Noranda Aluminum Holding CORP Form 4

March 31, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31,

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average

**SECURITIES** 

burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Boyles Dale W

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

Noranda Aluminum Holding CORP

[NOR]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

801 CRESCENT CENTRE DRIVE, SUITE 600

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

(Instr. 4)

4. If Amendment, Date Original

03/25/2015

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FRANKLIN, TN 37067

Security

(Instr. 3)

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

(Street)

3. 4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or

Disposed of (D)

or

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A)

Code

Following Reported

Beneficially

Transaction(s) (Instr. 3 and 4)

Owned

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of Derivative (Month/Day/Year) Execution Date, if Transaction of Conversion

5. Number 6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. Underlying Securities De

#### Edgar Filing: Noranda Aluminum Holding CORP - Form 4

Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	)	Deriva Securi Acquir (A) or Dispos of (D) (Instr. and 5)	ties red sed 3, 4,	(Month/Day/	Year)	(Instr. 3 and	4)	S (
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Units	<u>(1)</u>	03/25/2015		A		98		(2)	11/05/2022	Common stock	98	
Dividend Equivalent Units	(3)	03/25/2015		A		86		<u>(4)</u>	05/02/2024	Common stock	86	
Dividend Equivalent Units	(1)	03/25/2015		A		111		(2)	05/02/2024	Common stock	111	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

Boyles Dale W

801 CRESCENT CENTRE DRIVE

SUITE 600

FRANKLIN, TN 37067

Chief Financial Officer

### **Signatures**

/s/ Gail E. Lehman, Attorney-in-Fact for Dale W. Boyles

03/31/2015

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The dividend equivalent units ("DEUs") accrued on previously awarded restricted stock units ("RSUs") and vest in the same manner as the RSUs to which they relate. Each DEU is the economic equivalent of one share of NOR common stock.
- (2) The dividend equivalent units vest at the same time and in the same proportion as the restricted stock they relate to, subject to acceleration upon certain terminations of service.
- (3) The dividend equivalent units ("DEUs") accrued on previously awarded performance shares and vest in the same manner as the performance shares to which they relate. Each DEU is the economic equivalent of one share of NOR common stock.
- (4) The dividend equivalent units vest at the same time and in the same proportion as the performance shares they relate to, subject to acceleration upon certain terminations of service.

Reporting Owners 2

### Edgar Filing: Noranda Aluminum Holding CORP - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.