### Edgar Filing: Schick John Douglas - Form 4

Schick John	Douglas										
Form 4	7 2019										
	December 17, 2018 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMMISSION	OMB	PROVAL 3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5 obligation may cont <i>See</i> Instru 1(b).	6. Filed pur Section 17(	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Number:January 31Expires:2005Estimated averageburden hours perresponse0.5	
(Print or Type F	Responses)										
Schick John Douglas Symbo				r Name <b>and</b>	Ticker or T	rading		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				CO COR							
(Month/I			(Month/D	Date of Earliest Transaction pnth/Day/Year) 12/2018				Director 10% Owner Officer (give title Other (specify below) below) President			
			nendment, Date Original fonth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
HOUSTON	, TX 77079							Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative So	ecuriti	es Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Dat any (Month/Day/Year)		n Date, if	Code (Instr. 3, 4 and 5) Year) (Instr. 8) (A)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	12/12/2018			А	112,000 (1)	А	\$ 1.33 (2)	112,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	<ol> <li>5.</li> <li>ctionNumber of</li> <li>Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</li> </ol>	S	Date	ate Amount of		8. Price of Derivative Security (Instr. 5)	
				Code		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### Edgar Filing: Schick John Douglas - Form 4

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships						
in porting of the reason of		Director	10% Owner	Officer	Other			
Schick John Douglas 1250 WOOD BRANCH PARK DR. HOUSTON, TX 77079				President				
Signatures								
/s/ J.Douglas Schick	12/14/202	18						
<u>**</u> Signature of	Date							

# Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of Restricted Common Stock were issued to the Reporting Person pursuant to the Issuer's 2012 Equity Incentive Plan and are subject to forfeiture. The shares vest at the rate of (i) 1/3 of the shares on the one (1) year anniversary of December 12, 2018 (the "Grant Data") (ii) 1/2 on the two (2) year anniversary of the Grant Data (iii) 1/2 on the two (2) year (2) yea

- (1) Date"); (ii) 1/3 on the two (2) year anniversary of the Grant Date; and (iii) 1/3 on the three (3) year anniversary of the Grant Date, subject to the Reporting Person's continued service to the Company on such vesting dates, and subject to the terms and conditions of a Restricted Shares Grant Agreement entered into by and between the Issuer and the Reporting Person. Exempt under Rule 16b-3(d).
- (2) Issued to the Reporting Person in consideration for services rendered and agreed to be rendered to the Issuer as the President of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Exhibit 99.1 Investor Update - Raymond James 38 Annual Institutional Investors Conference.

Exhibit 99.2 Reconciliations of Non-GAAP Financial Measures used in the Investor Update – Raymond James Annual Institutional Investors Conference.

#### **Reporting Owners**

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### **RUTH'S HOSPITALITY GROUP, INC.**

Date: March 6, 2017 By:/s/ Arne G. Haak Arne G. Haak Executive Vice President and Chief Financial Officer

3