BEECHEM JOSEPH M

Form 4

September 06, 2018

| FORM | |
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

NanoString Technologies Inc

3. Date of Earliest Transaction

4. If Amendment, Date Original

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

BEECHEM JOSEPH M

(Last)

(First)

(Middle)

530 FAIRVIEW AVENUE N

(Street)

(Month/Day/Year) 09/04/2018

Symbol

[NSTG]

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

(Check all applicable)

Director 10% Owner Other (specify

X_ Officer (give title below) below)

SVP, Research & Development

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Amount of

Securities

Owned

Beneficially

X Form filed by One Reporting Person Form filed by More than One Reporting

(D) or

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

SEATTLE, WA 98109

Security

(Instr. 3)

Common

Stock (1)

(City) (State) (Zip)

09/04/2018(2)

1. Title of

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)

(Instr. 8)

Following Reported (A) Transaction(s) (Instr. 3 and 4) (D) Price

(3)

Code V Amount \$ 1,162 5.644 Α

(3)

17.699

Indirect (I) Ownership (Instr. 4) (Instr. 4)

Beneficial

6. Ownership 7. Nature of

Form: Direct Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title a | nd | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|-------------|-------------|--------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionNumber | | Expiration D | ate | Amount | of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underlyi | ng | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securitie | S | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. 3 a | and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | • | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | Δ1 | mount | | |
| | | | | | | | | or | | | |
| | | | | | | Date | Expiration | | ımber | | |
| | | | | | | Exercisable | Date | of | | | |
| | | | | Code V | (A) (D) | | | | ares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BEECHEM JOSEPH M 530 FAIRVIEW AVENUE N SEATTLE, WA 98109

SVP, Research & Development

Signatures

/s/ Shannon Atchison, Attorney-in-fact 09/06/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person is voluntarily reporting the acquisition of shares of the issuer's common stock pursuant to the Employee Stock
- (1) Purchase Plan ("ESPP"), for the ESPP Purchase Period (as defined in the ESPP) of March 1, 2018 through September 4, 2018. This transaction is also exempt under Rule 16b-3(c).
- (2) The Purchase Period ended September 4, 2018 and is the second of two Purchase Periods comprising the Offering Period (as defined in the ESPP) that began March 1, 2018.
- (3) In accordance with the ESPP, these shares were purchased based on 85% of the closing price of the issuer's common stock on March 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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