OFG BANCORP Form 4 February 06, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D.C. 20549

OMB 3235-0287

Washington, D.C. 20549

Number: January 31, 2005

**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

Section 16.

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

02/04/2014

Stock

1. Name and Address of Reporting Person * SOUFFRONT CARLOS			2. Issuer Name <b>and</b> Ticker or Trading Symbol OFG BANCORP [OFG]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			(Check all applicable)					
#27 DORAI	OO BEACH EA	ST	(Month/Da 02/04/20	-					DirectorX Officer (given below) General (		
	(Street)		4. If Amer Filed(Mont			Original			6. Individual or Applicable Line) _X_ Form filed by	•	
DORADO,	PR 00646									More than One Ro	
(City)	(State)	(Zip)	Table	I - Non-D	eriv	vative S	ecuri	ties Ac	quired, Disposed	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Executi any	emed on Date, if /Day/Year)	3. Transacti Code (Instr. 8)	onAo Di (Iı	Securit acquired bisposed finstr. 3, 4	(A) o of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common	02/04/2014			Δ	1	488	Δ	(1)	50 357	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

50,357

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1,488

Α

(1)

### Edgar Filing: OFG BANCORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Units (2)	(3)	02/04/2014		M	1,488	<u>(1)</u>	<u>(1)</u>	Common Stock	1,488	9
Restricted Units (2)	(3)	02/04/2014		F	112	<u>(1)</u>	<u>(1)</u>	Common Stock	112	g

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SOUFFRONT CARLOS			General				
#27 DORADO BEACH EAST			Counsel and				
DORADO, PR 00646			Secretary				

# **Signatures**

/s/ Carlos
Souffront

\*\*Signature of Reporting Person

O2/06/2014

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable.
- (2) Awarded pursuant to the OFG Bancorp Amended and Restated 2007 Omnibus Performance Incentive Plan.
- (3) The Restricted Units convert to common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. style="font-size:10.0pt;margin:0in 0in .0001pt .5in;text-indent:-.5in;">10.1

Amendment No. 1, dated December 29, 2014, to the Senior Secured Revolving Credit Agreement dated June 4, 2014, by and among New Mountain Finance Corporation, as Borrower, and Goldman Sachs Bank USA, as Administrative Agent and Syndication Agent.

2

## Edgar Filing: OFG BANCORP - Form 4

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

### NEW MOUNTAIN FINANCE CORPORATION

Date: January 5, 2015 By: /s/ Paula A. Bosco

Name: Paula A. Bosco Title: Secretary

3