Hamel Judy G Form 4 February 21, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

OMB APPROVAL

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Lumentum Holdings Inc. [LITE]

3. Date of Earliest Transaction

Symbol

(Middle)

1(b).

Hamel Judy G

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

02/21/2018

	(Zust)	(1130)	J.	Date of Larnest 1	ransaction						
C/O LUMENTUM, 400 NORTH MCCARTHY BLVD				(Month/Day/Year) 02/15/2018				Director 10% Owner Specify Delow)			
		(Street)	4.	If Amendment, D	ate Origin	al	6	6. Individual or Joint/Group Filing(Check			
Fi MILPITAS, CA 95035				Filed(Month/Day/Year) A				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
							г	CISOII			
	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									y Owned	
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Da any (Month/Day/)	Code	onor Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common Stock	02/15/2018		M(1)	515	A	\$ 0	28,816	D		
	Common Stock	02/15/2018		M(1)	910	A	\$0	29,726	D		
	Common Stock	02/16/2018		S(2)	378	D	\$ 58.5161 (3)	29,348	D		
	Common Stock	02/16/2018		S(2)	213	D	\$ 58.5161 (3)	29,135	D		

 $S^{(2)}$

362

D

28,773

D

Common Stock 59.2596

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0	02/15/2018		M	515	02/15/2018	<u>(5)</u>	Common Stock	515	\$
Restricted Stock Units	\$ 0	02/15/2018		M	910	02/15/2018	(5)	Common Stock	910	\$

Reporting Owners

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Oth	er

Hamel Judy G C/O LUMENTUM 400 NORTH MCCARTHY BLVD MILPITAS, CA 95035

General Counsel & Secretary

Relationships

Signatures

Reporting Person

/s/ Judy G 02/21/2018 Hamel **Signature of Date

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each unit converts upon vesting into one share of common stock.
- (2) These shares were sold by the Company in order to meet the tax withholding obligations of the award holder in connection with the vesting of an installment of the restricted stock unit award.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line range from \$57.525 to \$59.15. This transaction was apart of a group sale. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line range from \$58.50 to \$59.55. This transaction was apart of a group sale. The reporting person undertakestoprovide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) Restricted Stock Units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.