LATTICE SEMICONDUCTOR CORP

Form 4 May 01, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * PARKER GERHARD H

2. Issuer Name and Ticker or Trading

Symbol

LATTICE SEMICONDUCTOR CORP [LSCC]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

3. Date of Earliest Transaction (Month/Day/Year)

04/29/2014

_X__ Director Officer (give title

10% Owner _ Other (specify

3050 BOWERS AVE (Street)

(First)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

below)

SANTA CLARA, CA 95054

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secur	ities Acqui	red, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) actiomr Disposed of (D) (Instr. 3, 4 and 5)		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	04/29/2014		M	11,250	A	\$ 5.63	47,589	D	
Common Stock	04/29/2014		S	11,250	D	\$ 8.2088 (1)	36,339	D	
Common Stock	04/29/2014		M	18,000	A	\$ 5.63	54,339	D	
Common Stock	04/29/2014		S	18,000	D	\$ 8.2088 (1)	36,339	D	
	04/29/2014		M	22,500	A	\$ 5.44	58,839	D	

Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

Common Stock

Common Stock S 22,500 D 8.2088 36,339 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 5.44	04/29/2014		M	22,500	11/06/2012(2)	08/06/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 5.63	04/29/2014		M	11,250	05/01/2009(3)	08/01/2016	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 5.63	04/29/2014		M	18,000	08/01/2006 <u>(4)</u>	08/01/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
PARKER GERHARD H							
3050 BOWERS AVE	X						
SANTA CLARA, CA 95054							

Reporting Owners 2

Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 4

Signatures

Byron W. Milstead, Attorney-in-Fact For: Gerhard H.
Parker

04/29/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The price indicated is the weighted average sale price for the shares sold. The individual sale prices for the shares indicated range from \$8.18 to \$8.275.
- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares subject to the option vest on 11/6/2012. 25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/6/2013.
- (3) The option to purchase shares of common stock vests as follows: 50% of the total amount of shares subject to the option vest on 5/1/2009 and 50% of the total amount of shares subject to the option vest on 8/01/2009.
- The option to purchase shares of common stock vests as follows: 25% of the total amount of shares subject to the option vest immediately on grant date, and 6.25% of the total amount of shares subject to the option vest each three months thereafter until all shares are vested on 8/1/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3