

MAROONE MICHAEL E

Form 4

March 08, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MAROONE MICHAEL E

2. Issuer Name **and** Ticker or Trading
Symbol
AUTONATION, INC. [AN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

200 SW 1ST AVE, SUITE 1600

3. Date of Earliest Transaction
(Month/Day/Year)
03/06/2013

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Director, President and COO

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

FORT LAUDERDALE, FL 33301

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/06/2013		M	62,400	A \$ 20.08	62,400	D
Common Stock, par value \$0.01 per share	03/06/2013		S	62,400	D \$ 45.5098 (1)	0	D
Common Stock, par	03/07/2013		M	1,100	A \$ 20.08	1,100	D

value
\$0.01 per
share

Common
Stock, par
value
\$0.01 per
share

03/07/2013

S

1,100

D

\$ 44.98
(2)

0

D

Common
Stock, par
value
\$0.01 per
share

03/08/2013

M

119,461

A

\$ 20.08

119,461

D

Common
Stock, par
value
\$0.01 per
share

03/08/2013

S

119,461

D

\$
44.7294
(3)

0

D

Common
Stock, par
value
\$0.01 per
share

2,079,614

I

See
footnote
(4)

Common
Stock, par
value
\$0.01 per
share

1,537

I

By
401(k)
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares

Employee Stock Option (right to buy)	\$ 20.08	03/06/2013	M	62,400	<u>(5)</u>	07/31/2016	Common Stock, par value \$0.01 per share	62,400
Employee Stock Option (right to buy)	\$ 20.08	03/07/2013	M	1,100	<u>(5)</u>	07/31/2016	Common Stock, par value \$0.01 per share	1,100
Employee Stock Option (right to buy)	\$ 20.08	03/08/2013	M	119,461	<u>(5)</u>	07/31/2016	Common Stock, par value \$0.01 per share	119,461

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MAROONE MICHAEL E 200 SW 1ST AVE SUITE 1600 FORT LAUDERDALE, FL 33301	X		Director, President and COO	

Signatures

/s/ Michael E.
Maroone

03/08/2013

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction was executed in multiple trades at prices ranging from \$45.09 to \$45.9101. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

(2) This transaction was executed in multiple trades at prices ranging from \$44.95 to \$45.03. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

(3) This transaction was executed in multiple trades at prices ranging from \$44.69 to \$45.09. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

(4) These shares are beneficially owned by Michael Maroone Family Partnership, a Nevada limited partnership controlled by the reporting person.

(5) The option became exercisable in 25% annual increments on each of the first four anniversaries of July 31, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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