

PACCAR INC
Form 4
June 08, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DOZIER C MICHAEL

(Last) (First) (Middle)

777 106TH AVE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
06/06/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

VICE PRESIDENT

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Price | | | |
| COMMON STOCK (SIP) <u>(1)</u> <u>(2)</u> | 06/06/2017 | | J ⁽³⁾ | 70.36 A \$ 64.66 | 18,268.104 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: PACCAR INC - Form 4

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|---|---|-------------------------------|
| <u>STOCK OPTION (4)</u> | \$ 45.74 | | | | | Date Exercisable: 01/01/2011 Expiration Date: 01/30/2018 | COMMON STOCK | 3,618 |
| <u>STOCK OPTION (4)</u> | \$ 30.81 | | | | | Date Exercisable: 01/01/2012 Expiration Date: 02/06/2019 | COMMON STOCK | 5,908 |
| <u>STOCK OPTION (4)</u> | \$ 36.12 | | | | | Date Exercisable: 01/01/2013 Expiration Date: 02/02/2020 | COMMON STOCK | 7,440 |
| <u>STOCK OPTION (4)</u> | \$ 50.5 | | | | | Date Exercisable: 01/01/2014 Expiration Date: 02/03/2021 | COMMON STOCK | 4,882 |
| <u>STOCK OPTION (4)</u> | \$ 43.24 | | | | | Date Exercisable: 01/01/2015 Expiration Date: 02/02/2022 | COMMON STOCK | 7,756 |
| <u>STOCK OPTION (4)</u> | \$ 47.81 | | | | | Date Exercisable: 01/01/2016 Expiration Date: 02/06/2023 | COMMON STOCK | 6,644 |
| <u>STOCK OPTION (4)</u> | \$ 59.15 | | | | | Date Exercisable: 01/01/2017 Expiration Date: 02/07/2024 | COMMON STOCK | 5,232 |
| <u>STOCK OPTION (4)</u> | \$ 62.46 | | | | | Date Exercisable: 01/01/2018 Expiration Date: 02/04/2025 | COMMON STOCK | 4,814 |
| <u>STOCK OPTION (4)</u> | \$ 50 | | | | | Date Exercisable: 01/01/2019 Expiration Date: 02/04/2026 | COMMON STOCK | 6,926 |
| <u>STOCK OPTION (4)</u> | \$ 67.63 | | | | | Date Exercisable: 01/01/2020 Expiration Date: 02/07/2027 | COMMON STOCK | 9,406 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| DOZIER C MICHAEL 777 106TH AVE NE BELLEVUE, WA 98004 | | | VICE PRESIDENT | |

Signatures

C. Michael Dozier by Irene E. Song
POA 06/08/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Savings Investment Plan (SIP).
- (2) Balance includes shares awarded under SIP (Company match) and/or employee contributions since date of last report in transactions that were exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (3) June 6, 2017 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.