Noranda Aluminum Holding CORP Form 4

April 14, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box
if no longer
white the STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

subject to Section 16. Form 4 or

Form 4 or Form 5 obligations may continue. See Instruction SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchanges Execution 17(a) of the Public Utility Holding Company Action 17(b) of the Public Utility Holding Company Action 18(b) of the Public Utility Holding Company Action 18(b) of the Securities Exchanges 18(b) of the Securities 18(b) of the Sec

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Noranda Aluminum Holding CORP

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Smith Layle Kiplind

(First) (Middle)

801 CRESCENT CENTRE DRIVE, SUITE 600

FRANKLIN, TN 37067

(Street)

ddle) 3. Date of Earliest Transaction

Symbol

[NOR]

(Month/Day/Year) 04/12/2015

4. If Amendment, Date Original

Filed(Month/Day/Year)

2. Issuer Name **and** Ticker or Trading 5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

\_X\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

below) below)
President and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquire Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D) P	I	Reported Transaction(s) (Instr. 3 and 4)		
Common stock	04/12/2015		M	16,484	A \$	\$0 4	465,837	D	
Common stock	04/12/2015		F	6,916	D \$	50 4	458,921 <u>(1)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock	(2)	04/12/2015		M	16,484	(3)	04/12/2023	Common stock	16,484

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
Smith Layle Kiplind 801 CRESCENT CENTRE DRIVE SUITE 600 FRANKLIN, TN 37067	X		President and CEO			

## **Signatures**

/s/ Gail E. Lehman, Attorney-in-Fact for Layle K.
Smith

04/14/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In addition to reflecting the effect of the reported transactions, the number of shares set forth in this column were adjusted in the reporting person's previous Form 4, filed on March 31, 2015, to correct previous computation errors.
- (2) Each share of restricted stock is the economic equivalent of one share of Issuer common stock.
  - On April 12, 2013, the reporting person was granted 63,375 shares of restricted stock. On March 25, 2015, the reporting person was granted 160 additional shares of restricted stock in the form of dividend equivalents that, subsequent to the grant date, vest at the same
- (3) times, and in the same proportions as the previously granted shares of restricted stock to which the dividend equivalent shares relate.

  One-third of the outstanding shares of restricted stock vested on April 12, 2015. The remaining shares of restricted stock will vest April 12, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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