

STUBBS DACE BROWN
Form 4
September 05, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STUBBS DACE BROWN

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HIGHWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/31/2012

Director 10% Owner
 Officer (give title below) Other (specify below)

LOUISVILLE, KY 40210
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Class A Common	08/31/2012		P		12,396.7 A \$ 63.05	D	
Class A Common						I	Log House 2011 LP
Class A Common	08/31/2012		P		2,379.1 A \$ 63.05	I	GGB Trust
Class A Common	08/31/2012		P		1,758.7 A \$ 63.05	I	Albrecht Trust
Class B Common	08/31/2012		P		3,349.5 A \$ 65.2	D	

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Class B
Common

577,566 ⁽⁴⁾ I

Log
House
2011 LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 20.12 ⁽⁵⁾					05/01/2003 04/30/2013	Class B Common 5,885
Non-Qualified Stock Option (right to buy)	\$ 23.89 ⁽⁶⁾					07/22/2004 04/30/2014	Class B Common 4,580
Stock Appreciation Rights	\$ 30.36 ⁽⁷⁾					07/28/2005 04/30/2015	Class B Common 5,325
Stock Appreciation Right	\$ 37.13 ⁽⁸⁾					07/27/2006 04/30/2016	Class B Common 4,535
Stock Appreciation Right	\$ 35.87 ⁽⁹⁾					07/26/2007 04/30/2017	Class B Common 5,634
Stock Appreciation Right	\$ 37.72 ⁽¹⁰⁾					07/24/2008 04/30/2018	Class B Common 5,171
Stock Appreciation Right	\$ 28.74 ⁽¹¹⁾					07/23/2009 04/30/2019	Class B Common 6,354

