#### LATTICE SEMICONDUCTOR CORP

Form 4 March 26, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Milstead Byron Wayne

LATTICE SEMICONDUCTOR

(Last) (First) (Middle)

5555 N.E. MOORE CT.

(Street)

HILLSBORO, OR 97124

2. Issuer Name and Ticker or Trading

Symbol

CORP [LSCC]

3. Date of Earliest Transaction (Month/Day/Year)

03/21/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title \_\_X\_\_ Other (specify

below) below)

VP Corp, General Counsel / VP Corp, General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Instr. 3)

(City)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

4. Securities Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership Form: Direct (D) or Indirect Beneficial (Instr. 4)

7. Nature of

Ownership

(Instr. 4)

Indirect

Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

or

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative

Conversion

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

4. 5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Ar Underlying Se

### Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

| Security (Instr. 3)                             | or Exercise<br>Price of<br>Derivative<br>Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | (Month/Day/Year  | )               | (Instr. 3 and 4 |
|-------------------------------------------------|---------------------------------------------------|------------|-------------------------|-----------------|--------------------------------------------------------------------------------|------------------|-----------------|-----------------|
|                                                 |                                                   |            |                         | Code V          | (A) (D)                                                                        | Date Exercisable | Expiration Date | Title           |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 7.54                                           | 03/21/2014 |                         | A               | 52,430                                                                         | 06/21/2014(1)    | 03/21/2024      | Common<br>Stock |
| Restricted<br>Stock Unit<br>(RSU)               | \$ 0 (2)                                          | 03/21/2014 |                         | A               | 22,546                                                                         | 03/21/2015(3)    | <u>(4)</u>      | Common<br>Stock |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |
|---------------------------------|---------------|
| Reporting Owner Maine / Address |               |

Director 10% Owner Officer Other

Milstead Byron Wayne 5555 N.E. MOORE CT. HILLSBORO, OR 97124

VP Corp, General Counsel VP Corp, General Counsel

## **Signatures**

/s/ Byron W. 03/26/2014 Milstead

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option to purchase shares of common stock becomes exercisable over a four year period where in 1/16th (6.25%) of the total shares granted vest on each quarterly anniversary of the grant date.
- (2) Each Restricted Stock Unit (RSU) represents a right to receive one share of the Issuers common shares without payment of specific consideration.
- (3) This grant of Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest upon the one-year anniversary of the grant date and an additional 6.25% of the RSUs vest each three months thereafter until 100% of the RSU grant is vested.
- (4) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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