Response to Climate Change Policy	ShrHoldr	Against
Marathon Oil Corporation	MRO	565849106	4/29/2015	3	Elect Chadwick C. Deaton	Mgmt	For
Marathon Oil Corporation	MRO	565849106	4/29/2015	11	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	1	Elect Oscar Fanjul Martin	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	2	Elect Daniel S. Glaser	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	3	Elect H. Edward Hanway	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	4	Elect Ian Lang	Mgmt	For

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Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	5	Elect Elaine La Roche	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	6	Elect Maria Silvia Bastos Marques	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	7	Elect Steven A. Mills	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	8	Elect Bruce P. Nolop	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	9	Elect Marc D. Oken	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	10	Elect Morton O. Schapiro	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	11	Elect Lloyd M. Yates	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	12	Elect R. David Yost	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Marsh & McLennan Companies, Inc.	MMC	571748102	5/21/2015	14	Ratification of Auditor	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	1	Elect Cheryl W. Grise	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	2	Elect Carlos M. Gutierrez	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	3	Elect R. Glenn Hubbard	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	4	Elect Steven A. Kandarian	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	5	Elect Alfred F. Kelly, Jr.	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	6	Elect Edward J. Kelly, III	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	7	Elect William E. Kennard	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	8	Elect James M. Kilts	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	9	Elect Catherine R. Kinney	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	10	Elect Denise M. Morrison	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	11	Elect Kenton J. Sicchitano	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	12	Elect Lulu C. Wang	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	13	Elimination of Supermajority	Mgmt	For

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					Requirement		
MetLife, Inc.	MET	59156R108	4/28/2015	14	Elimination of Supermajority Requirement	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	15	Ratification of Auditor	Mgmt	For
MetLife, Inc.	MET	59156R108	4/28/2015	16	Advisory Vote on Executive Compensation	Mgmt	For
MFS Charter Income Trust	MCR	552727109	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS Charter Income Trust	MCR	552727109	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS Charter Income Trust	MCR	552727109	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For
MFS Charter Income Trust	MCR	552727109	10/2/2014	1.4	Elect John P. Kavanaugh	Mgmt	For
MFS Charter Income Trust	MCR	552727109	10/2/2014	1.5	Elect Robert W. Uek	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For
MFS High Income Municipal Trust	CXE	59318D104	10/2/2014	1.4	Elect Robert W. Uek	Mgmt	For
MFS High Yield Municipal Trust	CMU	59318E102	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS High Yield Municipal Trust	CMU	59318E102	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS High Yield Municipal Trust	CMU	59318E102	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For
MFS High Yield Municipal Trust	CMU	59318E102	10/2/2014	1.4	Elect Robert W. Uek	Mgmt	For
MFS Intermediate High Income Fund	CIF	59318T109	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS Intermediate High Income Fund	CIF	59318T109	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS Intermediate High Income Fund	CIF	59318T109	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For

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MFS Intermediate High Income Fund	CIF	59318T109	10/2/2014	1.4	Elect John P. Kavanaugh	Mgmt	For
MFS Intermediate High Income Fund	CIF	59318T109	10/2/2014	1.5	Elect Robert W. Uek	Mgmt	For
MFS Investment Grade Municipal Trust	CXH	59318B108	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS Investment Grade Municipal Trust	CXH	59318B108	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS Investment Grade Municipal Trust	CXH	59318B108	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For
MFS Investment Grade Municipal Trust	CXH	59318B108	10/2/2014	1.4	Elect Robert W. Uek	Mgmt	For
MFS Multimarket Income Trust	MMT	552737108	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS Multimarket Income Trust	MMT	552737108	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS Multimarket Income Trust	MMT	552737108	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For
MFS Multimarket Income Trust	MMT	552737108	10/2/2014	1.4	Elect John P. Kavanaugh	Mgmt	For
MFS Multimarket Income Trust	MMT	552737108	10/2/2014	1.5	Elect Robert W. Uek	Mgmt	For
MFS Municipal Income Trust	MFM	552738106	10/2/2014	1.1	Elect Steven E. Buller	Mgmt	For
MFS Municipal Income Trust	MFM	552738106	10/2/2014	1.2	Elect William R. Gutow	Mgmt	For
MFS Municipal Income Trust	MFM	552738106	10/2/2014	1.3	Elect Michael Hegarty	Mgmt	For
MFS Municipal Income Trust	MFM	552738106	10/2/2014	1.4	Elect Robert W. Uek	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	1	Elect William H. Gates III	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	2	Elect Maria Klawe	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	3	Elect Teri L. List-Stoll	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	4	Elect G. Mason Morfit	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	5	Elect Satya Nadella	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	6	Elect Charles H. Noski	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	7		Mgmt	For



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						Elect Helmut G. W. Panke		
Microsoft Corporation	MSFT	594918104	12/3/2014	8		Elect Charles W. Scharf	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	9		Elect John W. Stanton	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	11		ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	12		Ratification of Auditor	Mgmt	For
Microsoft Corporation	MSFT	594918104	12/3/2014	13		Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Microsoft Corporation	MSFT	594918104	12/3/2014	10		Elect John W. Thompson	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	1		Elect Stephen F. Bollenbach	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	2		Elect Lewis W.K. Booth	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	3		Elect Lois D. Juliber	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	4		Elect Mark D. Ketchum	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	5		Elect Jorge S. Mesquita	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	6		Elect Joseph Neubauer	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	7		Elect Nelson Peltz	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	8		Elect Fredric G. Reynolds	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	9		Elect Irene B. Rosenfeld	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	10		Elect Patrick Siewert	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	11		Elect Ruth J. Simmons	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	12		Elect Jean-Francois M. L. van Boxmeer	Mgmt	For
Mondelez International Inc.	MDLZ	609207105	5/20/2015	13		Advisory Vote on Executive Compensation	Mgmt	For
Mondelez International	MDLZ	609207105	5/20/2015	14		Ratification of Auditor	Mgmt	For

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Inc.								
Mondelez International Inc.	MDLZ	609207105	5/20/2015	15	Shareholder Proposal Regarding Reporting on the Use of Non-Recyclable Packaging	ShrHoldr	Against	
Morgan Stanley	MS	617446448	5/19/2015	1	Elect Erskine B. Bowles	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	2	Elect Thomas H. Glocer	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	3	Elect James P. Gorman	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	4	Elect Robert H. Herz	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	5	Elect Klaus Kleinfeld	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	6	Elect Jami Miscik	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	7	Elect Donald T. Nicolaisen	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	8	Elect Hutham S. Olayan	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	9	Elect James W. Owens	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	10	Elect Ryosuke Tamakoshi	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	11	Elect Masaaki Tanaka	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	12	Elect Perry M. Traquina	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	13	Elect Laura D'Andrea Tyson	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	14	Elect Rayford Wilkins, Jr.	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	15	Ratification of Auditor	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	17	Amendment to the 2007 Incentive Plan	Mgmt	For	
Morgan Stanley	MS	617446448	5/19/2015	18	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against	
Morgan Stanley	MS	617446448	5/19/2015	19	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against	
Morgan Stanley	MS	617446448	5/19/2015	20	Shareholder Proposal Regarding Report on Compensation in the Event of Resignation for Government Service	ShrHoldr	Against	
Morgan Stanley	MS	617446448	5/19/2015	16	Advisory Vote on Executive Compensation	Mgmt	For	

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Morgan Stanley China A Share Fund Inc	CAF	617468103	6/30/2015	1.1	Elect Michael Bozic	Mgmt	For
Morgan Stanley China A Share Fund Inc	CAF	617468103	6/30/2015	1.2	Elect Nancy C. Everett	Mgmt	For
Morgan Stanley China A Share Fund Inc	CAF	617468103	6/30/2015	1.3	Elect Michael F. Klein	Mgmt	For
Morgan Stanley China A Share Fund Inc	CAF	617468103	6/30/2015	1.4	Elect W. Allen Reed	Mgmt	For
Morgan Stanley European Fund Inc	RNE	616988101	7/1/2014	1.1	Elect Kathleen A. Dennis	Mgmt	For
Morgan Stanley European Fund Inc	RNE	616988101	7/1/2014	1.2	Elect Joseph J. Kearns	Mgmt	For
Morgan Stanley European Fund Inc	RNE	616988101	7/1/2014	1.3	Elect Michael E. Nugent	Mgmt	For
Morgan Stanley European Fund Inc	RNE	616988101	7/1/2014	1.4	Elect Fergus Reid	Mgmt	For
Morgan Stanley India Investment Fund Inc	IIF	61745C105	6/30/2015	1.1	Elect M.J. Marcel Vivian Descroizilles	Mgmt	For
Morgan Stanley India Investment Fund Inc	IIF	61745C105	6/30/2015	1.2	Elect Joseph J. Kearns	Mgmt	For
Morgan Stanley India Investment Fund Inc	IIF	61745C105	7/1/2014	1.1	Elect Ravindranath Santosh Kumar Hazareeing	Mgmt	For
Morgan Stanley India Investment Fund Inc	IIF	61745C105	7/1/2014	1.2	Elect Mamode Izam Nathadkhan	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	9/17/2014	1.1	Elect Candace L. Straight	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	9/17/2014	1.2	Elect Martha C. Goss	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	9/17/2014	1.3	Elect Joseph V. Amato	Mgmt	For
Neuberger Berman High Yield Strategies Fund	NHS	64128C106	9/17/2014	1.4	Elect Faith Colish	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	9/17/2014	1.1	Elect Candace L. Straight	Mgmt	For
Neuberger Berman Real Estate Securities Income Fund Inc	NRO	64190A103	9/17/2014	1.2	Elect Martha C. Goss	Mgmt	For
	NRO	64190A103	9/17/2014	1.3	Elect Joseph V. Amato	Mgmt	For

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Neuberger Berman Real  
Estate Securities  
Income Fund Inc

Neuberger Berman Real  
Estate Securities  
Income Fund Inc

New Ireland Fund Inc

Northrop Grumman  
Corporation

Northrop Grumman  
Corporation

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NRO	64190A103	9/17/2014	1.4	Elect Faith Colish	Mgmt	For
IRL	645673104	6/9/2015	1.1	Elect Peter J. Hooper	Mgmt	For
NOC	666807102	5/20/2015	1	Elect Wesley G. Bush	Mgmt	For
NOC	666807102	5/20/2015	2	Elect Marianne C. Brown	Mgmt	For
NOC	666807102	5/20/2015	3	Elect Victor H. Fazio	Mgmt	For
NOC	666807102	5/20/2015	4	Elect Donald E. Felsing	Mgmt	For
NOC	666807102	5/20/2015	5	Elect Bruce S. Gordon	Mgmt	For
NOC	666807102	5/20/2015	6	Elect William H. Hernandez	Mgmt	For
NOC	666807102	5/20/2015	7	Elect Madeleine A. Kleiner	Mgmt	For
NOC	666807102	5/20/2015	8	Elect Karl J. Krapek	Mgmt	For
NOC	666807102	5/20/2015	9	Elect Richard B. Myers	Mgmt	For
NOC	666807102	5/20/2015	10	Elect Gary Roughead	Mgmt	For
NOC	666807102	5/20/2015	11	Elect Thomas M. Schoewe	Mgmt	For
NOC	666807102	5/20/2015	12	Elect James S. Turley	Mgmt	For
NOC	666807102	5/20/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
NOC	666807102	5/20/2015	14	Amendment to the 2011 Long-Term Incentive Stock Plan	Mgmt	For
NOC	666807102	5/20/2015	15	Ratification of Auditor	Mgmt	For
NOC	666807102	5/20/2015	16		ShrHoldr	Against

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Northrop Grumman Corporation						Shareholder Regarding Independent Board Chairman		
Nuveen Credit Strategies Income Fund	JQC	67073D102	3/26/2015	1.1		Elect Jack B. Evans	Mgmt	For
Nuveen Credit Strategies Income Fund	JQC	67073D102	3/26/2015	1.2		Elect William J. Schneider	Mgmt	For
Nuveen Credit Strategies Income Fund	JQC	67073D102	3/26/2015	1.3		Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Credit Strategies Income Fund	JQC	67073D102	11/7/2014	1		Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Credit Strategies Income Fund	JQC	67073D102	11/7/2014	2		Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Credit Strategies Income Fund	JQC	67073D102	11/7/2014	3.1		Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	3/26/2015	1.1		Elect Jack B. Evans	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	3/26/2015	1.2		Elect William J. Schneider	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	3/26/2015	1.3		Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	1		Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	2		Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	3		Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	4		Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	5		Approval of a New Investment Subadvisory Agreements	Mgmt	For

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Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	6.1	Elect William Adams, IV	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	6.2	Elect David J. Kundert	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	6.3	Elect John K. Nelson	Mgmt	For
Nuveen Diversified Dividend and Income Fund	JDD	6706EP105	8/5/2014	6.4	Elect Terence J. Toth	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2014	3.2	Elect David J. Kundert	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2014	3.3	Elect John K. Nelson	Mgmt	For
Nuveen Dividend Advantage Municipal Fund	NZF	67070X101	8/5/2014	3.4	Elect Terence J. Toth	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2014	3.2	Elect David J. Kundert	Mgmt	For

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Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2014	3.3	Elect John K. Nelson	Mgmt	For
Nuveen Dividend Advantage Municipal Income Fund	NVG	67071L106	8/5/2014	3.4	Elect Terence J. Toth	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	3/26/2015	1.1	Elect Jack B. Evans	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	3/26/2015	1.2	Elect William J. Schneider	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	3/26/2015	1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	8/5/2014	3.2	Elect David J. Kundert	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	8/5/2014	3.3	Elect John K. Nelson	Mgmt	For
Nuveen Global Equity Income Fund	JGV	6706EH103	8/5/2014	3.4	Elect Terence J. Toth	Mgmt	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	10/31/2014	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	10/31/2014	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	10/31/2014	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	10/31/2014	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	10/31/2014	2	Reorganization	Mgmt	For
Nuveen Global Income Opportunities Fund	JGG	67073C104	10/31/2014	3	Approval of a New Management Agreement	Mgmt	For
	JGG	67073C104	10/31/2014	4		Mgmt	For

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Nuveen Global Income Opportunities Fund						Approval of New Subadvisory Agreement		
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	1		Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	2		Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.1		Elect William Adams, IV	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.2		Elect Robert P. Bremner	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.3		Elect Jack B. Evans	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.4		Elect David J. Kundert	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.5		Elect John K. Nelson	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.6		Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.7		Elect Judith M. Stockdale	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.8		Elect Carole E. Stone	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.9		Elect Virginia L. Stringer	Mgmt	For
Nuveen Quality Municipal Fund Inc	NQI	67062N103	8/5/2014	3.10		Elect Terence J. Toth	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	3/26/2015	1.1		Elect Jack B. Evans	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	3/26/2015	1.2		Elect William J. Schneider	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	3/26/2015	1.3		Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	11/7/2014	1		Approval of a New Investment Management Agreements	Mgmt	For



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Nuveen Quality Preferred Income Fund 3	JHP	67072W101	11/7/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Quality Preferred Income Fund 3	JHP	67072W101	11/7/2014	3.1	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	3/26/2015	1.1	Elect Jack B. Evans	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	3/26/2015	1.2	Elect William J. Schneider	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	3/26/2015	1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	8/5/2014	3.2	Elect David J. Kundert	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	8/5/2014	3.3	Elect John K. Nelson	Mgmt	For
Nuveen Real Estate Income Fund.	JRS	67071B108	8/5/2014	3.4	Elect Terence J. Toth	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.2	Elect Robert P. Bremner	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.3	Elect Jack B. Evans	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.4	Elect David J. Kundert	Mgmt	For

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Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.5	Elect John K. Nelson	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.6	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.7	Elect Judith M. Stockdale	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.8	Elect Carole E. Stone	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.9	Elect Virginia L. Stringer	Mgmt	For
Nuveen Select Quality Municipal Fund	NQS	670973106	8/5/2014	3.10	Elect Terence J. Toth	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	3/26/2015	1.1	Elect William Adams, IV	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	3/26/2015	1.2	Elect David J. Kundert	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	3/26/2015	1.3	Elect John K. Nelson	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	3/26/2015	1.4	Elect Terence J. Toth	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	3/26/2015	1.5	Elect Jack B. Evans	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	3/26/2015	1.6	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	11/7/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	11/7/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	11/7/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	11/7/2014	3.2	Elect John K. Nelson	Mgmt	For
Nuveen Senior Income Fund	NSL	67067Y104	11/7/2014	3.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	3/26/2015	1.1	Elect William Adams, IV	Mgmt	For
	JFR	67072T108	3/26/2015	1.2	Elect David J. Kundert	Mgmt	For

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Nuveen  
Tax-Advantaged  
Dividend Growth Fund

Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	3/26/2015	1.3	Elect John K. Nelson	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	3/26/2015	1.4	Elect Terence J. Toth	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	3/26/2015	1.5	Elect Jack B. Evans	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	3/26/2015	1.6	Elect Thomas S. Schreier, Jr.	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	3/26/2015	1.1	Elect Jack B. Evans	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	3/26/2015	1.2	Elect William J. Schneider	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	3/26/2015	1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.1	Elect William Adams, IV	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.2	Elect David J. Kundert	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.3	Elect John K. Nelson	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.4	Elect Terence J. Toth	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.5	Elect Jack B. Evans	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.6	Elect William J. Schneider	Mgmt	For
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Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	3/26/2015	1.7	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	3/26/2015	1.1	Elect Jack B. Evans	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	3/26/2015	1.2	Elect William J. Schneider	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	3/26/2015	1.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	8/5/2014	3.2	Elect John K. Nelson	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JFR	67072T108	8/5/2014	3.3	Elect Thomas S. Schreier, Jr.	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	8/5/2014	3.2	Elect John K. Nelson	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JSD	67074X107	8/5/2014	3.3	Elect Thomas S. Schreier, Jr.	Mgmt	For

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Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	3	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	4.1	Elect William Adams, IV	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	4.2	Elect David J. Kundert	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	4.3	Elect John K. Nelson	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	670735109	8/5/2014	4.4	Elect Terence J. Toth	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	8/5/2014	3.2	Elect David J. Kundert	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	8/5/2014	3.3	Elect John K. Nelson	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JLS	67074R100	8/5/2014	3.4	Elect Terence J. Toth	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For

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Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	8/5/2014	3.1	Elect William Adams, IV	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	8/5/2014	3.2	Elect David J. Kundert	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	8/5/2014	3.3	Elect John K. Nelson	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JMF	67074U103	8/5/2014	3.4	Elect Terence J. Toth	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	1	Approval of a New Investment Management Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	2	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	3	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	4	Approval of a New Investment Subadvisory Agreements	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	5.1	Elect William Adams, IV	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	5.2	Elect David J. Kundert	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	5.3	Elect John K. Nelson	Mgmt	For
Nuveen Tax-Advantaged Dividend Growth Fund	JTD	67073G105	8/5/2014	5.4	Elect Terence J. Toth	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.1	Elect Jeffrey S. Berg	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.3	Elect Michael J. Boskin	Mgmt	For

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Oracle Corporation	ORCL	68389X105	11/5/2014	1.4	Elect Safra A. Catz	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.6	Elect George H. Conrades	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.7	Elect Lawrence J. Ellison	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.8	Elect Hector Garcia-Molina	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.10	Elect Mark V. Hurd	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.11	Elect Naomi O. Seligman	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	3	Ratification of Auditor	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	4	Shareholder Proposal Regarding Counting Abstentions	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/5/2014	5	Shareholder Proposal Regarding the Use of Metrics for Executive Performance Measures	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/5/2014	6	Shareholder Proposal Regarding Shareholder Approval of Specific Performance Metrics in Equity Compensation Plans	ShrHoldr	Against
Oracle Corporation	ORCL	68389X105	11/5/2014	1.2	Elect H. Raymond Bingham	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.5	Elect Bruce R. Chizen	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	1.9	Elect Jeffrey O. Henley	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	2	Advisory Vote on Executive Compensation	Mgmt	For
Oracle Corporation	ORCL	68389X105	11/5/2014	7	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
PepsiCo, Inc.	PEP	713448108	5/6/2015	1	Elect Shona L. Brown	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	2	Elect George W. Buckley	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	3	Elect Ian M. Cook	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	4	Elect Dina Dublon	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	5	Elect Rona A. Fairhead	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	6	Elect Richard W. Fisher	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	7	Elect Alberto Ibarguen	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	8	Elect William R. Johnson	Mgmt	For

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PepsiCo, Inc.	PEP	713448108	5/6/2015	9	Elect Indra K. Nooyi	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	10	Elect David C. Page	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	11	Elect Robert C. Pohlad	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	12	Elect Lloyd G. Trotter	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	13	Elect Daniel Vasella	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	14	Elect Alberto Weisser	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	15	Ratification of Auditor	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	16	Advisory Vote on Executive Compensation	Mgmt	For
PepsiCo, Inc.	PEP	713448108	5/6/2015	17	Shareholder Proposal Regarding Formation of Sustainability Committee	ShrHoldr	Against
PepsiCo, Inc.	PEP	713448108	5/6/2015	18	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
PepsiCo, Inc.	PEP	713448108	5/6/2015	19	Shareholder Proposal Regarding Neonicotinoids	ShrHoldr	Against
PG&E Corporation	PCG	69331C108	5/4/2015	1	Elect Lewis Chew	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	2	Elect Anthony F. Earley, Jr.	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	3	Elect Fred J. Fowler	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	4	Elect Maryellen C. Herringer	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	5	Elect Richard C. Kelly	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	6	Elect Roger H. Kimmel	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	7	Elect Richard A. Meserve	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	8	Elect Forrest E. Miller	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	9	Elect Rosendo G. Parra	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	10	Elect Barbara L. Rambo	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	11	Elect Anne Shen Smith	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	12	Elect Barry Lawson Williams	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	13	Ratification of Auditor	Mgmt	For
PG&E Corporation	PCG	69331C108	5/4/2015	14		Mgmt	For



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					Advisory Vote on Executive Compensation		
PG&E Corporation	PCG	69331C108	5/4/2015	15	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Phillips 66	PSX	718546104	5/6/2015	1	Elect J. Brian Ferguson	Mgmt	For
Phillips 66	PSX	718546104	5/6/2015	2	Elect Harold W. McGraw III	Mgmt	For
Phillips 66	PSX	718546104	5/6/2015	3	Elect Victoria J. Tschinkel	Mgmt	For
Phillips 66	PSX	718546104	5/6/2015	4	Ratification of Auditor	Mgmt	For
Phillips 66	PSX	718546104	5/6/2015	5	Advisory Vote on Executive Compensation	Mgmt	For
Phillips 66	PSX	718546104	5/6/2015	6	Repeal of Classified Board	Mgmt	For
Phillips 66	PSX	718546104	5/6/2015	7	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against
PPG Industries, Inc.	PPG	693506107	4/16/2015	1.1	Elect Charles E. Bunch	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/16/2015	1.2	Elect Michael W. Lamach	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/16/2015	1.3	Elect Martin H. Richenhagen	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/16/2015	1.4	Elect Thomas J. Usher	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/16/2015	2	Advisory Vote on Executive Compensation	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/16/2015	3	Elimination of Supermajority Requirement	Mgmt	For
PPG Industries, Inc.	PPG	693506107	4/16/2015	4	Ratification of Auditor	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	1	Elect Thomas J. Baltimore, Jr.	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	2	Elect Gordon M. Bethune	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	3	Elect Gilbert F. Casellas	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	4	Elect James G. Cullen	Mgmt	For

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Prudential Financial, Inc.	PRU	744320102	5/12/2015	5	Elect Mark B. Grier	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	6	Elect Constance J. Horner	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	7	Elect Martina Hund-Mejean	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	8	Elect Karl J. Krapek	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	9	Elect Christine A. Poon	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	10	Elect Douglas A. Scovanner	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	11	Elect John R. Strangfeld	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	12	Ratification of Auditor	Mgmt	For
Prudential Financial, Inc.	PRU	744320102	5/12/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	1	Elect Barbara T. Alexander	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	2	Elect Donald G. Cruickshank	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	3	Elect Raymond V. Dittamore	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	4	Elect Susan Hockfield	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	5	Elect Thomas W. Horton	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	6	Elect Paul E. Jacobs	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	7	Elect Sherry Lansing	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	8	Elect Harish M. Manwani	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	9	Elect Steven M. Mollenkopf	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	10	Elect Duane A. Nelles	Mgmt	For
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	11	Elect Clark T. Randt, Jr.	Mgmt	For

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QUALCOMM Incorporated								
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	12	Elect Francisco Ros	Mgmt	For	
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	13	Elect Jonathan J. Rubinstein	Mgmt	For	
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	14	Elect Brent Scowcroft	Mgmt	For	
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	15	Elect Marc I. Stern	Mgmt	For	
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	16	Ratification of Auditor	Mgmt	For	
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	17	Amendment to the 2001 Employee Stock Purchase Plan	Mgmt	For	
QUALCOMM Incorporated	QCOM	747525103	3/9/2015	18	Advisory Vote on Executive Compensation	Mgmt	For	
Reaves Utility Income Fund	UTG	756158101	5/1/2015	1.1	Elect E. Wayne Nordberg	Mgmt	For	
Reaves Utility Income Fund	UTG	756158101	5/1/2015	1.2	Elect Larry W. Papasan	Mgmt	For	
RMR Real Estate Income Fund.	RIF	76970B101	4/15/2015	1	Elect Jeffrey P. Somers	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	1	Elect Peter L.S. Currie	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	2	Elect K. Vaman Kamath	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	3	Elect V. Maureen Kempston Darkes	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	4	Elect Paal Kibsgaard	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	5	Elect Nikolay Kudryavtsev	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	6	Elect Michael E. Marks	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	7	Elect Indra K. Nooyi	Mgmt	For	
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	8	Elect Lubna S. Olayan	Mgmt	For	

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Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	9	Elect Leo Rafael Reif	Mgmt	For
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	10	Elect Tore I. Sandvold	Mgmt	For
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	11	Elect Henri Seydoux	Mgmt	For
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	13	Approval of Financial Statements/Dividends	Mgmt	For
Schlumberger Ltd. (Netherlands Antilles)	SLB	806857108	4/8/2015	14	Ratification of Auditor	Mgmt	For
Source Capital, Inc.	SOR	836144105	5/11/2015	1.1	Elect Eric S. Ende	Mgmt	For
Source Capital, Inc.	SOR	836144105	5/11/2015	1.2	Elect Thomas P. Merrick	Mgmt	For
Source Capital, Inc.	SOR	836144105	5/11/2015	1.3	Elect Alfred E. Osborne, Jr.	Mgmt	For
Source Capital, Inc.	SOR	836144105	5/11/2015	1.4	Elect A. Robert Pisano	Mgmt	For
Source Capital, Inc.	SOR	836144105	5/11/2015	1.5	Elect Patrick B. Purcell	Mgmt	For
Source Capital, Inc.	SOR	836144105	5/11/2015	1.6	Elect Allan M. Rudnick	Mgmt	For
Southern Company	SO	842587107	5/27/2015	1	Elect Juanita Powell Baranco	Mgmt	For
Southern Company	SO	842587107	5/27/2015	2	Elect Jon A. Boscia	Mgmt	For
Southern Company	SO	842587107	5/27/2015	3	Elect Henry A. Clark III	Mgmt	For
Southern Company	SO	842587107	5/27/2015	4	Elect Thomas A. Fanning	Mgmt	For
Southern Company	SO	842587107	5/27/2015	5	Elect David J. Grain	Mgmt	For
Southern Company	SO	842587107	5/27/2015	6	Elect Veronica M. Hagen	Mgmt	For
Southern Company	SO	842587107	5/27/2015	7	Elect Warren A. Hood, Jr.	Mgmt	For
Southern Company	SO	842587107	5/27/2015	8	Elect Linda P. Hudson	Mgmt	For
Southern Company	SO	842587107	5/27/2015	9	Elect Donald M. James	Mgmt	For
Southern Company	SO	842587107	5/27/2015	10	Elect John D. Johns	Mgmt	For
Southern Company	SO	842587107	5/27/2015	11	Elect Dale E. Klein	Mgmt	For
Southern Company	SO	842587107	5/27/2015	12		Mgmt	For

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						Elect William G. Smith, Jr.		
Southern Company	SO	842587107	5/27/2015	13		Elect Steven R. Specker	Mgmt	For
Southern Company	SO	842587107	5/27/2015	14		Elect Larry D. Thompson	Mgmt	For
Southern Company	SO	842587107	5/27/2015	15		Elect E. Jenner Wood III	Mgmt	For
Southern Company	SO	842587107	5/27/2015	16		Approval of the Outside Directors Stock Plan	Mgmt	For
Southern Company	SO	842587107	5/27/2015	17		Amendment to Allow Action by Written Consent of Shareholders	Mgmt	For
Southern Company	SO	842587107	5/27/2015	18		Advisory Vote on Executive Compensation	Mgmt	For
Southern Company	SO	842587107	5/27/2015	19		Ratification of Auditor	Mgmt	For
Southern Company	SO	842587107	5/27/2015	21		Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against
Southern Company	SO	842587107	5/27/2015	20		Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Swiss Helvetia Fund Inc	SWZ	870875101	6/25/2015	1.1		Elect Brian A. Berris	Mgmt	For
Swiss Helvetia Fund Inc	SWZ	870875101	6/25/2015	1.2		Elect David R. Bock	Mgmt	For
Swiss Helvetia Fund Inc	SWZ	870875101	6/25/2015	2		Ratification of Auditor	Mgmt	For
Swiss Helvetia Fund Inc	SWZ	870875101	6/25/2015	3		Shareholder Proposal Regarding Declassification of the Board	ShrHoldr	Against
Taiwan Fund Inc	TWN	874036106	4/21/2015	1.1		Elect Joe O. Rogers	Mgmt	For
Taiwan Fund Inc	TWN	874036106	4/21/2015	1.2		Elect M. Christopher Canavan, Jr.	Mgmt	For
Taiwan Fund Inc	TWN	874036106	4/21/2015	1.4		Elect William C. Kirby	Mgmt	For
Taiwan Fund Inc	TWN	874036106	4/21/2015	1.5		Elect Anthony LO Kai Yiu	Mgmt	For
Taiwan Fund Inc	TWN	874036106	4/21/2015	1.6		Elect Lawrence A. Kudlow	Mgmt	For

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Taiwan Fund Inc	TWN	874036106	4/21/2015	1.7	Elect Chih T. Cheung	Mgmt	For
Taiwan Fund Inc	TWN	874036106	4/21/2015	2	Amendment to Investment Restriction Regarding Taiwan Traded Securities	Mgmt	For
Taiwan Fund Inc	TWN	874036106	9/22/2014	1	Approval of a New Investment Advisory Agreement	Mgmt	For
Taiwan Fund Inc	TWN	874036106	4/21/2015	1.3	Elect Michael F. Holland	Mgmt	For
Templeton Dragon Fund, Inc.	TDF	88018T101	5/28/2015	1.1	Elect Ann Torre Bates	Mgmt	For
Templeton Dragon Fund, Inc.	TDF	88018T101	5/28/2015	1.2	Elect David W. Niemiec	Mgmt	For
Templeton Dragon Fund, Inc.	TDF	88018T101	5/28/2015	1.3	Elect Frank A. Olson	Mgmt	For
Templeton Dragon Fund, Inc.	TDF	88018T101	5/28/2015	1.4	Elect Robert E. Wade	Mgmt	For
Templeton Dragon Fund, Inc.	TDF	88018T101	5/28/2015	2	Ratification of Auditor	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/25/2014	1.1	Elect Harris J. Ashton	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/25/2014	1.2	Elect J. Michael Luttig	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/25/2014	1.3	Elect Larry D. Thompson	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/25/2014	1.4	Elect Constantine D. Tseretopoulos	Mgmt	For
Templeton Russia and East European Fund Inc	TRF	88022F105	8/25/2014	2	Ratification of Auditor	Mgmt	For
Thai Fund Inc	TTF	882904105	6/30/2015	1.1	Elect Michael Bozic	Mgmt	For
Thai Fund Inc	TTF	882904105	6/30/2015	1.2	Elect Nancy C. Everett	Mgmt	For
Thai Fund Inc	TTF	882904105	6/30/2015	1.3	Elect Michael F. Klein	Mgmt	For
Thai Fund Inc	TTF	882904105	6/30/2015	1.4	Elect W. Allen Reed	Mgmt	For

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The China Fund, Inc.	CHN	169373107	3/19/2015	1.1	Elect Joe O. Rogers	Mgmt	For
The China Fund, Inc.	CHN	169373107	3/19/2015	1.2	Elect Richard Store	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	1	Elect Marc N. Casper	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	2	Elect Nelson J. Chai	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	3	Elect C. Martin Harris	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	4	Elect Tyler Jacks	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	5	Elect Judy C. Lewent	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	6	Elect Thomas J. Lynch	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	7	Elect Jim P. Manzi	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	8	Elect William G. Parrett	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	9	Elect Lars R. Sorenson	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	10	Elect Scott M. Sperling	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	11	Elect Elaine S. Ullian	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Thermo Fisher Scientific Inc.	TMO	883556102	5/20/2015	13	Ratification of Auditor	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	10/9/2014	1	Merger	Mgmt	For
Time Warner Cable, Inc.	TWC	88732J207	10/9/2014	2	Advisory Vote on Golden Parachutes	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	1	Elect James L. Barksdale	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	2	Elect William P. Barr	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	3	Elect Jeffrey L. Bewkes	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	4	Elect Stephen F.	Mgmt	For

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Time Warner Inc.	TWX	887317303	6/19/2015	5	Elect Robert C. Clark	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	6	Elect Mathias Dopfner	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	7	Elect Jessica P. Einhorn	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	8	Elect Carlos M. Gutierrez	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	9	Elect Fred Hassan	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	10	Elect Kenneth J. Novack	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	11	Elect Paul D. Wachter	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	12	Elect Deborah C. Wright	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	13	Ratification of Auditor	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Time Warner Inc.	TWX	887317303	6/19/2015	16	Shareholder Proposal Regarding Oversight of Young People's Well Being and Family Values	ShrHoldr	Against
Time Warner Inc.	TWX	887317303	6/19/2015	17	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHoldr	Against
Time Warner Inc.	TWX	887317303	6/19/2015	15	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Tri-Continental Corporation	TY	895436103	4/13/2015	1.1	Elect Kathleen Blatz	Mgmt	For
Tri-Continental Corporation	TY	895436103	4/13/2015	1.2	Elect Pamela G. Carlton	Mgmt	For
Tri-Continental Corporation	TY	895436103	4/13/2015	1.3	Elect Alison Taunton-Rigby	Mgmt	For
Tri-Continental Corporation	TY	895436103	4/13/2015	1.4	Elect William A. Hawkins	Mgmt	For
Tri-Continental Corporation	TY	895436103	4/13/2015	2	Ratification of Auditor	Mgmt	For
Tri-Continental Corporation	TY	895436103	4/13/2015	3	Shareholder Proposal Regarding Self-Tender Offer or Liquidation	ShrHoldr	Against
Turkish Investment Fund Inc	TKF	900145103	6/30/2015	1.1	Elect Michael Bozic	Mgmt	For



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Turkish Investment Fund Inc	TKF	900145103	6/30/2015	1.2	Elect Nancy C. Everett	Mgmt	For
Turkish Investment Fund Inc	TKF	900145103	6/30/2015	1.3	Elect Michael F. Klein	Mgmt	For
Turkish Investment Fund Inc	TKF	900145103	6/30/2015	1.4	Elect W. Allen Reed	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	1	Elect Andrew H. Card, Jr.	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	2	Elect Erroll B. Davis, Jr.	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	3	Elect David B. Dillon	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	4	Elect Lance M. Fritz	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	5	Elect Judith Richards Hope	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	6	Elect John J. Koraleski	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	7	Elect Charles C. Krulak	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	8	Elect Michael R. McCarthy	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	9	Elect Michael W. McConnell	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	10	Elect Thomas F. McLarty III	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	11	Elect Steven R. Rogel	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	12	Elect Jose H. Villarreal	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	13	Ratification of Auditor	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	14	Advisory Vote on Executive Compensation	Mgmt	For
Union Pacific Corporation	UNP	907818108	5/14/2015	15	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against
Union Pacific Corporation	UNP	907818108	5/14/2015	16	Shareholder Proposal regarding Independent Chairman	ShrHoldr	Against

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Verizon Communications Inc.	VZ	92343V104	5/7/2015	1	Elect Shellye L. Archambeau	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	2	Elect Mark T. Bertolini	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	3	Elect Richard L. Carrion	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	4	Elect Melanie L. Healey	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	5	Elect M. Frances Keeth	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	6	Elect Lowell C. McAdam	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	7	Elect Donald T. Nicolaisen	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	8	Elect Clarence Otis, Jr.	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	9	Elect Rodney E. Slater	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	10	Elect Kathryn A. Tesija	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	11	Elect Gregory D. Wasson	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	12	Ratification of Auditor	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	13	Advisory Vote on Executive Compensation	Mgmt	For
Verizon Communications Inc.	VZ	92343V104	5/7/2015	14	Shareholder Proposal Regarding Network Neutrality	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/7/2015	16	Shareholder Proposal Regarding Approval of Severance Agreements	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/7/2015	17	Shareholder Proposal Regarding Retention of Shares Until Retirement	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/7/2015	15	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Verizon Communications Inc.	VZ	92343V104	5/7/2015	18	Shareholder Proposal Regarding Right to Act	ShrHoldr	Against

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Virtus Investment Partners Inc	DCA	92829A103	6/4/2015	1.1	Elect Philip R. McLoughlin	Mgmt	For
Virtus Investment Partners Inc	DCA	92829A103	6/4/2015	1.2	Elect George R. Aylward	Mgmt	For
Voya Asia Pacific High Dividend Equity Income Fund	IAE	44983J107	7/2/2014	1.1	Elect J. Michael Earley	Mgmt	For
Voya Asia Pacific High Dividend Equity Income Fund	IAE	44983J107	7/2/2014	1.2	Elect Patricia W. Kenny	Mgmt	For
Voya Asia Pacific High Dividend Equity Income Fund	IAE	44983J107	7/2/2014	1.3	Elect Roger B. Vincent	Mgmt	For
Voya Asia Pacific High Dividend Equity Income Fund	IAE	44983J107	7/2/2014	1.4	Elect Shaun P. Mathews	Mgmt	For
Voya Financial Inc	IAE	92912J102	2/10/2015	1	Approval of a New Sub-Advisory Agreement Between Voya Investments and IIMA	Mgmt	For
Voya Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/2/2014	1.1	Elect J. Michael Earley	Mgmt	For
Voya Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/2/2014	1.2	Elect Patricia W. Kenny	Mgmt	For
Voya Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/2/2014	1.3	Elect Roger B. Vincent	Mgmt	For
Voya Global Advantage and Premium Opportunity Fund	IGA	44982N109	7/2/2014	1.4	Elect Shaun P. Mathews	Mgmt	For
Voya Global Equity Dividend and Premium Opportunity Fund	IHD	45685X104	7/2/2014	1.1	Elect J. Michael Earley	Mgmt	For
Voya Global Equity Dividend and Premium Opportunity Fund	IHD	45685X104	7/2/2014	1.2	Elect Patricia W. Kenny	Mgmt	For
Voya Global Equity Dividend and Premium Opportunity Fund	IHD	45685X104	7/2/2014	1.3	Elect Roger B. Vincent	Mgmt	For

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Voya Global Equity Dividend and Premium Opportunity Fund	IHD	45685X104	7/2/2014	1.4	Elect Shaun P. Mathews	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	45685U100	7/2/2014	1.1	Elect J. Michael Earley	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	45685U100	7/2/2014	1.2	Elect Patricia W. Kenny	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	45685U100	7/2/2014	1.3	Elect Roger B. Vincent	Mgmt	For
Voya Infrastructure, Industrials and Materials Fund	IDE	45685U100	7/2/2014	1.4	Elect Shaun P. Mathews	Mgmt	For
Voya Natural Resources Equity Income Fund	IRR	449810100	7/2/2014	1.1	Elect J. Michael Earley	Mgmt	For
Voya Natural Resources Equity Income Fund	IRR	449810100	7/2/2014	1.2	Elect Patricia W. Kenny	Mgmt	For
Voya Natural Resources Equity Income Fund	IRR	449810100	7/2/2014	1.3	Elect Roger B. Vincent	Mgmt	For
Voya Natural Resources Equity Income Fund	IRR	449810100	7/2/2014	1.4	Elect Shaun P. Mathews	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.1	Elect Colleen D. Baldwin	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.2	Elect John V. Boyer	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.3	Elect Patricia W. Chadwick	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.4	Elect Albert E. DePrince, Jr.	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.6	Elect J. Michael Earley	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.7	Elect Russell H. Jones	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.8	Elect Patricia W. Kenny	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.9	Elect Joseph E. Obermeyer	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.10	Elect Sheryl K. Pressler	Mgmt	For

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Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.11	Elect Roger B. Vincent	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.12	Elect Shaun P. Mathews	Mgmt	For
Voya Prime Rate Trust	PPR	44977W106	7/2/2014	1.5	Elect Peter S. Drotch	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	3	Elect Roger C. Corbett	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	4	Elect Pamela J. Craig	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	6	Elect Timothy P. Flynn	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	7	Elect Thomas W. Horton	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	8	Elect Marissa A. Mayer	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	9	Elect C. Douglas McMillon	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	10	Elect Gregory B. Penner	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	11	Elect Steven S. Reinemund	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	12	Elect Kevin Y. Systrom	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	13	Elect Jim C. Walton	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	14	Elect S. Robson Walton	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	15	Elect Linda S. Wolf	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	16	Ratification of Auditor	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	17	Advisory Vote on Executive Compensation	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	18	2015 Equity Incentive Plan	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	20	Shareholder Proposal Regarding Proxy Access	ShrHoldr	Against
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	21	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions in Maritime Shipping Supply Chain	ShrHoldr	Against
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	22	Shareholder Proposal Regarding an Incentive Compensation Report	ShrHoldr	Against

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Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	1	Elect Aida M. Alvarez	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	2	Elect James I. Cash, Jr.	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	5	Elect Michael T. Duke	Mgmt	For
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	19	Shareholder Proposal Regarding Recoupment Report	ShrHoldr	Against
Wal-Mart Stores Inc.	WMT	931142103	6/5/2015	23	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Walt Disney Co.	DIS	254687106	3/12/2015	1	Elect Susan E. Arnold	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	2	Elect John S. Chen	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	3	Elect Jack Dorsey	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	4	Elect Robert A. Iger	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	5	Elect Fred H. Langhammer	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	6	Elect Aylwin B. Lewis	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	7	Elect Monica C. Lozano	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	8	Elect Robert W. Matschullat	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	9	Elect Sheryl Sandberg	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	10	Elect Orin C. Smith	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	11	Ratification of Auditor	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	14	Shareholder Proposal Regarding Compensation in the Event of a Change in Control	ShrHoldr	Against
Walt Disney Co.	DIS	254687106	3/12/2015	12	Advisory Vote on Executive Compensation	Mgmt	For
Walt Disney Co.	DIS	254687106	3/12/2015	13	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Wells Fargo & Company	WFC	949746101	4/28/2015	1	Elect John D. Baker II	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	2	Elect Elaine L. Chao	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	3	Elect John S. Chen	Mgmt	For

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Wells Fargo & Company	WFC	949746101	4/28/2015	4	Elect Lloyd H. Dean	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	5	Elect Elizabeth A. Duke	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	6	Elect Susan E. Engel	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	7	Elect Enrique Hernandez, Jr.	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	8	Elect Donald M. James	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	10	Elect Federico F. Pena	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	11	Elect James H. Quigley	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	12	Elect Judith M. Runstad	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	13	Elect Stephen W. Sanger	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	14	Elect John G. Stumpf	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	15	Elect Susan G. Swenson	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	16	Elect Suzanne M. Vautrinot	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	17	Advisory Vote on Executive Compensation	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	18	Ratification of Auditor	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	20	Shareholder Proposal Regarding Lobbying Report	ShrHoldr	Against
Wells Fargo & Company	WFC	949746101	4/28/2015	9	Elect Cynthia H. Milligan	Mgmt	For
Wells Fargo & Company	WFC	949746101	4/28/2015	19	Shareholder Proposal Regarding Independent Board Chairman	ShrHoldr	Against
Wells Fargo Advantage Income Opportunities Fund	EOD	94987C103	2/9/2015	1.1	Elect William R. Ebsworth	Mgmt	For
	EOD	94987C103	2/9/2015	1.2	Elect Jane A. Freeman	Mgmt	For

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Wells Fargo Advantage Income Opportunities Fund								
Wells Fargo Advantage Income Opportunities Fund	EOD	94987C103	2/9/2015	1.3	Elect Judith M. Johnson	Mgmt	For	
Wells Fargo Advantage Income Opportunities Fund	EOD	94987C103	2/9/2015	1.4	Elect Donald C. Willeke	Mgmt	For	
Western Asset Managed Municipals Portfolio Inc	MMU	95766M105	9/26/2014	1.1	Elect William R. Hutchinson	Mgmt	For	
Western Asset Managed Municipals Portfolio Inc	MMU	95766M105	9/26/2014	1.2	Elect Riordan Roett	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	EMO	18469P100	3/20/2015	1.1	Elect Robert D. Agdern	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	EMO	18469P100	3/20/2015	1.2	Elect Carol L. Colman	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	EMO	18469P100	3/20/2015	1.3	Elect Daniel P. Cronin	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	EMO	18469P100	3/20/2015	1.4	Elect Paolo M. Cucchi	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	CEM	184692101	3/20/2015	1.1	Elect Robert D. Agdern	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	CEM	184692101	3/20/2015	1.2	Elect Leslie H. Gelb	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	CEM	184692101	3/20/2015	1.3	Elect William R. Hutchinson	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	HYI	95768B107	12/15/2014	1.1	Elect Eileen A. Kamerick	Mgmt	For	
Western Asset Mortgage Defined Opportunity Fund Inc.	HYI	95768B107	12/15/2014	1.2	Elect Riordan Roett	Mgmt	For	
Yahoo! Inc.	YHOO	984332106	6/24/2015	1	Elect David Filo	Mgmt	For	



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Yahoo! Inc.	YHOO	984332106	6/24/2015	2	Elect Susan M. James	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	3	Elect Max R. Levchin	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	4	Elect Marissa A. Mayer	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	5	Elect Thomas J. McInerney	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	6	Elect Charles R. Schwab	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	7	Elect H. Lee Scott, Jr.	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	8	Elect Jane E. Shaw	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	9	Elect Maynard G. Webb, Jr.	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	10	Advisory Vote on Executive Compensation	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	11	Ratification of Auditor	Mgmt	For
Yahoo! Inc.	YHOO	984332106	6/24/2015	12	Shareholder Proposal Regarding Formation of a Human Rights Committee	ShrHoldr	Against
Yahoo! Inc.	YHOO	984332106	6/24/2015	13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHoldr	Against
Zweig Total Return Fund Inc	ZTR	989837208	6/11/2015	1.1	Elect James B. Rogers, Jr.	Mgmt	For
Zweig Total Return Fund Inc	ZTR	989837208	6/11/2015	1.2	Elect Christopher Ruddy	Mgmt	For

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Progressive Return Fund

By (Signature and Title) /s/ Ralph W. Bradshaw  
Ralph W. Bradshaw, President and Chairman  
(Principal Executive Officer)

Date August 10, 2015