Stock Yards Bancorp, Inc.

Form 4

January 04, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Stock Yards Bancorp, Inc. [SYBT]

1(b).

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* LECHLEITER RICHARD A

(First)

(Middle)

1040 E. MAIN STREET

(Street)

(State)

(Month/Day/Year) 01/03/2017

3. Date of Earliest Transaction

4. If Amendment, Date Original

Symbol

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

X\_ Director 10% Owner Other (specify Officer (give title

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Ownership

Form:

Person

5. Amount of

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Securities

Beneficially

LOUISVILLE, KY 40206

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

01/03/2017

(Zip)

Execution Date, if (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

(A) or Code V Amount (D) Price

Owned Direct (D) Following or Indirect Reported Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Ī

530 4,138 D Α <u>(2)</u>

Common Stock

Common

Stock

16,453.453 (1)

Trust-Directors' Deferred Comp Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

7. Nature of Indirect

Beneficial

Ownership

(Instr. 4)

#### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date (Month/Day/Year) |                  | 4.<br>Transacti    | 5.<br>onNumber                           | 6. Date Exerc<br>Expiration D  |                    | 7. Title Amount                   |            | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|--|--------------------------------------|------------------|--------------------|--|--|--------------------|-----------------------------------|------------|------------------------|---|
| Security<br>(Instr. 3) | or Exercise Price of Derivative Security | (Month Day/Tear)                     | (Month/Day/Year) | Code<br>(Instr. 8) | of Derivative Securities Acquired (A) or | of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, |                    | Underly<br>Securitic<br>(Instr. 3 | ring<br>es | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |  |                                      |                  | Code V             | (A) (D)                                  | Date<br>Exercisable  | Expiration<br>Date | Title N                           | Number     |                        |   |

## **Reporting Owners**

| Reporting Owner Name / Address              | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| •   | Director      | 10% Owner | Officer | Other |  |  |  |
| LECHLEITER RICHARD A<br>1040 E. MAIN STREET | X             |           |         |       |  |  |  |
| LOUISVILLE, KY 40206                        | 21            |           |         |       |  |  |  |

## **Signatures**

/s/ Richard A. 01/04/2017 Lechleiter

\*\*Signature of Reporting Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.
- (2) Restricted stock unit grant with one year vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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