

Stock Yards Bancorp, Inc.
Form 4
June 02, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HEINTZMAN DAVID P

(Last) (First) (Middle)
3019 POPPY WAY

(Street)

LOUISVILLE, KY 40206

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction
(Month/Day/Year)
05/31/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman & CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	05/31/2016		M	5,000 A \$ 17.89	166,407 ⁽¹⁾	D	
Common Stock	05/31/2016		S	5,000 D \$ 28.95	161,407	D	
Common Stock	02/02/2016		G	V 400 D 22	161,407	D	
Common Stock					33,328 ⁽¹⁾	I	By 401k/ESOP-David Heintzman
Common Stock					6,061 ⁽¹⁾	I	By Spouse

Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to Buy)	\$ 17.89	05/31/2016		M	5,000	08/20/2007	02/20/2017	Common Stock	5,000
Stock Appreciation Right	\$ 15.58					08/19/2008	02/19/2018	Common Stock	20,250
Stock Appreciation Right	\$ 14.76					02/17/2010	02/17/2019	Common Stock	18,450
Stock Appreciation Right	\$ 14.02					02/16/2011	02/16/2020	Common Stock	26,320
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	21,570
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	36,410
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	25,010
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	28,980
Stock Appreciation	\$ 22.96					03/17/2016	03/17/2025	Common Stock	21,740

Right

Stock

Appreciation \$ 25.76

Right

03/15/2017 03/15/2026

Common
Stock

24,79

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HEINTZMAN DAVID P 3019 POPPY WAY LOUISVILLE, KY 40206			Chairman & CEO	

Signatures

/s/ David P.
Heintzman

06/02/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares adjusted due to 3 for 2 stock split distributed on May 27, 2016.
 - (2) Gifted shares to charitable organization

Remarks:

All share amounts on Table I and Table II as well as exercise pricing in Table II are updated to reflect 3 for 2 stock split distrib

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.