#### Edgar Filing: Ulenberg Stephen John - Form 4

Ulenberg Ste Form 4 February 01,	-										
FORM	Л									PROVAL	
UNITED STATES SECU				RITIES AND EXCHANGE ( ashington, D.C. 20549				COMMISSION	OMB Number:	3235-0287	
if no long	ter.							NEDSUID OF	Expires:	January 31, 2005	
subject to Section 1 Form 4 o	.6.	SECURITIES							Estimated average burden hours per response 0		
Form 5 obligatio may cont <i>See</i> Instru 1(b).	Filed <sup>ns</sup> Section	17(a) of the	o Section 16(a) of the Securities Exchange Act of 1934, e Public Utility Holding Company Act of 1935 or Section a) of the Investment Company Act of 1940					·	0.5		
(Print or Type I	Responses)										
Ulenberg Stephen John Symbol				er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				Western Bancorp, Inc. [GWB]							
(Last)	(First)				ransaction			Director 10% Owner			
(Month/D C/O GREAT WESTERN 02/01/20 BANCORP, INC., 225 SOUTH MAIN AVENUE				-				Officer (give title Other (specify below) below) EVP and Chief Risk Officer			
				endment, Date Original nth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SIOUX FAI	LLS, SD 5710	)4						Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	<b>)</b> erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Ye	ear) Executio any		3. Transactio Code (Instr. 8)		ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount		Price	(Instr. 3 and 4)			
Stock, par value \$0.01 per share	02/01/2018 <u>(</u>	1)		S	3,000	D	\$ 41.81	12,736	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. Price o Derivativ Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units - 2015	(2)					<u>(3)</u>	<u>(3)</u>	Common Stock, par value \$0.01 per share	976	
Restricted Stock Units - 2016	(2)					(4)	(4)	Common Stock, par value \$0.01 per share	1,565	
Restricted Stock Units - 2017	(2)					(5)	<u>(5)</u>	Common Stock, par value \$0.01 per share	1,217	
Restricted Stock Units (2017 STI)	(2)					<u>(6)</u>	<u>(6)</u>	Common Stock, par value \$0.01 per share	1,314	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ulenberg Stephen John C/O GREAT WESTERN BANCORP, INC. 225 SOUTH MAIN AVENUE SIOUX FALLS, SD 57104			EVP and Chief Risk Officer				

## Signatures

Donald J. Straka, as attorney-in-fact for Stephen John Ulenberg

02/01/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were sold pursuant to a plan of disposition adopted in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934.
- (2) Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.
- (3) The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.
- (4) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
- (5) The restricted stock units vest in three equal installments beginning on December 1, 2018. The restricted stock units have no expiration date.
- (6) The restricted stock units vest on December 1, 2018. The restricted stock units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.