Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 June 07, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
Washington, D.C. 20549									OMB Number:		-0287	
Check t if no lor	nger STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Janua	ary 31, 2005	
subject Section Form 4	16.	SECURITIES							Estimated average burden hours per response 0			
Form 5	Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,										0.0	
obligati may con	Section 170			•	ding Company A			ion				
-	See Instruction 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)											
1. Name and Address of Reporting Person * RABAUT THOMAS W2. Issuer Name and Ticker or Trading Symbol5. Relationship of Issuer								of R	Reporting Person(s) to			
		Allison Transmission Holdings Inc [ALSN]				(Check all applicable)						
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				_X_ Director 10% Owner Officer (give title Other (specify					
ONE ALLISON WAY			06/03/2	•			below)		below)			
(Street)			4. If Am	endment, D	ate Original		6. Individual or Joint/Group Filing(Check					
									One Reporting Person fore than One Reporting			
							Person					
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative Securitie	es Acqu	iired, Disposed	of, a	or Beneficia	lly Owne	ed	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Se Be Ov	Amount of curities eneficially wned	For (D) (I)	Dwnership m: Direct or Indirect	7. Natur Indirect Benefic Owners	ial hip	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Following

Reported

Transaction(s)

(Instr. 3 and 4)

(Instr. 4)

(Instr. 4)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Underlying Securities	Derivati
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security

(A)

or

Code V Amount (D) Price

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(Instr. 5	
				Code	V	(A) (I	D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(1)</u>	06/03/2016		А		19		<u>(1)</u>	<u>(1)</u>	Common Stock	19	\$ 0

Reporting Owners

Reporting Owner Name / Address		Relationsh						
	Director	10% Owner	Officer	Other				
RABAUT THOMAS W ONE ALLISON WAY INDIANAPOLIS, IN 46222	Х							
Signatures								
/s/ Eric C. Scroggins, attorney-in-fact		06/07/2016	5					
<u>**</u> Signature of Reporting Person		Date						
Explanation of Responses:								

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- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to (1) which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.