#### Edgar Filing: Brown J McCauley - Form 4

Brown J McCa	uley									
Form 4 October 24, 20	17									
								OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this box					Expires:	January 31,				
if no longer subject to Section 16. Form 4 or						Estimated a	nated average len hours per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Res	sponses)									
Brown J McCauley Symbo			. Issuer Name <b>and</b> Ticker or Trading mbol ock Yards Bancorp, Inc. [SYBT]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle	e) 3. Date c	f Earliest T	ransaction			(Chec.	к ан аррисаби	e)	
(Month/			onth/Day/Year) /23/2017				X_ Director10% Owner Officer (give titleOther (specify below) below)			
			Amendment, Date Original (Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
LOUISVILLE, KY 40232 Form filed by One Reporting Person Form filed by More than One Reporting Person Person										
(City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>										
	any	cution Date, if	3. Transactio Code (Instr. 8)	4. Securiti on(A) or Dis (Instr. 3, 4	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(IIISU: 5 and 4)		Trust	
Common 1 Stock 1	0/23/2017		Р	32.175	А	\$ 38.85	1,295.999 <u>(1)</u>	Ι	Directors' Deferred Comp Plan	
Common Stock							3,127	D		
Common Stock							3,987	Ι	Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of<br/>information contained in this form are not<br/>required to respond unless the formSEC 1474<br/>(9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 24.56					08/18/2016	08/18/2025	Common Stock	1,500

#### **Reporting Owners**

Reporting Owner Name / Addre	255	Relationships						
	Director	10% Owner	Officer	Other				
Brown J McCauley PO BOX 32890 LOUISVILLE, KY 40232	Х							
Signatures								
/s/ J. McCauley	10/24/2017							

Brown	10/24/201
*Signature of	Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired through automatic dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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