#### Edgar Filing: CHAMPIONS ONCOLOGY, INC. - Form 4/A

CHAMPIONS ONCOLOGY, INC. Form 4/A September 21, 2016

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Morris Ronnie Issuer Symbol CHAMPIONS ONCOLOGY, INC. (Check all applicable) [CSBR] X\_Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) C/O CHAMPIONS ONCOLOGY. 07/21/2016 President INC., ONE UNIVERSITY PLACE, **SUITE 307** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 09/14/2016 Form filed by More than One Reporting HACKENSACK, NJ 07601 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3 4. Securities 5. Amount of 6. Ownership 7. Nature of

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Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired (A	A) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of	of (D)	Beneficially	(D) or Indirect	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 a	and 5)	Owned	(I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
						Reported		
					(A)	Transaction(s)		
					or	(Instr. 3 and 4)		
			Code V	Amount (	(D) Price			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative2.Derivative SecurityConversi or Exerci (Instr. 3)Price of Derivative		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	<ul> <li>4. 5. Number of TransactionDerivative Securities Code Acquired (A) or (Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)</li> </ul>		Securities A) or f (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
	Security			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Option to purchase Common Stock	\$ 2.1	07/21/2016		A	150,795		<u>(1)</u>	10/25/2020	Common Stock	15
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	150,795		<u>(1)</u>	10/25/2020	Common Stock	15
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	101,521		<u>(3)</u>	11/05/2023	Common Stock	10
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	101,521		<u>(4)</u>	11/05/2023	Common Stock	10
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	14,552		<u>(1)</u>	11/05/2023	Common Stock	1
Option to purchase Common Stock	\$ 2.1	07/21/2016		А	83,026		<u>(1)</u>	11/01/2025	Common Stock	8
Option to purchase Common Stock	\$ 2.1	07/21/2016		A	88,595		(5)	11/01/2025	Common Stock	8
Option to purchase Common Stock	\$ 4.92	07/21/2016		D		182,580	(6)	10/25/2020	Common Stock	18
Option to purchase Common Stock	\$ 4.92	07/21/2016		D		182,580	<u>(4)</u>	10/20/2025	Common Stock	18
Option to purchase	\$ 4.92	07/21/2016		D		112,331	(7)	11/05/2023	Common Stock	11

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Common Stock								
Option to purchase Common Stock	\$ 4.92	07/21/2016	D	112,331	<u>(4)</u>	11/05/2023	Common Stock	11
Option to purchase Common Stock	\$ 4.92	07/21/2016	D	16,101	<u>(6)</u>	11/05/2023	Common Stock	1
Option to purchase Common Stock	\$ 4.92	07/21/2016	D	90,358	<u>(6)</u>	11/01/2024	Common Stock	9
Option to purchase Common Stock	\$ 4.55	07/21/2016	D	94,564	<u>(8)</u>	11/01/2025	Common Stock	9

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner Officer		Other		
Morris Ronnie C/O CHAMPIONS ONCOLOGY, INC. ONE UNIVERSITY PLACE, SUITE 307 HACKENSACK, NJ 07601	Х		President			

## Signatures

/s/ Ronnie 09/21/2016 Morris Date

\*\*Signature of Reporting Person

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Fully vested upon grant.
- (2) The reporting person agreed to the cancellation of options granted to him on March 16, 2015 and November 19, 2015 in exchange for new options having a lower exercise price.
- (3) Vested as to 74,529 shares on grant; remainder to vest on November 5, 2016.
- (4) Vesting to occur upon completion of performance criteria that have not been set.
- (5) Vested as to 66,446 shares on grant; remainder to vest on October 31, 2016.
- (6) Fully Vested.
- (7) Vested as to 84,249 shares on grant; remainder to vest on November 5, 2016.

#### **Reporting Owners**

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(8) Vested as to 70,923 shares on grant; remainder to vest on October 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.