#### Edgar Filing: PEDERSON ANDREW JAMES - Form 3

#### PEDERSON ANDREW JAMES

Form 3

March 02, 2018

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **PEDERSON ANDREW JAMES** 

Statement

(Month/Day/Year) 02/22/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Great Western Bancorp, Inc. [GWB]

(Last) (First)

(Middle)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O GREAT WESTERN BANCORP, INC., Â 225 SOUTH MAIN AVENUE

1. Title of Security

(Instr. 4)

(Street)

Director

X\_ Officer

(Check all applicable)

Head of P&C and L&D

10% Owner

Other (give title below) (specify below) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

SIOUX FALLS, SDÂ 57104

(City) (State) (Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect

(Instr. 5)

Â Common Stock, par value \$0.01 per share D 3,278

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

Conversion or Exercise

Ownership

6. Nature of Indirect Beneficial Ownership

Form of (Instr. 5)

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	Date Expirat Exercisable Date		(Instr. 4)		Price of	Derivative	
		Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units - 2015	(1)	(1)	Common Stock, par value \$0.01 per share	82	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2016	(3)	(3)	Common Stock, par value \$0.01 per share	381	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2017	(4)	(4)	Common Stock, par value \$0.01 per share	609	\$ <u>(2)</u>	D	Â
Restricted Stock Units (2017 STI)	(5)	(5)	Common Stock, par value \$0.01 per share	351	\$ <u>(2)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
PEDERSON ANDREW JAMES C/O GREAT WESTERN BANCORP, INC. 225 SOUTH MAIN AVENUE SIOUX FALLS, SD 57104	Â	Â	Head of P&C and L&D	Â		

D . I . 4\* . . . . I. \* . .

## **Signatures**

Donald J. Straka, as attorney-in-fact for Andrew James
Pederson

03/02/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.

**(2)** 

Reporting Owners 2

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Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.

- (3) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
- (4) The restricted stock units vest in three equal installments beginning on December 1, 2018. The restricted stock units have no expiration date.
- (5) The restricted stock units vest on December 1, 2018. The restricted stock units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.