

GLAXOSMITHKLINE PLC  
Form 6-K  
February 07, 2018

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION  
Washington D.C. 20549

Report of Foreign Issuer

Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934

For period ending 07 February 2018

GlaxoSmithKline plc  
(Name of registrant)

980 Great West Road, Brentford, Middlesex, TW8 9GS  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or  
will file annual reports under cover Form 20-F or Form 40-F

Form 20-F ☒ Form 40-F

--

Indicate by check mark whether the registrant by furnishing the  
information contained in this Form is also thereby furnishing the  
information to the Commission pursuant to Rule 12g3-2(b) under the  
Securities Exchange Act of 1934.

Yes ☐ No ☒

GlaxoSmithKline plc (the 'Company')

Transaction notification

1. Details of PDMR/person closely associated with them ('PCA')

- |                                       |                        |
|---------------------------------------|------------------------|
| a) Name                               | Sir Philip Hampton     |
| b) Position/status                    | Non-Executive Chairman |
| c) Initial notification/<br>amendment | Initial notification   |

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- |         |                      |
|---------|----------------------|
| a) Name | GlaxoSmithKline plc  |
| b) LEI  | 5493000HZTVUYLO1D793 |

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- |  |  |           |
|--|--|-----------|
| a) Description of the financial instrument           | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882   |           |
| b) Nature of the transaction                         | Acquisition of notional Ordinary Shares under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017. |           |
| c) Price(s) and volume(s)                            | Price(s)   | Volume(s) |
|  | £12.78   | 3,423.318 |
| d) Aggregated information<br>Aggregated volume Price | n/a (single transaction)   |           |
| e) Date of the transaction                           | 2018-02-07   |           |

f) Place of the transaction n/a

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Professor Sir Roy Anderson

b) Position/status Independent Non-Executive Director

c) Initial notification/  
amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument Ordinary shares of 25 pence each ('Ordinary Shares')  
ISIN: GB0009252882

b) Nature of the transaction Acquisition of notional Ordinary Shares under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017.

c) Price(s) and volume(s)  
Price(s) Volume(s)  
£12.78 562.402

d) Aggregated information  
Aggregated volume Price n/a (single transaction)

e) Date of the transaction 2018-02-07

f) Place of the transaction n/a

1. Details of PDMR/person closely associated with them ('PCA')

- |                                       |  |
|---------------------------------------|--|
| a) Name                               | Mr Vindi Banga                               |
| b) Position/status                    | Senior Independent<br>Non-Executive Director |
| c) Initial notification/<br>amendment | Initial notification                         |

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- |         |                      |
|---------|----------------------|
| a) Name | GlaxoSmithKline plc  |
| b) LEI  | 5493000HZTVUYLO1D793 |

Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- |  |  |          |           |        |           |
|--|--|----------|-----------|--------|-----------|
| a) Description of the financial instrument           | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882   |          |           |        |           |
| b) Nature of the transaction                         | Acquisition of notional Ordinary Shares under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017. |          |           |        |           |
| c) Price(s) and volume(s)                            | <table border="0"> <tr> <td>Price(s)</td> <td>Volume(s)</td> </tr> <tr> <td>£12.78</td> <td>2,249.609</td> </tr> </table>  | Price(s) | Volume(s) | £12.78 | 2,249.609 |
| Price(s)   | Volume(s)  |          |           |        |           |
| £12.78   | 2,249.609  |          |           |        |           |
| d) Aggregated information<br>Aggregated volume Price | n/a (single transaction)   |          |           |        |           |
| e) Date of the transaction                           | 2018-02-07   |          |           |        |           |
| f) Place of the transaction                          | n/a  |          |           |        |           |

1. Details of PDMR/person closely associated with them ('PCA')

- |                                       |                                    |
|---------------------------------------|------------------------------------|
| a) Name                               | Dr Vivienne Cox                    |
| b) Position/status                    | Independent Non-Executive Director |
| c) Initial notification/<br>amendment | Initial notification               |

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- |         |                      |
|---------|----------------------|
| a) Name | GlaxoSmithKline plc  |
| b) LEI  | 5493000HZTVUYLO1D793 |

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- |  |  |          |           |        |         |
|--|--|----------|-----------|--------|---------|
| a) Description of the financial instrument           | Ordinary shares of 25 pence each ('Ordinary Shares')<br>ISIN: GB0009252882   |          |           |        |         |
| b) Nature of the transaction                         | Acquisition of notional Ordinary Shares under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017. |          |           |        |         |
| c) Price(s) and volume(s)                            | <table border="0"> <tr> <td>Price(s)</td> <td>Volume(s)</td> </tr> <tr> <td>£12.78</td> <td>415.689</td> </tr> </table>  | Price(s) | Volume(s) | £12.78 | 415.689 |
| Price(s)   | Volume(s)  |          |           |        |         |
| £12.78   | 415.689  |          |           |        |         |
| d) Aggregated information<br>Aggregated volume Price | n/a (single transaction)   |          |           |        |         |
| e) Date of the transaction                           | 2018-02-07   |          |           |        |         |
| f) Place of the transaction                          | n/a  |          |           |        |         |

1. Details of PDMR/person closely associated with them ('PCA')

- |         |                   |
|---------|-------------------|
| a) Name | Ms Lynn Elsenhans |
|---------|-------------------|

Edgar Filing: GLAXOSMITHKLINE PLC - Form 6-K

b) Position/status Independent Non-Executive Director

c) Initial notification/ amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument American Depositary Shares ('ADSs')  
ISIN: US37733W1053

b) Nature of the transaction Acquisition of notional ADSs under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017.

c) Price(s) and volume(s)  
Price(s) Volume(s)  
\$36.20 1,649.508

d) Aggregated information  
Aggregated volume Price n/a (single transaction)

e) Date of the transaction 2018-02-07

f) Place of the transaction n/a

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Dr Laurie Glimcher

b) Position/status Independent Non-Executive Director

c) Initial notification/ Initial notification

amendment

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

- a) Name GlaxoSmithKline plc
- b) LEI 5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

- a) Description of the financial instrument American Depositary Shares ('ADSs')  
ISIN: US37733W1053
- b) Nature of the transaction Acquisition of notional ADSs under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 31 December 2017.
- | c) Price(s) and volume(s) | Price(s) | Volume(s) |
|---------------------------|----------|-----------|
|                           | \$36.20  | 1,518.595 |
- d) Aggregated information  
Aggregated volume Price n/a (single transaction)
- e) Date of the transaction 2018-02-07
- f) Place of the transaction n/a

1. Details of PDMR/person closely associated with them ('PCA')

- a) Name Dr Jesse Goodman
- b) Position/status Independent Non-Executive Director
- c) Initial notification/  
amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793

Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument American Depositary Shares ('ADSs')  
ISIN: US37733W1053

b) Nature of the transaction Acquisition of notional ADSs under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017.

	Price(s)	Volume(s)
c) Price(s) and volume(s)	\$36.20	536.745
d) Aggregated information Aggregated volume Price	n/a (single transaction)	
e) Date of the transaction	2018-02-07	
f) Place of the transaction	n/a	

1. Details of PDMR/person closely associated with them ('PCA')

a) Name Ms Judy Lewent

b) Position/status Independent Non-Executive Director

c) Initial notification/  
amendment Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name GlaxoSmithKline plc

b) LEI 5493000HZTVUYLO1D793



Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument	American Depositary Shares ('ADSs') ISIN: US37733W1053				
b) Nature of the transaction	Acquisition of notional ADSs under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017.				
c) Price(s) and volume(s)	<table border="0"> <tr> <td>Price(s)</td> <td>Volume(s)</td> </tr> <tr> <td>\$36.20</td> <td>589.110</td> </tr> </table>	Price(s)	Volume(s)	\$36.20	589.110
Price(s)	Volume(s)				
\$36.20	589.110				
d) Aggregated information Aggregated volume Price	n/a (single transaction)				
e) Date of the transaction	2018-02-07				
f) Place of the transaction	n/a				

1. Details of PDMR/person closely associated with them ('PCA')

a) Name	Mr Urs Rohner
b) Position/status	Independent Non-Executive Director
c) Initial notification/ amendment	Initial notification

2. Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

a) Name	GlaxoSmithKline plc
b) LEI	5493000HZTVUYLO1D793

3. Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transaction(s) has been conducted

a) Description of the financial instrument	Ordinary shares of 25 pence each ('Ordinary Shares') ISIN: GB0009252882	
b) Nature of the transaction	Acquisition of notional Ordinary Shares under the share allocation arrangements for Independent Non-Executive Directors for the period of service from 1 October 2017 to 31 December 2017.	
c) Price(s) and volume(s)	Price(s)	Volume(s)
	£12.78	562.403
d) Aggregated information Aggregated volume Price	n/a (single transaction)	
e) Date of the transaction	2018-02-07	
f) Place of the transaction	n/a	

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorised.

GlaxoSmithKline plc  
(Registrant)

Date: February 07, 2018

By: VICTORIA WHYTE

-----

Victoria Whyte  
Authorised Signatory for and on  
behalf of GlaxoSmithKline plc