Edgar Filing: Noranda Aluminum Holding CORP - Form 4

Noranda Aluminum Holding CORP Form 4 April 02, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Rolfe Ronald S Issuer Symbol Noranda Aluminum Holding CORP (Check all applicable) [NOR] 3. Date of Earliest Transaction (Last) (First) (Middle) X_ Director 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 801 CRESCENT CENTRE 03/31/2015 DRIVE, SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting FRANKLIN, TN 37067 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) anv Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common 03/31/2015 \$0 M 2,443 Α 28,729 (1) D stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Prio Deriv Secur (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
restricted stock units	<u>(2)</u>	03/31/2015		М	2,443	(3)	<u>(4)</u>	Common stock	2,443	\$

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Reporting Owners

Reporting Owner Name / Address						
1. 9	Director	10% Owner	Officer	Other		
Rolfe Ronald S 801 CRESCENT CENTRE DRIVE SUITE 600 FRANKLIN, TN 37067	Х					
Signatures						
/s/ Gail E. Lehman, Attorney-in-Fact Rolfe	ld S.	04/0	02/2015			
**Signature of Reporting Perso			Date			

**Signature of Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In addition to reflecting the effect of the reported transactions, the number of shares set forth in this column have been adjusted to correct previous computation errors.
- (2) Each restricted stock unit is the economic equivalent of one share of Issuer common stock.

On December 2, 2014, the reporting person was granted 9,741 restricted stock units ("RSUs"). On March 25, 2015, the reporting person was granted 32 additional RSUs in the form of dividend equivalents that vest at the same time, and in the same proportion as the previously granted RSUs. Twenty-five percent of outstanding RSUs vested on March 31, 2015. The remaining RSUs will vest in equal increments on each of June 30, September 30 and December 31, 2015.

(4) 12/31/2020

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.