Pope Lawrence J Form 4 November 29, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

Estimated average

burden hours per

See Instruction 1(b).

(Last)

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading Pope Lawrence J Symbol HALLIBURTON CO [HAL]

(Middle)

(Zip)

5. Relationship of Reporting Person(s) to Issuer

HALLIBURTON COMPANY, 3000

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

N. SAM HOUSTON PARKWAY E.

(State)

(First)

11/27/2017

below) **EVP Administration & CHRO** 

(Street) 4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

HOUSTON, TX 77032

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Ownership (Instr. 8) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 11/27/2017 M 9.100 Α \$ 36.9 180,645.908 D Stock \$ Common S 11/27/2017 9.100 D 41.35 171,545,908 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(1)

# Edgar Filing: Pope Lawrence J - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Buy Common Stock	\$ 36.9	11/27/2017		M			9,100 (2)	12/05/2007	12/05/2017	Common Stock	9,100
Option to Buy Common Stock	\$ 53.54							12/07/2016	12/07/2026	Common Stock	30,500
Option to Buy Common Stock	\$ 38.95							12/02/2015	12/02/2025	Common Stock	44,500
Option to Buy Common Stock	\$ 40.75							12/03/2014	12/03/2024	Common Stock	47,400
Option to Buy Common Stock	\$ 50.62							12/04/2013	12/04/2023	Common Stock	29,400
Option to Buy Common Stock	\$ 33.5							12/05/2012	12/05/2022	Common Stock	38,500
Option to Buy Common Stock	\$ 35.57							12/06/2011	12/06/2021	Common Stock	28,300
Option to Buy Common Stock	\$ 39.19							12/01/2010	12/01/2020	Common Stock	23,000

Option to

Buy \$29.35 12/01/2009 12/01/2019 Common Stock 26,500

Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pope Lawrence J HALLIBURTON COMPANY 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032

**EVP Administration & CHRO** 

## **Signatures**

Bruce A. Metzinger, by Power of Attorney 11/29/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 10, 2017.
- (2) Options disposed of through exercisse pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 10, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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