REDDISH THOMAS J

Form 4 June 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

1. Name and A	Symbol TRICO	2. Issuer Name and Ticker or Trading Symbol TRICO BANCSHARES / [TCBK]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (M		3. Date of Earliest Transaction (Month/Day/Year)				Director	109	% Owner	
		06/19/2	06/19/2018				_X Officer (give title Other (specify below) EVP Chief Financial Officer			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	le I - Non-D	erivative S	Securi	ities Ac	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Code Disposed of (D) Year) (Instr. 8) (Instr. 3, 4 and 5) (A)))	Beneficially (D) or Ber Owned Indirect (I) Ow Following (Instr. 4) (Instransaction(s)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	06/19/2018		Code V <u>J(1)</u>	Amount 0	or (D)	Price \$ 0	(Instr. 3 and 4) 32,019	D		
Common Stock	06/19/2018		J <u>(1)</u>	0	A	\$0	17,524.83	I	By ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(2)	06/19/2018		A	1,308	(2)	(2)	Common Stock	1,308
Performance Stock Units	<u>(3)</u>	06/19/2018		A	1,308	(3)	(3)	Common Stock	1,308

D

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

REDDISH THOMAS J

EVP Chief Financial Officer

Signatures

/s/ Thomas J. Reddish by Janine Howard, Attorney-in-Fact

06/20/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction occurred among these shares. Intended only to reflect number of shares beneficially owned.
- Restricted Stock Unit (RSU) award that vests 25% per year over four-years, beginning June 19, 2019. Cash dividends are reinvested in additional units at FMV on the dividend payment date. Per unit value on date of grant was \$38.46 (based on the 30-day average closing price of Issuer's common stock).
- (3) Performance-based restricted stock unit (PSU) grant that cliff vests after three years between 0% and 150% of the target number of shares (the number of shares listed in box 5) based on the Issuers total stockholder return relative to the KBW Regional Banking Index

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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