#### Edgar Filing: Ergen Two-Year November 2018 SATS GRAT - Form 3

Ergen Two-Year November 2018 SATS GRAT

Form 3

December 10, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement EchoStar CORP [SATS] Ergen Two-Year November (Month/Day/Year) 2018 SATS GRAT 11/30/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 9601 S. MERIDIAN BLVD. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_\_X\_\_ 10% Owner Director \_X\_ Form filed by One Reporting Officer Other Person (give title below) (specify below) ENGLEWOOD, COÂ 80112 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security: Security Direct (D) or Indirect (I)		

(Instr. 5)

Class B Common Stock  $\hat{A}$   $\stackrel{(1)}{=}$   $\hat{A}$   $\stackrel{(1)}{=}$  Common 20,000,000 \$  $\stackrel{(1)}{=}$  D  $\stackrel{(2)}{=}$   $\hat{A}$  Shares

### **Reporting Owners**

Reporting Owner Name / Address  $\frac{\text{Relationships}}{\text{Director}} = \frac{10\%}{\text{Owner}} = \frac{10\%}{\text{Other}}$  Ergen Two-Year November 2018 SATS GRAT 9601 S. MERIDIAN BLVD.  $\hat{A} = \hat{A} \times \hat{A} \times \hat{A} \times \hat{A}$  ENGLEWOOD,  $\hat{A}$  CO $\hat{A}$  80112

# **Signatures**

/s/ Cantey M. Ergen, Trustee of the Ergen Two-Year November 2018 SATS GRAT, by Joseph Turitz, her Attorney-in-Fact

12/10/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person may elect to convert any or all of its Class B shares into an equal number of Class A shares at any time for no additional consideration.
- On November 30, 2018, Charles W. Ergen established a Grantor Retained Annuity Trust ("GRAT"), the Ergen Two-Year November 2018 SATS GRAT (the "2018 November GRAT"), and contributed 20,000,000 Class B shares to the 2018 November GRAT. The 2018 November GRAT is scheduled to expire in accordance with its terms on November 30, 2020. Mrs. Cantey M. Ergen serves as the trustee of the 2018 November GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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