

NBT BANCORP INC
Form 4
November 25, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DIETRICH MARTIN A

(Last) (First) (Middle)
52 SOUTH BROAD STREET
(Street)

NORWICH, NY 13815

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NBT BANCORP INC [NBTB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/23/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO NBT Bancorp

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
NBT Bancorp Inc. Common Stock	11/23/2015		M		12,641 A \$ 24.5692	200,067.569	D
NBT Bancorp Inc. Common Stock	11/23/2015		F		10,294 D \$ 30.17	189,773.569	D
NBT Bancorp	11/23/2015		F		1,313 D \$ 30.17	188,460.569	D

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Inc. Common Stock								
NBT Bancorp Inc. Common Stock	11/23/2015		M	30,000	A	\$ 20.94	218,460.569	D
NBT Bancorp Inc. Common Stock	11/23/2015		F	20,822	D	\$ 30.17	197,638.569	D
NBT Bancorp Inc. Common Stock	11/23/2015		F	5,136	D	\$ 30.17	192,502.569	D
NBT Bancorp Inc. Common Stock							18,945.912	I 401k
NBT Bancorp Inc. Common Stock							755	I Custodian for Minor
NBT Bancorp Inc. Common Stock							10,731.723	I NBT Bancorp ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Se (Instr. 3 and 4)
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Security			(D) (Instr. 3, 4, and 5)								
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option (right to buy)	\$ 20.94	11/23/2015		M			30,000	11/18/2013 ⁽¹⁾	11/18/2021	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 24.5692	11/23/2015		M			12,641	12/27/2009 ⁽¹⁾	12/27/2017	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 20.19							01/15/2011 ⁽²⁾	01/15/2020	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 20.3617							01/15/2009 ⁽³⁾	01/15/2018	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 25.15							10/29/2016 ⁽¹⁾	10/29/2024	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 25.36							10/29/2016 ⁽¹⁾	10/29/2024	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 25.38							01/15/2010 ⁽²⁾	01/15/2019	NBT Bancorp Inc. Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 25.762							01/01/2008 ⁽³⁾	01/01/2017	NBT Bancorp Inc. Common Stock	

