#### Edgar Filing: LATTICE SEMICONDUCTOR CORP - Form 3

#### LATTICE SEMICONDUCTOR CORP

Form 3 April 14, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement LATTICE SEMICONDUCTOR CORP [LSCC] Downing Maxwell J (Month/Day/Year) 04/02/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 5555 N.E. MOORE CT. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer \_ Other Person HILLSBORO, ORÂ 97124 (give title below) (specify below) Form filed by More than One Interim CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 11,889 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of Derivative Security	2. Date Exercisab	le and	3. Title and A	mount of	4.	5.	6. Nature of
	(Instr. 4)	Expiration Date Securities Underlyi		derlying	Conversion	Ownership	Indirect Beneficial	
		(Month/Day/Year)		Derivative Security		or Exercise	Form of	Ownership
				(Instr. 4)		Price of	Derivative	(Instr. 5)
		Data Evaraigable	Evniration	Title	A mount or	Derivative	Security:	
	L	Date Exercisable	Date	Title	Amount or Number of	Security	Direct (D)	
							or Indirect	

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	08/02/2013(1)	08/02/2019	Common Stock	6,563	\$ 3.54	D	Â
Non-Qualified Stock Option (right to buy)	06/25/2013(2)	03/25/2020	Common Stock	25,305	\$ 5.4	D	Â
Non-Qualified Stock Option (right to buy)	10/09/2015(2)	07/09/2022	Common Stock	34,996	\$ 5.85	D	Â
Non-Qualified Stock Option (right to buy)	06/21/2014(2)	03/21/2021	Common Stock	21,897	\$ 7.54	D	Â
Restricted Stock Unit (RSU)	(3)	(4)	Common Stock	21,395	\$ 0 (5)	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>rs</b> ,	Director	10% Owner	Officer	Othe		
Downing Maxwell J 5555 N.E. MOORE CT. HILLSBORO, OR 97124	Â	Â	Interim CFO	Â		

### **Signatures**

By: Byron W. Milstead, Attorney-in-Fact For: Maxwell J.

Downing

04/14/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option to purchase shares of common stock vests as follows: 25% of the total amount of shares subject to the option shall vest upon the one-year anniversary of the grant date, 6.25% of the total amount of the shares subject to the option shall vest each three months thereafter until all shares are vested.
- (2) This option to purchase shares of common stock becomes exercisable over a four year period where in 1/16th (6.25%) of the total shares granted vest on each quarterly anniversary of the grant date.
- The number of Restricted Stock Units (RSUs) represents the total outstanding unvested balance of the Reporting Person's Restricted Stock Units as of April 2, 2016. The Restricted Stock Units (RSUs) vest as follows: 25% of the RSUs will vest upon the one-year anniversary of the grant date and an additional 6.25% of the RSUs vest each three months thereafter until 100% of the RSU grant is vested.
- (4) Not applicable.
- (5) Each Restricted Stock Unit (RSU) represents a right to receive one share of the Issuers common shares without payment of specific consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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