TETRA TECH INC

Form 4

November 22, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

OMB APPROVAL

Number: Expires:

January 31, 2005

0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average **SECURITIES** burden hours per

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * SHOEMAKER LESLIE L

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

TETRA TECH INC [TTEK]

3. Date of Earliest Transaction

(Month/Day/Year) 11/18/2016

Director 10% Owner _ Other (specify

(Check all applicable)

EXECUTIVE VICE PRESIDENT

X_ Officer (give title below)

3475 EAST FOOTHILL **BOULEVARD**

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

PASADENA,, CA 91107

| (City) | (State) (Zi | p) Table I | - Non-Der | ivative Se | curiti | es Acqu | ired, Disposed of | , or Beneficiall | y Owned |
|--------------------------------------|--------------------------------------|---|---|---|------------------|------------|--|---|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securion(A) or Di (D) (Instr. 3, | ispose | d of | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| COMMON STOCK | 11/18/2016 | | A | 3,451 (2) | A | \$0 | 49,189 | D | |
| COMMON STOCK | 11/20/2016 | | F | 436 (3) | D | \$ 40.8 | 48,753 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|---------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amo or Num of SI |
| STOCK OPTION (RIGHT TO BUY) | \$ 40.8 | 11/18/2016 | | A | 11,427 | 11/18/2017 <u>(1)</u> | 11/18/2026 | COMMON STOCK | 11, |

Reporting Owners

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

SHOEMAKER LESLIE L 3475 EAST FOOTHILL BOULEVARD PASADENA,, CA 91107

EXECUTIVE VICE PRESIDENT

Signatures

JANIS B. SALIN FOR LESLIE L. **SHOEMAKER**

11/22/2016

Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option becomes exercisable as to 25% of the shares on each anniversary of the grant date.
- 3,451 restricted stock units (RSUs) were awarded to the reporting person on November 18, 2016. The RSUs become vested as to 25% of the shares on each anniversary of the grant date.
- (3) 436 of the shares underlying RSUs which vested on 11/20/2016 were withheld to pay the related tax liability.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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