Noranda Aluminum Holding CORP Form 4

March 10, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Croft Scott M Issuer Symbol Noranda Aluminum Holding CORP (Check all applicable) [NONE] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director X\_ Officer (give title Other (specify (Month/Day/Year) below) 801 CRESCENT CENTRE 03/06/2014 Pres-Downstream DRIVE, SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting FRANKLIN, TN 37067 (City) (State) (Zip) tivo Commition A comin

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Securities Acquired (A) Transaction Disposed of (D)			5. Amount of Securities	6. Ownership	7. Nature of Indirect			
(Instr. 3)	(1.1011111 2 uj, 1 uu)	any	Code (Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial			
		(Month/Day/Year)	(Instr. 8)	:. 8)			Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)		
				(A)			Reported Transaction(s)	(I) (Instr. 4)			
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
restricted stock	03/06/2014		J	3,442.6268 (1)	D	\$0	20,240.2841	D			
Common stock	03/06/2014		J	3,442 <u>(2)</u>	A	\$0	66,551	D			
Common stock	03/06/2014		F	911 (3)	D	\$ 4.91	65,640	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities		(Instr	. 3 and 4)		Owne	
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41-	or Namelana		
						Exercisable	le Date		Number		
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Croft Scott M

801 CRESCENT CENTRE DRIVE

SUITE 600

FRANKLIN, TN 37067

## **Signatures**

/s/ Gail E. Lehman, Attorney-in-Fact for Scott Croft

03/10/2014

Pres-Downstream

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reports the vesting of 3,442.6268 of Time-Based Restricted Stock on 3/6/14, including all DEUs awarded thereon. This is the 2nd year (1) vesting of such TBRes awarded on 3/6/12. This entry is to dispose of the vested portion as restricted stock, and will acquire the same as common stock hereinbelow.
- Reflects the Common Stock owned after vesting of TB Res awarded on 3/6/12, including any DEUs awarded thereon. Initial filing made on 3/7/12 reported the Res on Table 1 as Restricted Stock. This filing is to accurately reflect the vested shares as Common Stock instead of Restricted Stock. The fractional of .6268 reflected in the line above vested on 3/6/14 and was paid in cash directly to Mr. Croft's brokerage account.
- (3) Reflects the number of shares withheld to pay for taxes as a result of the vesting which occurred on the 3/6/14 of TB Res.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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