

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUSTRIES INC  
 Form 4  
 April 03, 2002

1. Name and Address of Reporting Person  
 SMITH, NORMAN W.  
 4101 JEROME AVENUE  
 CHATTANOOGA, TN 37407-
2. Issuer Name and Ticker or Trading Symbol  
 ASTEC INDUSTRIES, INC. (ASTE)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 3/2002
5. If Amendment, Date of Original (Month/Day/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 GROUP VICE PRESIDENT-ASPHALT PRESIDENT-ASTEC, INC.
7. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

TABLE I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3. Trans-<br>action<br>Code | 4. Securities Acquired (A)<br>or Disposed of (D) |        |     | 5. Amou<br>Secu<br>Bene<br>Owe<br>End<br>Mont |
|----------------------|---|-----------------------------|--|--------|-----|---|
|                      |   | Code                        | V  | Amount | A/D | Price   |
| Common Stock         | 03/06/2002  | X                           | V  | 5000   | A   | \$1.6250                                      |
| Common Stock         | 03/06/2002  | S                           | V  | -5000  | D   | \$16.8400                                     |

TABLE II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.                                 | 2.                                      | 3.  | 4.                    | 5.                           | 6.  | 7.  | 8.                     |
|------------------------------------|---|---|-----------------------|------------------------------|---|---|------------------------|
| Title of<br>Derivative<br>Security | Price of<br>Deriv-<br>ative<br>Security | Transac-<br>tion Date<br>(Month/<br>Day/Year) | Transac-<br>tion Code | Acquired (A)<br>Disposed (D) | Date Exercisable<br>and Expiration Date<br>(Month/Day/Year) | Title and Amount<br>of Underlying<br>Securities | Number<br>of<br>Shares |
| Stock Option                       | \$14.82                                 | 01/03/2002                                    | A                     | V                            | 27993   | 01/03/2003 01/03/2012<br>Common<br>Stock        | 27993                  |

Explanation of Responses:

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

SIGNATURE OF REPORTING PERSON  
/s/ NORMAN W. SMITH

DATE  
04/03/2002