

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

ELLSWORTH GROWTH & INCOME FUND LTD

Form NSAR-B

November 30, 2015

PAGE 1
000 B000000 09/30/2015
000 C000000 0000793040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ELLSWORTH GROWTH AND INCOME FUND LTD
001 B000000 811-04656
001 C000000 9736311177
002 A000000 65 MADISON AVENUE, SUITE 550
002 B000000 MORRISTOWN
002 C000000 NJ
002 D010000 07960
002 D020000 7308
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 DINSMORE CAPITAL MANAGEMENT CO.
008 B000001 A
008 C000001 801-08123
008 D010001 MORRISTOWN
008 D020001 NJ
008 D030001 07960
008 D040001 7308
010 A000001 DINSMORE CAPITAL MANAGEMENT CO.
010 B000001 801-08123
010 C010001 MORRISTOWN
010 C020001 NJ
010 C030001 07960
010 C040001 7308
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
PAGE 2
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 BROWN BROTHERS HARRIMAN & CO.
015 B000001 C

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

015 C010001 BOSTON
015 C020001 MA
015 C030001 02109
015 C040001 3661
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 GMP SECURITIES
020 B000001 13-4036836
020 C000001 27
020 A000002 MITSUBISHI UFJ SECURITIES (USA) INC.
020 B000002 13-3498732
020 C000002 3
020 A000003 LAZARD FRERES & CO.
020 B000003 13-5545100
020 C000003 2
020 A000004 BTIG, LLC
020 B000004 04-3695739
020 C000004 1
020 A000005 WELLS FARGO SECURITIES LLC
020 B000005 56-2326000
020 C000005 0
020 C000006 0
020 C000007 0
020 C000008 0
020 C000009 0
020 C000010 0
021 000000 33
022 A000001 BARCLAYS CAPITAL, INC.
022 B000001 06-1031656
022 C000001 7566
022 D000001 12871
022 A000002 WELLS FARGO SECURITIES LLC
022 B000002 56-2326000
022 C000002 2450
022 D000002 8129
022 A000003 OPPENHEIMER & CO. INC.
022 B000003 13-5657518
022 C000003 4198
022 D000003 5066
022 A000004 J.P. MORGAN SECURITIES LLC
022 B000004 13-3224016
022 C000004 4922
022 D000004 3946
022 A000005 CREDIT SUISSE SECURITIES (USA)
022 B000005 13-5659485
PAGE 3
022 C000005 1758
022 D000005 6648
022 A000006 GOLDMAN, SACHS & CO.
022 B000006 13-5108880
022 C000006 3685
022 D000006 4003
022 A000007 MERRILL LYNCH, PIERCE, FENNER & SMITH
022 B000007 13-5674085
022 C000007 5488
022 D000007 613
022 A000008 NOMURA SECURITIES INTERNATIONAL INC
022 B000008 13-2642206
022 C000008 3891
022 D000008 2054

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

| | | | |
|-----|---------|---------------------------|--|
| 022 | A000009 | JEFFERIES LLC | |
| 022 | B000009 | 95-2622900 | |
| 022 | C000009 | 4376 | |
| 022 | D000009 | 968 | |
| 022 | A000010 | MORGAN STANLEY & CO. INC. | |
| 022 | B000010 | 13-2655998 | |
| 022 | C000010 | 985 | |
| 022 | D000010 | 2984 | |
| 023 | C000000 | 52246 | |
| 023 | D000000 | 55903 | |
| 024 | 000000 | N | |
| 025 | D000001 | 0 | |
| 025 | D000002 | 0 | |
| 025 | D000003 | 0 | |
| 025 | D000004 | 0 | |
| 025 | D000005 | 0 | |
| 025 | D000006 | 0 | |
| 025 | D000007 | 0 | |
| 025 | D000008 | 0 | |
| 026 | A000000 | N | |
| 026 | B000000 | Y | |
| 026 | C000000 | Y | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| | PAGE | 4 | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | 0 | |
| 028 | A020000 | 0 | |
| 028 | A030000 | 0 | |
| 028 | A040000 | 0 | |
| 028 | B010000 | 0 | |
| 028 | B020000 | 0 | |
| 028 | B030000 | 0 | |
| 028 | B040000 | 0 | |
| 028 | C010000 | 0 | |
| 028 | C020000 | 0 | |
| 028 | C030000 | 0 | |
| 028 | C040000 | 0 | |
| 028 | D010000 | 0 | |
| 028 | D020000 | 0 | |
| 028 | D030000 | 0 | |
| 028 | D040000 | 0 | |
| 028 | E010000 | 0 | |
| 028 | E020000 | 0 | |
| 028 | E030000 | 0 | |
| 028 | E040000 | 0 | |
| 028 | F010000 | 0 | |
| 028 | F020000 | 0 | |
| 028 | F030000 | 0 | |
| 028 | F040000 | 0 | |
| 028 | G010000 | 0 | |
| 028 | G020000 | 0 | |
| 028 | G030000 | 0 | |
| 028 | G040000 | 0 | |
| 028 | H000000 | 0 | |
| 030 | A000000 | 0 | |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

| | | |
|-----|---------|--------|
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| | PAGE | 5 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 100000 |
| 048 | A020000 | 0.750 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.500 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | N |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 N
057 000000 N
PAGE 6
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 Y
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 46.8
062 Q000000 0.0
062 R000000 51.1
063 A000000 0
063 B000000 4.0
064 A000000 Y
064 B000000 N
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
PAGE 7
070 G020000 N
070 H010000 Y
070 H020000 N

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

| | | | |
|-------|---------|----|--------|
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | N | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 59096 |
| 071 | B000000 | | 68417 |
| 071 | C000000 | | 131502 |
| 071 | D000000 | | 45 |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 477 |
| 072 | C000000 | | 2768 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 918 |
| 072 | G000000 | | 67 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 34 |
| 072 | J000000 | | 13 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 12 |
| 072 | M000000 | | 109 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 1 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 44 |
| 072 | S000000 | | 96 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 138 |
| 072 | X000000 | | 1432 |
| | PAGE | 8 | |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 1813 |
| 072AA | 000000 | | 7771 |
| 072BB | 000000 | | 96 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 11921 |
| 072DD | 010000 | | 3209 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 5604 |
| 073 | A010000 | | 0.2485 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.4195 |
| 073 | C000000 | | 0.0000 |

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

| | | |
|-----|---------|---------------------------|
| 074 | A000000 | 868 |
| 074 | B000000 | 0 |
| 074 | C000000 | 0 |
| 074 | D000000 | 56640 |
| 074 | E000000 | 25354 |
| 074 | F000000 | 36475 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 1068 |
| 074 | K000000 | 0 |
| 074 | L000000 | 578 |
| 074 | M000000 | 18 |
| 074 | N000000 | 120999 |
| 074 | O000000 | 0 |
| 074 | P000000 | 11 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 40 |
| 074 | S000000 | 0 |
| 074 | T000000 | 120948 |
| 074 | U010000 | 12799 |
| 074 | U020000 | 0 |
| 074 | V010000 | 9.45 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 402 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 132807 |
| 076 | 000000 | 7.82 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | Y |
| 078 | 000000 | N |
| 080 | A000000 | CHUBB INSURANCE COMPANIES |
| | PAGE | 9 |
| 080 | C000000 | 1050 |
| 081 | A000000 | Y |
| 081 | B000000 | 2 |
| 082 | A000000 | Y |
| 082 | B000000 | 50 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 533800 |
| 086 | B020000 | 4706 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |

Edgar Filing: ELLSWORTH GROWTH & INCOME FUND LTD - Form NSAR-B

087 A010000 SHARES OF BENEFICIAL INTEREST
087 A020000 28-9074106
087 A030000 ECF
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE GARY LEVINE
TITLE EXEC. VP