### Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

### LATTICE SEMICONDUCTOR CORP

Form 4 May 01, 2014

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Pe KRISHNAMURTHY BALAJI	_	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		LATTICE SEMICONDUCTOR CORP [LSCC]	(Check all applicable)		
(Last) (First) (Mic	ddle)	3. Date of Earliest Transaction (Month/Day/Year) 04/29/2014	X_ Director 10% Owner Officer (give title below) Other (specification)		
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
HILLSBORO, OR 97124			Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/29/2014		M	5,000 (1)	A	\$ 2.32	41,339	D	
Common Stock	04/29/2014		S	5,000 (1)	D	\$ 8.4817 (2)	36,339	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D Se	. Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number to for Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ame Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ai or Ni of Sh
S	Non-Qualified Stock Option right to buy)	\$ 2.32	04/29/2014	M	5,000 (1)	11/05/2010(3)	08/05/2018	Common Stock	5

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
KRISHNAMURTHY BALAJI 5555 N.E. MOORE CT. HILLSBORO, OR 97124	X						

# **Signatures**

By: Byron W. Milstead, Attorney-in-Fact For: Balaji Krishnamurthy

\*\*Signature of Reporting Person

04/29/2014

Date

**Explanation of Responses:** 

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction indicated was conducted under an approved 10b5-1 Plan.
- The price indicated is the weighted average sale price for the shares sold. The individual sale prices for the shares indicated range from \$8.44 to \$8.52.
- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares vest subject to the option on (3) 11/5/2010. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/5/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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