

BOOTH RICHARD H
Form 4
January 06, 2003

OMB APPROVAL

☐

Check this box if no longer
subject
to Section 16. Form 4 or Form 5
obligations may continue.

See Instruction 1(b).

FORM 4

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION
Washington, D.C. 20549

**STATEMENT OF
CHANGES IN
BENEFICIAL
OWNERSHIP**

Filed pursuant to Section
16(a) of the Securities
Exchange Act of 1934,
Section 17(a) of the Public
Utility Holding Company
Act of 1935 or Section 30(h)
of the Investment Company
Act of 1940

OMB Number: 3235-0287
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hours per response. . .0.5

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
Booth Richard H.			NORTHEAST UTILITIES (NU)		<input checked="" type="checkbox"/> Director* <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)		
(Last) (First) (Middle)			3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)		4. Statement for Month/Day/Year		
c/o Northeast Utilities 107 Selden Street					01/02/2003		
(Street)					5. If Amendment, Date of Original (Month/Day/Year)		
Berlin, CT 06037					7. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person (City) (State) (Zip)		
Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							Code
							V

							Amount
							(A) or (D)
							Price
							Common Shares, \$5 par value
							1/2/2003
							A
							1,000 shs
							A
							\$15.49
							3,539 shs See Note 1
							D

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

[illegible]

Note 2. 50 percent on 2/26/2002, 50 percent on 2/26/2003.

****Signature of Reporting Person**

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Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.