

Edgar Filing: KUNKLE KIM W - Form 4

KUNKLE KIM W
Form 4
May 01, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934,
Section 17(a) of the
Public Utility Holding Company Act of 1935
or Section 30(h) of the Investment Company Act of 1940

[] Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

1. Name and Address of Reporting Person (If the Form is filed
by more than one Reporting Person, see Instruction 4(b)(v)).

Kim W. Kunkle
2221 Crabtree Lane
Johnstown, PA 15905-1641

2. Issuer Name and Ticker or Trading Symbol

AmeriServ Financial, Inc. ASRV

3. I.R.S. Identification Number of Reporting Person, if an
Entity (Voluntary)

4. Statement for Month/Day/Year

4/30/03

5. If Amendment, Date of Original (Month/Day/Year)

____/____/____

6. Relationship of Reporting Person to Issuer (Check all
applicable)

<input checked="" type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
<input type="checkbox"/> Officer (give title below)	<input type="checkbox"/> Other (specify below)

7. Individual or Joint/Group Filing
(Check applicable line)

Form filed by One Reporting Person
 Form File by More than One Reporting Person

Table I - Non-Derivative Securities Acquired,
Disposed of, or Beneficially Owned

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REMINDER: Report on a separate page for each class of securities beneficially owned directly or indirectly

1. Title of Security
(Instr. 3)

Common Stock

2. Transaction Date (Month/Day/Year)

4/30/03

2A. Deemed Execution Date, if any (Month/Day/Year)

3. Transaction Code
(Instr. 8)

Code A(1) V X

4. Securities Acquired (A) or Disposed of (D)
(Instr. 3, 4 and 5)

Amount 1,786

(A) A or (D)

Price \$3.3591

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)
(Instr. 3 and 4)

22,570.2042 (D) (2)

22,202.6953 (I) Includes 19,202.6953 held by Laurel Management Retirement Fund and 3,000 shares held by Laurel Corporation of which Mr. Kunkle is an officer and has voting and investment Power

6. Ownership Form: Direct (D) or Indirect (I)
(Instr 4)

(D) D

(I)

7. Nature of Indirect Beneficial Ownership
(Instr. 4)

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Table II - Derivative Securities
Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

REMINDER: Report on a separate page for each class of securities beneficially owned directly or indirectly

1. Title of Derivative Security
(Instr. 3)

2. Conversion or Exercise Price of Derivative Security

3. Transaction Date (Month/Day/Year)
____/____/____
- 3A. Deemed Execution Date, if any (Month/Day/Year)
____/____/____
4. Transaction Code
(Instr. 8)
Code _____ V _____
5. Number of Derivative Securities Acquired (A) or
Disposed of (D)
(Instr. 3, 4, and 5)
(A) _____
(D) _____
6. Date Exercisable and Expiration Date (Month/Day/Year)
Date Exercisable ____/____/____
Expiration Date ____/____/____
7. Title and Amount of Underlying Securities
(Instr. 3 and 4)
Title: _____
Amount or Number of Shares: _____
8. Price of Derivative Security
(Instr. 5)

9. Number of Derivative Securities Beneficially Owned
Following Reported Transaction(s)
(Instr. 4)

10. Ownership Form of Derivative Security: Direct (D) or
Indirect (I)
(Instr. 4)

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Direct (D) _____
Indirect (I) _____

11. Nature of Indirect Beneficial Ownership
(Instr. 4)

Explanation of Responses:

- (1) Annual Retainer of \$6,000 payable in shares of Common Stock to each independent director
- (2) Recap: Form 4 filed 4/02 19,960.0353 shares
+1,786 shares
+ 824.1689 shares Acquired thru DRP 7/03/02
1/3/03
22,570.2042 shares

/s/ Betty L. Jakell _____ 5/1/03 _____
**Signature of Reporting Person Date
Attorney-in-Fact for Kim W. Kunkle

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

NOTE: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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