

Enservco Corp  
Form 4  
May 09, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Peitz Austin

(Last) (First) (Middle)  
501 S. CHERRY STREET, SUITE 1000  
(Street)

DENVER, CO 80246

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Enservco Corp [ENSV]

3. Date of Earliest Transaction (Month/Day/Year)  
05/05/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

SVP - Field Operations

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock					98,853	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Enservco Corp - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 0.6	05/05/2016		A		200,000		12/31/2016	05/05/2021	Common Stock	200,000
Stock Option	\$ 1.74							01/01/2016	04/08/2020	Common Stock	160,000
Stock Option	\$ 0.7							01/01/2014	01/23/2018	Common Stock	50,000
Stock Option	\$ 0.46							06/06/2013	06/30/2017	Common Stock	300,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Peitz Austin 501 S. CHERRY STREET, SUITE 1000 DENVER, CO 80246			SVP - Field Operations	

## Signatures

/s/ Austin Peitz                      05/09/2016  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 5/5/16. Options to acquire 66,667 shares vest on 12/31/16; 66,667 on 12/31/17; and the remaining options vest on 12/31/18.
- (2) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 4/8/15. Options to acquire 53,334 shares vested on 1/1/16; 53,334 vest on 1/1/17; and the remaining options vest on 1/1/18.
- (3) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 1/23/13. Options to acquire 16,667 shares vested on 1/1/14; 16,667 on 1/1/15; and the remaining options vested on 1/1/16.
- (4) Options granted pursuant to Enservco's 2010 Stock Incentive Plan on 6/7/12. Options to acquire 100,000 shares vested on 6/6/13; 100,000 on 6/6/14; and the remaining options vested on 6/6/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.