

BAER ROBERT J
Form 4
January 15, 2008

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BAER ROBERT J

2. Issuer Name and Ticker or Trading Symbol
STIFEL FINANCIAL CORP [SF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

UNIGROUP INC, ONE PREMIER DR

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(Street)

FENTON, MO 63026

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	
Common Stock	01/11/2008		M		156	A	\$ 0 4,955	D
Common Stock	01/11/2008		M		92	A	\$ 0 5,047	D
Common Stock	01/11/2008		M		154	A	\$ 0 5,201	D
Common Stock	01/11/2008		M		132	A	\$ 0 5,333	D
Common Stock	01/11/2008		M		33	A	\$ 0 5,366	D

Edgar Filing: BAER ROBERT J - Form 4

Common Stock	01/11/2008	M	172	A	\$ 0	5,538	D
Common Stock	01/11/2008	M	129	A	\$ 0	5,667	D
Common Stock	01/11/2008	M	32	A	\$ 0	5,699	D
Common Stock	01/11/2008	M	132	A	\$ 0	5,831	D
Common Stock	01/11/2008	M	33	A	\$ 0	5,864	D
Common Stock	01/11/2008	M	88	A	\$ 0	5,952	D
Common Stock	01/11/2008	M	21	A	\$ 0	5,973	D
Common Stock	01/11/2008	M	174	A	\$ 0	6,147	D
Common Stock	01/11/2008	M	141	A	\$ 0	6,288	D
Common Stock	01/11/2008	M	36	A	\$ 0	6,324	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Phantom Stock	\$ 0	01/11/2008		M	156	05/01/2002 05/01/2012	Common Stock	156

Edgar Filing: BAER ROBERT J - Form 4

Units									
Phantom Stock Units	\$ 0	01/11/2008	M	92	05/09/2002	05/09/2012	Common Stock	92	
Phantom Stock Units	\$ 0	01/11/2008	M	154	06/01/2002	06/01/2012	Common Stock	154	
Phantom Stock Units	\$ 0	01/11/2008	M	132	07/01/2002	07/01/2012	Common Stock	132	
Phantom Stock Units	\$ 0	01/11/2008	M	33	07/01/2002	07/01/2012	Common Stock	33	
Phantom Stock Units	\$ 0	01/11/2008	M	172	08/01/2002	08/01/2012	Common Stock	172	
Phantom Stock Units	\$ 0	01/11/2008	M	129	09/03/2002	09/03/2012	Common Stock	129	
Phantom Stock Units	\$ 0	01/11/2008	M	32	09/03/2002	09/03/2012	Common Stock	32	
Phantom Stock Units	\$ 0	01/11/2008	M	132	10/01/2002	10/01/2012	Common Stock	132	
Phantom Stock Units	\$ 0	01/11/2008	M	33	10/01/2002	10/01/2012	Common Stock	33	
Phantom Stock Units	\$ 0	01/11/2008	M	88	10/28/2002	10/28/2012	Common Stock	88	
Phantom Stock Units	\$ 0	01/11/2008	M	21	10/28/2002	10/28/2012	Common Stock	21	
Phantom Stock Units	\$ 0	01/11/2008	M	174	11/01/2002	11/01/2012	Common Stock	174	
Phantom Stock Units	\$ 0	01/11/2008	M	141	12/02/2002	12/02/2012	Common Stock	141	
Phantom Stock Units	\$ 0	01/11/2008	M	36	12/02/2002	12/02/2012	Common Stock	36	

Edgar Filing: BAER ROBERT J - Form 4

Phantom Stock Units	\$ 0	01/02/2003	01/02/2013	Common Stock	189
Phantom Stock Units	\$ 0	01/30/2003	01/30/2013	Common Stock	115
Phantom Stock Units	\$ 0	02/03/2003	02/03/2013	Common Stock	177
Phantom Stock Units	\$ 0	03/03/2003	03/03/2013	Common Stock	175
Phantom Stock Units	\$ 0	04/01/2003	04/01/2013	Common Stock	179
Phantom Stock Units	\$ 0	05/01/2003	05/01/2013	Common Stock	172
Phantom Stock Units	\$ 0	05/12/2003	05/12/2013	Common Stock	106
Phantom Stock Units	\$ 0	06/01/2003	06/01/2013	Common Stock	166
Phantom Stock Units	\$ 0	07/01/2003	07/01/2013	Common Stock	168
Phantom Stock Units	\$ 0	08/01/2003	08/01/2013	Common Stock	171
Phantom Stock Units	\$ 0	08/06/2003	08/06/2013	Common Stock	340
Phantom Stock Units	\$ 0	09/01/2003	09/01/2013	Common Stock	161
Phantom Stock Units	\$ 0	10/01/2003	10/01/2013	Common Stock	785
Phantom Stock Units	\$ 0	01/01/2004	01/01/2014	Common Stock	562
Phantom Stock	\$ 0	04/01/2004 ⁽¹⁾	⁽²⁾	Common Stock	7,347

Units

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BAER ROBERT J UNIGROUP INC ONE PREMIER DR FENTON, MO 63026	X			

Signatures

By: Forrest M. Smith For: Robert J. Baer 01/15/2008

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest quarterly over a one year period.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.