1

PEDERSON ANDREW JAMES Form 3 March 02, 2018 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Great Western Bancorp, Inc. [GWB]

(Print or Type Responses)

Person \*

1. Name and Address of Reporting

 **PEDERSON ANDREW** 

JAMES 02/22/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O GREAT WESTERN (Check all applicable) BANCORP, INC., Â 225 SOUTH MAIN AVENUE Director 10% Owner \_X\_\_Officer (Street) \_ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Head of P&C and L&D \_X\_ Form filed by One Reporting Person SIOUX FALLS, SDÂ 57104 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities 3. Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Â

Common Stock, par value \$0.01 per share 3,278

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Statement

(Month/Day/Year)

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security	Conversion or Exercise	- · · · · · ·	Beneficial Ownership (Instr. 5)

OMB Number:	3235-0104				
Expires:	January 31, 2005				
Estimated average burden hours per					
response	. 0.5				

SEC 1473 (7-02)

D

			(Instr. 4)		Price of Derivative Security	Derivative	
	Date Expiration Exercisable Date		Title	Amount or Number of Shares		Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units - 2015	( <u>1)</u>	(1)	Common Stock, par value \$0.01 per share	82	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2016	( <u>3)</u>	(3)	Common Stock, par value \$0.01 per share	381	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2017	(4)	(4)	Common Stock, par value \$0.01 per share	609	\$ <u>(2)</u>	D	Â
Restricted Stock Units (2017 STI)	(5)	(5)	Common Stock, par value \$0.01 per share	351	\$ <u>(2)</u>	D	Â

### Edgar Filing: PEDERSON ANDREW JAMES - Form 3

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships				
	Director	10% Owner	Officer	Other	
PEDERSON ANDREW JAMES C/O GREAT WESTERN BANCORP, INC. 225 SOUTH MAIN AVENUE SIOUX FALLS, SD 57104 <b>Signatures</b>	Â	Â	Head of P&C and L&D	Â	
Signatures					

 Donald J. Straka, as attorney-in-fact for Andrew James
 03/02/2018

 Pederson
 Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.

(2)

### Edgar Filing: PEDERSON ANDREW JAMES - Form 3

Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.

- (3) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
- (4) The restricted stock units vest in three equal installments beginning on December 1, 2018. The restricted stock units have no expiration date.
- (5) The restricted stock units vest on December 1, 2018. The restricted stock units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.