## Edgar Filing: Noranda Aluminum Holding CORP - Form 4

Noranda Aluminum Holding CORP Form 4 April 14, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Lehman Gail E Issuer Symbol Noranda Aluminum Holding CORP (Check all applicable) [NOR] 3. Date of Earliest Transaction (Last) (First) (Middle) Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 801 CRESCENT CENTRE 04/12/2015 General Counsel/Sec/CAO DRIVE, SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting FRANKLIN, TN 37067 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D)Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount Price (D) Common 04/12/2015 \$0 M 3,381 Α 77,311 D stock Common 04/12/2015 F 925 D 76,386 (1) D 3 22 stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number<br>on f Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day, | ate                | 7. Title and J<br>Underlying S<br>(Instr. 3 and |  |
|---|---|---|---|---------------------------------------|---|---|--------------------|---|--|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock                                 | <u>(2)</u>  | 04/12/2015                              |   | М                                     | 3,381   | (3)   | 04/12/2023         | Common<br>stock                                 | 3,381                                  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                            |       |  |  |  |
|---|---------------|-----------|----------------------------|-------|--|--|--|
| <b>FB</b>   | Director      | 10% Owner | Officer                    | Other |  |  |  |
| Lehman Gail E<br>801 CRESCENT CENTRE DRIVE<br>SUITE 600<br>FRANKLIN, TN 37067 |               |           | General<br>Counsel/Sec/CAO |       |  |  |  |
| Signatures  |               |           |                            |       |  |  |  |
| /s/ Gail E.   |               |           |                            |       |  |  |  |

Reporting Person

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

04/14/2015 Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In addition to reflecting the effect of the reported transactions, the number of shares set forth in this column were adjusted in the reporting person's previous Form 4, filed on March 31, 2015, to correct previous computation errors.
- (2) Each share of restricted stock is the economic equivalent of one share of Issuer common stock.

On April 12, 2013, the reporting person was granted 13,000 shares of restricted stock. On March 25, 2015, the reporting person was granted 33 additional shares of restricted stock in the form of dividend equivalents that, subsequent to the grant date, vest at the same

(3) times, and in the same proportions as the previously granted shares of restricted stock to which the dividend equivalent shares relate. One-third of the outstanding shares of restricted stock vested on April 12, 2015. The remaining shares of restricted stock will vest April 12, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Lehman

\*\*Signature of