

PROVIDENT FINANCIAL SERVICES INC
Form 4
March 08, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARTIN CHRISTOPHER P

2. Issuer Name and Ticker or Trading Symbol
PROVIDENT FINANCIAL SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
239 WASHINGTON STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/04/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount or (D) Price | | |
| Common Stock | 03/04/2016 | | A | | 16,847 (1) | A | \$ 0 325,722 D |
| Common Stock | 03/04/2016 | | F | | 7,799 | D | \$ 19.38 317,923 D |
| Common Stock | | | | | | I | 136,534 (2) By 401(k) Plan |
| Common Stock | | | | | | I | 11,741 (2) By ESOP |
| Common Stock | | | | | | I | 17,785 By Deferred Fee Plan |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options | \$ 16.38 | 03/04/2016 | | A | 45,762 | 03/04/2016 | 02/19/2024 | Common Stock | 45,762 |
| Stock Options | \$ 18.34 | | | | | 02/19/2016 | 02/19/2025 | Common Stock | 65,972 |
| Stock Options | \$ 12.54 | | | | | 01/29/2009 | 01/29/2018 | Common Stock | 13,020 |
| Stock Options | \$ 16.38 | | | | | 02/19/2015 | 02/19/2024 | Common Stock | 35,000 |
| Stock Options | \$ 14.88 | | | | | 02/03/2013 | 02/03/2022 | Common Stock | 25,000 |
| Stock Options | \$ 10.4 | | | | | 02/03/2010 | 02/03/2019 | Common Stock | 16,600 |
| Stock Options | \$ 17.94 | | | | | 01/29/2008 | 01/29/2017 | Common Stock | 27,267 |
| Stock Options | \$ 10.34 | | | | | 03/04/2013 | 03/04/2023 | Common Stock | 64,433 |
| Stock Options | \$ 10.4 | | | | | 02/03/2012 | 02/03/2019 | Common Stock | 3,718 |
| Stock Options | \$ 18.7 | | | | | 02/24/2017 | 02/24/2026 | Common Stock | 76,327 |
| Stock Options | \$ 19.1 | | | | | 03/04/2014 | 03/04/2024 | Common Stock | 14,622 |
| Stock Options | \$ 15.23 | | | | | 02/19/2014 | 02/19/2023 | Common Stock | 35,000 |
| | \$ 14.5 | | | | | 02/24/2012 | 02/24/2021 | | 50,000 |

Stock
Options

Common
Stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302 | X | | Chairman, President and CEO | |

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of
Attorney

03/08/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance vesting stock awards granted on February 19, 2014 based on meeting certain performance criteria.
- (2) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Performance stock options granted on February 19, 2014 at fair market value which vested on March 4, 2016 based on meeting certain performance criteria.
- (4) Stock options vest at a rate of 33.3% per year.
- (5) Stock options have fully vested.
- (6) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.