

Malibu Boats, Inc.  
Form 4  
November 08, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Anderson Ritchie L.

(Last) (First) (Middle)  
5075 KIMBERLY WAY  
(Street)

LOUDON,, TN 37774

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Malibu Boats, Inc. [MBUU]

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (D) Price		
Class A Common Stock	11/04/2016		A <sup>(1)(2)</sup>		5,000 A \$ 0	14,740 <sup>(3)</sup>	D
Class A Common Stock	11/06/2016		F <sup>(4)</sup>		147 D \$ 15.62	14,593 <sup>(3)</sup>	D
Class A Common Stock	11/06/2016		F <sup>(5)</sup>		357 D \$ 15.62	14,236 <sup>(3)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Anderson Ritchie L. 5075 KIMBERLY WAY LOUDON,, TN 37774			Chief Operating Officer	

## Signatures

RITCHIE L. ANDERSON, /s/ Wayne Wilson as attorney in fact  
 11/07/2016  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person was issued an equity award of 5,000 shares of restricted stock on November 4, 2016 in consideration of services performed for the Issuer in fiscal 2016.
- (2) The shares of restricted stock will vest in four substantially equal annual installments beginning on November 4, 2017.
- (3) Includes 1,073 restricted stock units vesting in two substantially equal annual installments beginning on November 6, 2017 and 3,912 restricted stock units vesting in three substantially equal annual installments beginning on November 6, 2017. The restricted stock units represent the contingent right to receive an equivalent number of shares of the Issuer's Class A Common Stock.
- (4) The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 536 shares in connection with a restricted stock unit award granted on November 6, 2015.
- (5)

## Edgar Filing: Malibu Boats, Inc. - Form 4

The shares of the Issuer's Class A Common Stock were withheld for tax withholding purposes in connection with the vesting of 1,303 shares in connection with a restricted stock unit award granted on November 6, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.