

HINNENKAMP PAUL D
Form 4
August 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HINNENKAMP PAUL D

(Last) (First) (Middle)

C/O ENTERGY CORPORATION
LEGAL DEPARTMENT, 639
LOYOLA AVENUE, 26TH FLOOR

(Street)

NEW ORLEANS, LA 70113

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ENTERGY CORP /DE/ [ETR]

3. Date of Earliest Transaction
(Month/Day/Year)
08/02/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
EVP & Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Code V Amount Price | | | |
| Common Stock | 08/02/2018 | | M | 2,000 A \$ 77.53 | 27,068 ⁽¹⁾ | D | |
| Common Stock | 08/02/2018 | | S ⁽²⁾ | 2,000 D \$ 83 | 25,068 | D | |
| Common Stock | 08/02/2018 | | M | 2,500 A \$ 77.1 | 27,568 | D | |
| Common Stock | 08/02/2018 | | S ⁽²⁾ | 2,500 D \$ 83 | 25,068 | D | |
| Common Stock | 08/03/2018 | | M | 3,000 A \$ 72.79 | 28,068 | D | |

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| | | | | | | | |
|--------------|------------|------------------|-------|---|---------|--------|---|
| Common Stock | 08/03/2018 | S ⁽²⁾ | 3,000 | D | \$ 84 | 25,068 | D |
| Common Stock | 08/03/2018 | M | 3,000 | A | \$ 71.3 | 28,068 | D |
| Common Stock | 08/03/2018 | S ⁽²⁾ | 3,000 | D | \$ 84 | 25,068 | D |
| Common Stock | 08/03/2018 | M | 3,000 | A | \$ 64.6 | 28,068 | D |
| Common Stock | 08/03/2018 | S ⁽²⁾ | 3,000 | D | \$ 84 | 25,068 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Pr Deriv Secur (Instr. | |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|---------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option (Right to Buy) | \$ 77.53 | 08/02/2018 | | M | 2,000 | (3) | (3) | Common Stock | 2,000 |
| Employee Stock Option (Right to Buy) | \$ 77.1 | 08/02/2018 | | M | 2,500 | (4) | (4) | Common Stock | 2,500 |
| Employee Stock Option (Right to Buy) | \$ 72.79 | 08/03/2018 | | M | 3,000 | (5) | (5) | Common Stock | 3,000 |

Employee
Stock
Option
(Right to
Buy)

\$ 71.3 08/03/2018

M

3,000

(6)

(6)

Common
Stock

3,000

\$

Employee
Stock
Option
(Right to
Buy)

\$ 64.6 08/03/2018

M

3,000

(7)

(7)

Common
Stock

3,000

\$

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|--------------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| HINNENKAMP PAUL D C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113 | | | EVP & Chief Operating Officer | |

Signatures

/s/ Daniel T. Falstad by power of
attorney

08/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 199 shares of Entergy common stock acquired through the Entergy Corporation dividend reinvestment plan and 79 shares acquired under the dividend reinvestment feature of Entergy Corporation's equity ownership plans.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 8, 2018.
- (3) The options vested in three equal annual installments on January 29, 2010, 2011 and 2012.
- (4) The options vested in three equal annual installments on January 28, 2011, 2012 and 2013.
- (5) The options vested in three equal annual installments on January 27, 2012, 2013 and 2014.
- (6) The options vested in three equal annual installments on January 26, 2013, 2014 and 2015.
- (7) The options vested in three equal annual installments on January 31, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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