

LAMACH MICHAEL W

Form 4

January 04, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
LAMACH MICHAEL W

(Last) (First) (Middle)

C/O INGERSOLL-RAND
COMPANY, 800-E BEATY
STREET

(Street)

DAVIDSON, NC 28036

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Ingersoll-Rand plc [IR]

3. Date of Earliest Transaction
(Month/Day/Year)

01/02/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Ordinary Shares	01/02/2018		M		65,351	A \$ 37.742	271,652.5262 D
Ordinary Shares	01/02/2018		M		103,806	A \$ 32.43	375,458.5262 D
Ordinary Shares	01/02/2018		M		41,351	A \$ 32.46	416,809.5262 D
Ordinary Shares	01/02/2018		S		65,351 (1)	D \$ 88.2817 (2)	351,458.5262 D
	01/02/2018		S			D	247,652.5262 D

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Ordinary Shares			103,806 (1)		\$ (2)	88.2817		
Ordinary Shares	01/02/2018		S	41,351 (1)	D	\$ (2)	88.2817	206,301.5262 D
Ordinary Shares (3)							2,137.5993	I By Plan Trustee
Ordinary Shares (GRAT)							82,372	I By grantor retained annuity trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (Right to Buy)	\$ 37.742	01/02/2018		M	65,351	(4) 02/13/2021	Ordinary Shares 65,351
Stock Option (Right to Buy)	\$ 32.43	01/02/2018		M	103,806	(5) 02/23/2022	Ordinary Shares 103,806
Stock Option (Right to Buy)	\$ 32.46	01/02/2018		M	41,351	(5) 02/23/2022	Ordinary Shares 41,351

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAMACH MICHAEL W C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036	X		Chairman and CEO	

Signatures

/s/ Sara Walden Brown -
Attorney-in-Fact

01/04/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction executed pursuant to a Rule 10b5-1 Plan adopted by the reporting person on July 31, 2017.

This transaction was executed in multiple trades ranging from \$87.84 to \$89.73 per share. The price reported above reflects the weighted

(2) average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

(3) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.

(4) The stock option vests in three (3) equal installments beginning on February 14, 2012.

(5) The stock option vests in three (3) equal installments beginning on February 24, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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