

LATTICE SEMICONDUCTOR CORP
Form 4
March 04, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KRISHNAMURTHY BALAJI

2. Issuer Name and Ticker or Trading Symbol
LATTICE SEMICONDUCTOR CORP [LSCC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
02/28/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

5555 N.E. MOORE CT.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

HILLSBORO, OR 97124

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	02/28/2014		M		2,500 ⁽¹⁾ \$ 2.32	38,839	D
Common Stock	02/28/2014		S		2,500 ⁽¹⁾ \$ 7.6968 ⁽²⁾	36,339	D
Common Stock	02/28/2014		M		2,500 ⁽¹⁾ \$ 2.29	38,839	D
Common Stock	02/28/2014		S		2,500 ⁽¹⁾ \$ 7.6968 ⁽²⁾	36,339	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 2.29	02/28/2014		M	2,500 <u>(1)</u>	11/04/2011 ⁽³⁾ 08/04/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 2.32	02/28/2014		M	2,500 <u>(1)</u>	11/05/2010 ⁽⁴⁾ 08/05/2018	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KRISHNAMURTHY BALAJI 5555 N.E. MOORE CT. HILLSBORO, OR 97124		X		

Signatures

By: Byron W. Milstead, Attorney-in-Fact For: Balaji Krishnamurthy

02/28/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transaction indicated was conducted under an approved 10b5-1 Plan.

(2) The price indicated is the weighted average sale price for the shares sold. The individual sale prices for the shares indicated range from \$7.63 to \$7.72.

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- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares subject to the option vest on
- (3) 11/4/2011. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/4/2012.

- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares vest subject to the option on
- (4) 11/5/2010. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/5/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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