## Edgar Filing: Noranda Aluminum Holding CORP - Form 4

Noranda Aluminum Holding CORP Form 4 December 09, 2013

December 09,	2013									
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL		
	Washington, D.C. 20549							OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	states	IENT OF CH	CHANGES IN BENEFICIAL OWN SECURITIES				NERSHIP OF	Expires: Estimated a burden hour response		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Re	esponses)									
1. Name and Address of Reporting Person <u>*</u> Smith Layle Kiplind			2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
Noranda Aluminum Holding CORP [NOR]				JKP	(Check all applicable)					
(Last) (First) (Middle) 801 CRESCENT CENTRE			3. Date of Earliest Transaction (Month/Day/Year) 12/05/2013				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) CEO			
DRIVE, SUI						CLO				
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
FRANKLIN, TN 37067							Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Fable I - Non	-Derivative Se	curiti	es Acqu	uired, Disposed of,	or Beneficiall	y Owned	
	. Transaction Date Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	Code	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: g Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
restricted 1 stock	2/05/2013		А	432.4209	А	\$ 0 (1)	115,456.3935	D		
restricted stock units	2/05/2013		А	142.3777	А	\$ 0 (2)	38,014.8212	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
restricted stock	\$ 0	12/05/2013		А	384.6236		04/12/2016	04/12/2016	Common stock	384.6

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Smith Layle Kiplind 801 CRESCENT CENTRE DRIVE SUITE 600 FRANKLIN, TN 37067	X		CEO				
Signatures							
/s/ Gail E. Lehman, Attorney-in-Fact Smith	12/09/2013						
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reports an additional grant of 432.4209 unvested restricted stock relating to Common Stock of the Company in connection with a(1) quarterly dividend of \$0.01 per share, subject to the same vesting schedule of the original grants (Time-Based RS) and subject to acceleration upon certain terminations of service.
- Reports an additional grant of 142.3777 unvested restricted stock units relating to Common Stock of the Company in connection with a(2) quarterly dividend of \$0.01 per share, subject to the same vesting schedule of the original grants (Time-Based RSU) and subject to acceleration upon certain terminations of service.
- Reports an additional grant of 384.6236 unvested Shareholder Performance Based Restricted stock relating to Common Stock of the(3) Company in connection with a quarterly dividend of \$0.01 per share, subject to the same vesting schedule of the original award of 100,000 on 4/12/2013.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.