

Roth James H  
 Form 4  
 September 06, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Roth James H

2. Issuer Name and Ticker or Trading Symbol  
 Huron Consulting Group Inc.  
 [HURN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 550 WEST VAN BUREN STREET  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 09/05/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 CEO and President

CHICAGO, IL 60607

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |   |               |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|---------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |   |   |               |
| Common Stock                    | 09/05/2018                           |  | M <sup>(1)</sup>               | V   | 10,000<br>(2)   | \$ 23.43   | 215,397   | D |               |
| Common Stock                    | 09/05/2018                           |  | S <sup>(1)</sup>               | D   | 10,000  | \$ 48.97<br>(4)  | 205,397   | D |               |
| Common Stock                    | 09/05/2018                           |  | S <sup>(3)</sup>               | D   | 7,500   | \$ 48.97<br>(4)  | 197,897   | D |               |
| Common Stock                    |                                      |  |                                |   |   |  | 3,855   | I | By Family LLC |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |           | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |        |
|---|---|---|---|---|--|-----------|--|--------------------|---|--------|
|   |   |   |   |   | Code   | V (A) (D) | Date<br>Exercisable  | Expiration<br>Date |   |        |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)   | \$ 23.43  | 09/05/2018                              |   | M <sup>(1)</sup>                        |  | 10,000    | 05/03/2013   | 05/03/2020         | Common<br>Stock   | 10,000 |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| Roth James H<br>550 WEST VAN BUREN STREET<br>CHICAGO, IL 60607 | X             |           | CEO and President |       |

## Signatures

Diane E. Ratekin, Attorney-in-fact for James H.  
Roth

09/06/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic exercise and sale pursuant to a 10b5-1 trading plan.
- (2) Common stock acquired upon the exercise of options granted May 3, 2010.
- (3) Automatic sale pursuant to a 10b5-1 trading plan.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.75 to \$49.35. The undersigned undertakes to provide Huron Consulting Group Inc. ("Huron"), any security holder of Huron or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within

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the ranges set forth in footnote (4) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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