

Miller Simon
Form 4
March 05, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Miller Simon

(Last) (First) (Middle)

C/O EQUINIX, INC., ONE
LAGOON DRIVE

(Street)

REDWOOD CITY, CA 94065

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
EQUINIX INC [EQIX]

3. Date of Earliest Transaction
(Month/Day/Year)
03/01/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Chief Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|
| | | | Code | V | Amount (D) | Price | | |
| Common Stock | 03/01/2019 | | M | | 259 | A \$ 0 | 561 ⁽¹⁾ | D |
| Common Stock | 03/01/2019 | | M | | 103 | A \$ 0 | 664 | D |
| Common Stock | 03/01/2019 | | M | | 262 | A \$ 0 | 926 | D |
| Common Stock | 03/01/2019 | | M | | 213 | A \$ 0 | 1,139 | D |
| Common Stock | 03/01/2019 | | M | | 226 | A \$ 0 | 1,365 | D |

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| | | | | | | | | |
|--------------|------------|--|-------------|----|---|----------------------------|-------|---|
| Common Stock | 03/01/2019 | | M | 87 | A | \$ 0 | 1,452 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 40 | D | \$ 423.661 <u>(3)</u> | 1,412 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 59 | D | \$ 424.6974 <u>(4)</u> | 1,353 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 41 | D | \$ 425.8135 <u>(5)</u> | 1,312 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 70 | D | \$ 426.8994 <u>(6)</u> | 1,242 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 79 | D | \$ 427.5898 <u>(7)</u> | 1,163 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 47 | D | \$ 428.6533 <u>(8)</u> | 1,116 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 22 | D | \$ 429.721 <u>(9)</u> | 1,094 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 6 | D | \$ 430.5379 <u>(10)</u> | 1,088 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 1 | D | \$ 431.48 | 1,087 | D |
| Common Stock | 03/04/2019 | | <u>S(2)</u> | 13 | D | \$ 433.6638 <u>(11)</u> | 1,074 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|
|--|--|--------------------------------------|--|--------------------------------|--|--|---|--|

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(Instr. 3, 4,
and 5)

| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
|------------------------------|------|------------|------|---|-----|-----|---------------------|--------------------|-----------------|--|------|
| Restricted Stock Units | \$ 0 | 03/01/2019 | M | | | | (12) | (13) | Common Stock | 259 | \$ 0 |
| Restricted Stock Units | \$ 0 | 03/01/2019 | M | | | | (14) | (13) | Common Stock | 103 | \$ 0 |
| Restricted Stock Units | \$ 0 | 03/01/2019 | M | | | | (15) | (13) | Common Stock | 262 | \$ 0 |
| Restricted Stock Units | \$ 0 | 03/01/2019 | M | | | | (16) | (13) | Common Stock | 213 | \$ 0 |
| Restricted Stock Units | \$ 0 | 03/01/2019 | M | | | | (17) | (13) | Common Stock | 226 | \$ 0 |
| Restricted Stock Units | \$ 0 | 03/01/2019 | M | | | | (18) | (13) | Common Stock | 87 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Miller Simon C/O EQUINIX, INC. ONE LAGOON DRIVE REDWOOD CITY, CA 94065 | | | Chief Accounting Officer | |

Signatures

Samantha Lagocki,
Attorney-in-Fact

03/05/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 58 shares acquired under the Equinix, Inc. Employee Stock Purchase Plan on February 14, 2019.
- (2) Shares were sold pursuant to a 10b5-1 Trading Plan in order to raise funds to pay the required withholding tax pursuant to the vesting of RSUs.

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- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$423.16 to \$424.14 inclusive. The reporting person undertakes to provide to Equinix, Inc, any security holder of Equinix Inc, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnotes 4 through 11 to this Form 4.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$424.17 to \$425.09 inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$425.18 to \$426.16 inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$426.19 to \$427.18 inclusive.
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$427.22 to \$428.21 inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$428.22 to \$429.21 inclusive.
- (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$429.23 to \$430.21 inclusive.
- (10) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$430.28 to \$430.75 inclusive.
- (11) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$433.45 to \$434.02 inclusive.
- (12) 259 shares vested on March 1, 2019, subject solely to continued service.
- (13) Restricted Stock Unit award expires upon reporting person's termination of services.
- (14) 103 shares vested on March 1, 2019, subject solely to continued service.
- (15) 262 shares (12.5% of the total shares awarded vested on March 1, 2019 and an additional 12.5% each 6 months thereafter until fully vested, subject solely to continued service.
- (16) 213 shares (12.5% of the total shares awarded) vested on March 1, 2019 and an additional 12.5% each 6 months thereafter until fully vested, subject solely to continued service.
- (17) 226 shares (12.5% of the total shares awarded) vested on March 1, 2019 and an additional 12.5% each 6 months thereafter until fully vested, subject solely to continued service.
- (18) 87 shares (12.5% of the total shares awarded) vested on March 1, 2019 and an additional 12.5% each 6 months thereafter until fully vested, subject solely to continued service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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