#### Edgar Filing: CHAMPIONS ONCOLOGY, INC. - Form 4

CHAMPIONS ONCOLOGY, INC. Form 4 September 14, 2016 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Morris Ronnie Issuer Symbol CHAMPIONS ONCOLOGY, INC. [CSBR] (Last) (First) (Middle) 3. Date of Earliest Transaction X Director \_X\_\_Officer (give title (Month/Day/Year) below) C/O CHAMPIONS ONCOLOGY. 07/21/2016 INC., ONE UNIVERSITY PLACE, **SUITE 307** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HACKENSACK, NJ 07601 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 5. Amount of 4. Securities Acquired Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities (Instr. 3) Code (Instr. 3, 4 and 5) any (Month/Day/Year) (Instr. 8) Owned

OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Check all applicable)

President

below)

10% Owner Other (specify

6. Ownership 7. Nature of

Form: Direct Indirect

OMB APPROVAL

Beneficially (D) or Beneficial Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V (D) Price Amount Common 94.564 07/21/2016 А 3,737,564 D Μ 4 55 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	150,795		<u>(1)</u>	10/25/2020	Common Stock	15
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	150,795		<u>(1)</u>	10/25/2020	Common Stock	15
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	101,521		(3)	11/05/2023	Common Stock	1(
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	101,521		<u>(4)</u>	11/05/2023	Common Stock	1(
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	14,552		<u>(1)</u>	11/05/2023	Common Stock	1
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	83,026		<u>(1)</u>	11/01/2025	Common Stock	8
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	88,595		(5)	11/01/2025	Common Stock	8
Option to purchase Common Stock	\$ 4.92	07/21/2016		D		182,580	<u>(6)</u>	10/25/2020	Common Stock	18
Option to purchase Common Stock	\$ 4.92	07/21/2016		D		182,580	<u>(4)</u>	10/20/2025	Common Stock	18
Option to purchase	\$ 4.92	07/21/2016		D		112,331	(7)	11/05/2023	Common Stock	11

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Common Stock								
Option to purchase Common Stock	\$ 4.92	07/21/2016	D	112,331	<u>(4)</u>	11/05/2023	Common Stock	11
Option to purchase Common Stock	\$ 4.92	07/21/2016	D	16,101	<u>(6)</u>	11/05/2023	Common Stock	1
Option to purchase Common Stock	\$ 4.92	07/21/2016	D	90,358	<u>(6)</u>	11/01/2024	Common Stock	9
Option to purchase Common Stock	\$ 4.55	07/21/2016	D	94,564	(8)	11/01/2025	Common Stock	9

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Morris Ronnie C/O CHAMPIONS ONCOLOGY, INC. ONE UNIVERSITY PLACE, SUITE 307 HACKENSACK, NJ 07601	Х		President			

# Signatures

/s/ Ronnie 09/14/2016 Morris \*\*Signature of

Reporting Person

#### Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fully vested upon grant.
- The reporting person agreed to the cancellation of options granted to him on March 16, 2015 and November 19, 2015 in exchange for (2) new options having a lower exercise price.
- (3) Vested as to 74,529 shares on grant; remainder to vest on November 5, 2016.
- (4) Vesting to occur upon completion of performance criteria that have not been set.
- (5) Vested as to 66,446 shares on grant; remainder to vest on October 31, 2016.
- (6) Fully Vested.
- (7) Vested as to 84,249 shares on grant; remainder to vest on November 5, 2016.

#### **Reporting Owners**

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(8) Vested as to 70,923 shares on grant; remainder to vest on October 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.