Dishman William Form 4 September 28, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Persons who respond to the collection of

information contained in this form are not

required to respond unless the form

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

2. Issuer Name and Ticker or Trading

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Dishman William			2. Issu Symbol	iei Naine a	na ricker	or 11a	iding	Issuer				
			Stock	Yards Ba	incorp, I	nc. [S	SYBT]	(Check all applicable)				
(Last)	(First)		3. Date of Earliest Transaction (Month/Day/Year)					Director 10% Owner				
13130 READING ROAD			09/28/	-				Officer (give title Other (specify below) below)  Executive Vice President				
			nendment, l	_	nal		6. Individual or Joint/Group Filing(Check Applicable Line)					
GOSHEN							_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/	ate, if	Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock								5,055	I	By Spouse		
Common Stock								6,032	I	by 401k/ESOP-FBO William Dishman		
Common Stock	09/28/2018			S	1,000	D	\$ 36.005	16,414	D			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.												

SEC 1474

(9-02)

### Edgar Filing: Dishman William - Form 4

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securi	rivative ties red sed of 3, 4,	6. Date Exerci Expiration Dat (Month/Day/Y	re e	7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Appreciation Right	\$ 35.9						02/20/2019	02/20/2028	Common Stock	3,71
Stock Appreciation Right	\$ 14.02						02/16/2011	02/16/2020	Common Stock	9,64
Stock Appreciation Right	\$ 15.84						03/15/2012	03/15/2021	Common Stock	5,75
Stock Appreciation Right	\$ 15.24						02/20/2013	02/20/2022	Common Stock	10,00
Stock Appreciation Right	\$ 19.37						02/18/2015	02/18/2024	Common Stock	8,29
Stock Appreciation Right	\$ 22.96						03/17/2016	03/17/2025	Common Stock	6,34
Stock Appreciation Right	\$ 25.76						03/15/2017	03/15/2026	Common Stock	7,17
Stock Appreciation Right	\$ 40						03/21/2018	03/21/2017	Common Stock	3,83
Stock Appreciation Right	\$ 14.76	06/01/2018		M	2	4,500	02/17/2010	02/17/2019	Common Stock	4,50

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dishman William

13130 READING ROAD Executive Vice President

GOSHEN, KY 40026

## **Signatures**

/s/ William
Dishman
09/28/2018

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).